

# Homotopy theory and the Bloch–Kato conjecture

Marc Hoyois

Universität Regensburg

July 13, 2023

# What is homotopy theory?

Homotopy theory is a logical extension of classical mathematics in which **equality** behaves differently. It is also called **higher mathematics**.

## Equality in classical mathematics

In classical mathematics, the equality of two objects is a **truth value**.

## Fundamental principle of higher mathematics

An equality between two mathematical objects is itself a mathematical object.

This entails in particular that there can be **more than one equality** between two mathematical objects.

The most basic concept in homotopy theory is that of *anima*, which is the higher-mathematical analogue of *set*:

## Definition

- ▶ An **anima** is any collection of mathematical objects.
- ▶ A **set** is an anima in which equality is a truth value.

By contrast, if  $X$  is a general anima, then equalities between two elements  $x, y \in X$  form again an anima  $\text{Eq}(x, y)$ , by the fundamental principle.

Historically, this concept emerged from topology, category theory, and logic. Correspondingly, anima are often called **spaces**,  **$\infty$ -groupoids**, or **types**.

However, *anima* is a **primitive concept**, i.e., it does not reduce to simpler concepts, just like *set* in classical mathematics.

# Understanding anima I: Depth

## Recursive definition (depth)

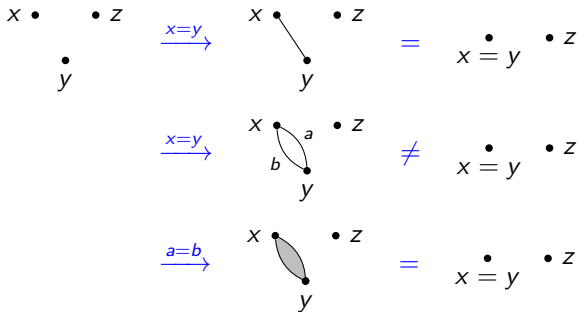
- ▶ A one-point set has depth  $-2$ .
- ▶ An anima  $X$  is said to have **depth  $n$**  if  $\text{Eq}(x, y)$  has depth  $n - 1$  for all  $x, y \in X$ .

Equivalently:  $X$  has depth  $n \Leftrightarrow (n + 1)$ -equality in  $X$  is a truth value.

	depth	collection of objects	categorical structure
classical mathematics	$-2$	element	"
	$-1$	truth value	"
	$0$	set	poset
	$1$	groupoid	category
	$\vdots$		
	$\infty$	anima	$\infty$ -category

# Understanding anima II: Dimension

To construct an anima, one can start with a set and add equalities between elements. One may represent this graphically by drawing lines between points:



## Recursive definition (dimension)

- ▶ An empty set has dimension  $-1$ .
- ▶ An anima is said to have **dimension  $n$**  if it is obtained from an anima of dimension  $n - 1$  by adding  $n$ -equalities.

# Depth vs Dimension

- ▶ Sets = anima of depth 0 = anima of dimension 0.
- ▶ A 1-dimensional anima has depth 1, but the converse fails.
- ▶ Almost all anima of finite depth  $\geq 1$  have infinite dimension, and almost all anima of finite dimension  $\geq 2$  have infinite depth.

## Example (Spheres)

We define the anima  $S^n$  inductively as follows:

- ▶  $S^{-1} = \emptyset$
- ▶  $S^n$  is the pushout

$$\begin{array}{ccc} S^{n-1} & \longrightarrow & * \\ \downarrow & \text{PO} & \downarrow \\ * & \longrightarrow & S^n. \end{array}$$

Then  $S^n$  has dimension  $n$ .

It also has depth  $n$  for  $n \leq 1$ , but depth  $\infty$  for  $n \geq 2$ .

Homotopy theory began as the subfield of algebraic topology dealing with **homotopy invariants** of topological spaces. These are functors

$$F: \text{Top} \rightarrow \mathcal{C}$$

sending homotopy equivalences to isomorphisms, e.g.,  $\pi_*$ ,  $H_*$ , etc.

## Fact

The  **$\infty$ -category of anima** can be obtained from the category of CW-complexes by forcing the homotopy equivalences to be isomorphisms:

$$\text{Anima} = \text{Top}^{\text{CW}}[\text{htpy equiv}^{-1}].$$

There are many other classical categories  $\mathcal{C}$  such that  $\text{Anima} = \mathcal{C}[M^{-1}]$  for a suitable class of morphisms  $M$ . We call these **models** of anima. They allow us to indirectly talk about anima in classical mathematics.

# Higher integers: stable homotopy theory

The analogue of  $\mathbb{N}$  in higher mathematics is the anima  $\mathbb{F}$  of **finite sets**: it is the free commutative monoid on one element, and happens to have depth 1 (and dimension  $\infty$ ). The analogue of  $\mathbb{Z}$  is then:

## Definition

The **sphere spectrum**  $\mathbb{S}$  is the group completion of  $\mathbb{F}$ . It is a commutative ring, and modules over  $\mathbb{S}$  are called **spectra**.

## Theorem (Barratt–Priddy–Quillen–Segal)

$\mathbb{S} = \operatorname{colim}_{n \rightarrow \infty} \Omega^n S^n$ , where for  $X$  a pointed anima,  $\Omega X$  is the pullback:

$$\begin{array}{ccc} \Omega X & \longrightarrow & * \\ \downarrow & \text{PB} & \downarrow \\ * & \longrightarrow & X. \end{array}$$

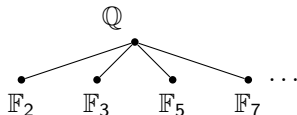
## Theorem (Pontryagin–Thom)

$\mathbb{S} = \operatorname{Cob}^{\text{sfr}}$ , the anima of stably framed cobordisms.

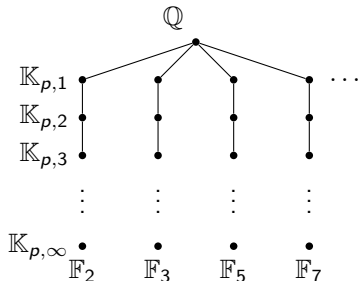


# Higher primes: chromatic homotopy theory

Spec( $\mathbb{Z}$ ):



Spec( $\mathbb{S}$ ):



Arithmetic fracture square:

$$\begin{array}{ccc}
 A & \longrightarrow & \prod_p A_p^\wedge \\
 \downarrow & \text{PB} & \downarrow \\
 A_{\mathbb{Q}} & \longrightarrow & \left( \prod_p A_p^\wedge \right)_{\mathbb{Q}}
 \end{array}$$

- ▶  $\mathbb{K}_{p,n}$  = height  $n$  Morava K-theory
- ▶ height 1 is complex K-theory

Chromatic convergence:

$A_p^\wedge$  is the limit of the tower

$$\dots \rightarrow L_{p,n+1}A \rightarrow L_{p,n}A \rightarrow \dots \rightarrow L_{p,1}A$$

# Example: the classical Frobenius

Let  $R$  be a commutative ring and  $p$  a prime number. The  $p$ -power map

$$R \xrightarrow{\Delta} R^{\otimes p} \xrightarrow{\text{mult}} R, \quad x \mapsto x^{\otimes p} \mapsto x^p,$$

is not a ring homomorphism, because  $\Delta$  is not additive.

- ▶ Let  $G$  be a finite group acting on an abelian group  $A$ . The **Tate construction**  $\text{Tate}_G(A)$  is the cokernel of

$$N_G: A_G \rightarrow A^G, \quad [a] \mapsto \sum_{g \in G} ga.$$

- ▶ The **Tate diagonal** of an abelian group  $A$  is the composition

$$\Delta^{\text{Tate}}: A \xrightarrow{\Delta} (A^{\otimes p})^{C_p} \rightarrow \text{Tate}_{C_p}(A^{\otimes p}).$$

$$(x + y)^{\otimes p} - (x^{\otimes p} + y^{\otimes p}) \in \text{im}(N_{C_p}) \Rightarrow \Delta^{\text{Tate}} \text{ is additive.}$$

- ▶ The **Frobenius** of  $R$  is the ring homomorphism

$$\text{Frob}_p: R \xrightarrow{\Delta^{\text{Tate}}} \text{Tate}_{C_p}(R^{\otimes p}) \xrightarrow{\text{mult}} \text{Tate}_{C_p}(R) = R/p.$$

# Example: the higher Frobenius

The construction of  $\text{Frob}_p: R \rightarrow \text{Tate}_{C_p}(R)$  works *mutatis mutandis* when  $R$  is a commutative ring spectrum.

## Remark (Ambidexterity)

- ▶ For vector spaces over  $\mathbb{Q}$ , the norm  $N_G$  is always an isomorphism.
- ▶ Over  $\mathbb{F}_p = \mathbb{K}_{p,\infty}$ , this is only true if  $p$  does not divide  $|G|$ .
- ▶ Over  $\mathbb{K}_{p,n}$  with  $n < \infty$ , however,  $N_G$  is *always* an isomorphism, even though  $p = 0$  in  $\mathbb{K}_{p,n}$ !

If  $R$  is not classical, then  $\text{Tate}_{C_p}(R)$  can be  $p$ -torsionfree. In this case, the higher Frobenius gives a **lift of Frobenius** to mixed characteristic (aka an “algebra over  $\mathbb{F}_1$ ”).

- ▶ If  $R = \mathbb{Z}$ , then  $\text{Frob}_p$  encodes the Steenrod operations on ordinary cohomology.
- ▶ If  $R = \mathbb{K}$ , then  $\text{Frob}_p$  encodes the Adams operations on complex K-theory.
- ▶ If  $R = \mathbb{S}$ , then  $\text{Tate}_{C_p}(\mathbb{S})$  is the higher  $p$ -adic integers  $\mathbb{S}_p^\wedge$ .

# The Bloch–Kato conjecture

Let  $k$  be a field and  $m$  an integer coprime to  $\text{char}(k)$ .

Let  $k^s$  be a separable closure of  $k$  with Galois group  $\text{Gal}(k^s/k)$ .

- ▶ **Milnor K-theory** is a quotient of the free associative ring on  $k^\times$ :

$$K_*^M(k) = \left( \bigoplus_{i=0}^{\infty} (k^\times)^{\otimes i} \right) / \langle a \otimes b = 0 \mid a + b = 1 \rangle$$

- ▶ Let  $\mu_m \subset (k^s)^\times$  be the group of  $m$ -th roots of unity. The map  $k^\times = H^0(\text{Gal}(k^s/k), \mathbb{G}_{\text{mult}}) \rightarrow H^1(\text{Gal}(k^s/k), \mu_m)$  induces a ring homomorphism

$$K_*^M(k) \rightarrow H^*(\text{Gal}(k^s/k), \mu_m^{\otimes *}).$$

Theorem (Bloch–Kato conjecture 1986, proved by Voevodsky 2010)

$K_*^M(k)/m \rightarrow H^*(\text{Gal}(k^s/k), \mu_m^{\otimes *})$  is an isomorphism.

# A chromatic proof

Theorem (Bloch–Kato conjecture 1986, proved by Voevodsky 2010)

$K_*^M(k)/m \rightarrow H^*(\text{Gal}(k^s/k), \mu_m^{\otimes *})$  is an isomorphism.

- ▶ The cases  $* = 0, 1$  are trivial.
- ▶ The case  $* = 2$  is the Merkurjev–Suslin theorem (1982). Their proof used the **algebraic K-theory** of Quillen as a key ingredient.
- ▶ Algebraic K-theory is a higher invariant of schemes:

$$\mathbb{K}^{\text{alg}} : \text{Schemes}^{\text{op}} \rightarrow \text{Spectra}.$$

It is an algebraic counterpart of complex K-theory in topology.

- ▶ The main insight of Voevodsky was that one could prove Bloch–Kato in degree  $n + 1$  if one had an algebraic counterpart of height  $n$  Morava K-theory:

$$\mathbb{K}_{p,n}^{\text{alg}} : \text{Schemes}^{\text{op}} \rightarrow \text{Spectra}.$$

- ▶ Voevodsky developed various techniques to construct and study such higher invariants of schemes.  
This is the field of **motivic homotopy theory**.

**Thank you!**