## THE CATEGORIFIED GROTHENDIECK-RIEMANN-ROCH THEOREM

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ABSTRACT. In this paper we prove a categorification of the Grothendieck–Riemann–Roch theorem. Our result implies in particular a Grothendieck–Riemann–Roch theorem for Toën and Vezzosi's secondary Chern character. As a main application, we establish a comparison between the Toën–Vezzosi Chern character and the classical Chern character, and show that the categorified Chern character recovers the classical de Rham realization.

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## 1. Introduction

In this paper we prove a Grothendieck–Riemann–Roch theorem for the categorified Chern character defined in [TV15] and in [HSS17]. Our result yields in particular a Grothendieck–Riemann–Roch theorem

for Toën and Vezzosi's secondary Chern character, thus answering a question raised in [TV09]. Our main applications include

- (1) a proof that the Toën-Vezzosi's Chern character [TV09, TV15] matches the classical Chern character [McC94, Kel99],
- (2) a proof that, in the geometric setting, the categorified Chern character recovers the de Rham realization of smooth algebraic varieties.

Throughout the paper we will work over a fixed connective  $\mathbb{E}_{\infty}$  ring spectrum k.

1.1. The categorified Chern character. Categorified invariants arise naturally in homotopy theory. Over the last thirty years a rich picture relating the chromatic hierarchy of cohomology theories to categorification has emerged. On the first step of the chromatic ladder, K-theory classifies vectors bundles, which are one-categorical objects. Cohomology theories of higher chromatic depth, such as elliptic cohomology, are expected to classify higher-categorical geometric structures. The literature on these aspects is vast: we refer the reader, for instance, to [BDR04] for an account of the connections between elliptic cohomology and the theory of 2-vector bundles.

A different source of motivations for studying categorified invariants comes from representation theory. From a modern perspective, the Deligne–Lusztig theory of character sheaves can be viewed as an early pointer to the existence of an interesting picture of categorified characters. In the last decade categorical actions have become a mainstay of geometric representation theory. As shown by Khovanov–Lauda and Rouquier [KL09, KL11, Rou08], categorical actions encode subtle positivity properties. Further, they play a key role in recent approaches to the geometric Langlands program due to Ben-Zvi and Nadler [BZN12, BZN13a, BZN13b], Gaitsgory, Arinkin, and Rozenblyum [Gai15, GR16]. A comprehensive character theory of categorical actions of finite groups was developed by Ganter and Kapranov in [GK08], extending earlier results of Hopkins, Kuhn, and Ravenel [HKR92].

In this paper we build on the theory of categorified invariants of stacks developed by Toën and Vezzosi in [TV09] and [TV15]. If X is a scheme (or stack), the Chern character is an assignment mapping vector bundles over X to classes in the Chow group or in any other incarnation of its cohomology, such as its Hochschild homology HH(X). Toën and Vezzosi's secondary Chern character is a categorification of the ordinary Chern character. It takes as input a type of categorified bundles, given by fully dualizable sheaves of categories over X locally tensored over Perf(X), and lands in a higher version of Hochschild homology.

More precisely, fully dualizable sheaves of categories over X form an  $\infty$ -category denoted by ShvCat<sup>sat</sup>(X). The Toën–Vezzosi's secondary Chern character is a morphism

(1.1) 
$$\operatorname{ch}^{(2)} : \iota_0(\operatorname{ShvCat}^{\operatorname{sat}}(X)) \to \mathcal{O}(\mathcal{L}^2X)$$

where  $\iota_0(\operatorname{ShvCat}^{\operatorname{sat}}(X))$  is the maximal  $\infty$ -subgroupoid of  $\operatorname{ShvCat}^{\operatorname{sat}}(X)$ , and the target  $\mathcal{O}(\mathcal{L}^2X)$  is the secondary Hochschild homology of X.

As explained in [HSS17] the secondary Chern character is the shadow of a much richer categorified character theory encoded in a symmetric monoidal functor of  $\infty$ -categories

(1.2) Ch: ShvCat<sup>sat</sup>
$$(X) \longrightarrow Perf(\mathcal{L}X)$$
.

We can recover the secondary Chern character by taking maximal  $\infty$ -subgroupoids in (1.2), and then applying the ordinary Chern character

$$\iota_0 \mathrm{ShvCat}^{\mathrm{sat}}(X) \xrightarrow{\iota_0(\mathrm{Ch})} \iota_0 \mathrm{Perf}(\mathcal{L}X) \xrightarrow{\mathrm{ch}} \mathrm{HH}(\mathcal{L}X) \simeq \mathcal{O}(\mathcal{L}^2X).$$

In this paper we carry forward the investigation of the categorified Chern character. Our main result is a categorified Grothendieck–Riemann–Roch theorem for Ch.

1.2. **The categorified GRR theorem.** We actually work in a setting which differs slightly from (1.2). Technical issues compel us to restrict to the *affine* context, where categorical sheaves are captured globally through the action of a symmetric monoidal category. As we explain in Section 1.2.3 below, however, affineness in the categorified setting is a much less severe restriction than in ordinary algebraic geometry.

Our formalism applies to any presentable and stable symmetric monoidal  $\infty$ -category  $\mathcal{C}$ . Let  $\mathcal{LC} := S^1 \otimes \mathcal{C}$  be the *loop space* of  $\mathcal{C}$ . The loop space  $\mathcal{LC} \simeq \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} \mathcal{C}$  is in a precise sense the Hochschild homology of the commutative algebra  $\mathcal{C}$ . It is therefore the natural receptacle of Chern classes of *dualizable*  $\mathcal{C}$ -modules. These are presentable categories carrying an action of  $\mathcal{C}$ , which are  $\mathcal{C}$ -linearly dualizable. They form an  $\infty$ -category denoted by  $\mathrm{Mod}_{\mathcal{C}}^{\mathrm{dual}}$ . The categorified Chern character is a symmetric monoidal functor

(1.3) Ch: 
$$\operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}} \to \mathcal{LC}$$
.

In classical homological algebra, the Chern character factors through the fixed locus for the canonical  $S^1$ -action on the Hochschild complex. This is a manifestation of general rotation invariance properties of trace maps, which are themselves a special instance of the vast array of symmetries encoded in a TQFT, see [TV15], [HSS17] and [BZN13a]. This feature persists at the categorified level, and we will consider the  $S^1$ -equivariant refinement of the categorified Chern character

1.2.1. The main theorem. The Grothendieck–Riemann–Roch (GRR) theorem encodes the compatibility between Chern character and pushforward. As explained in [Mar09], the classical GRR theorem can be viewed as the conflation of two distinct commutativity statements. It is the first of these two statements which is especially relevant for the purposes of categorification. To clarify this, let us briefly review the setting of the classical GRR theorem.

Let  $f: X \to Y$  be a proper map between smooth and quasi-projective schemes over a field, and let  $f_*: \operatorname{Perf}(X) \to \operatorname{Perf}(Y)$  be the pushforward. The first half of the GRR theorem consists of the claim that the diagram

commutes. Next we can reformulate the commutativity of (1.5) in terms of differential forms via the HKR isomorphism

$$\operatorname{HH}(\operatorname{Perf}(X)) \xrightarrow{\cong} \operatorname{H}^*(X, \oplus_{i>0}\Omega_X^i), \quad \operatorname{HH}(\operatorname{Perf}(Y)) \xrightarrow{\cong} \operatorname{H}^*(Y, \oplus_{i>0}\Omega_Y^i).$$

The second half of the GRR theorem is about the interplay between the pushforward and the HKR equivalence: they fail to commute, but this can be obviated by turning on a correction term given by the Todd class.

Our main theorem is a categorification of the first half of the GRR theorem. We refer the reader to Remark 1.7 for a discussion the second half of the GRR theorem in the categorified setting. Let  $f: \mathcal{D} \to \mathcal{C}$  be a rigid symmetric monoidal functor between presentable and stable symmetric monoidal categories. The map f induces a functor between loop spaces  $\mathcal{L}f: \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{C}$  with right-adjoint  $\mathcal{L}f^{R}$ . Rigidity implies that there is a well-defined pushforward of dualizable modules

$$f_* \colon \mathrm{Mod}^{\mathrm{dual}}_{\mathcal{C}} \longrightarrow \mathrm{Mod}^{\mathrm{dual}}_{\mathcal{D}}.$$

We are ready to state our main result.

**Theorem A** (The categorified GRR Theorem, Theorem 4.3). There is a commutative square of  $\infty$ -categories

(1.6) 
$$\text{Mod}_{\mathcal{C}}^{\text{dual}} \xrightarrow{\text{Ch}^{S^{1}}} (\mathcal{L}\mathcal{C})^{S^{1}}$$

$$f_{*} \downarrow \qquad \qquad \downarrow \mathcal{L}f^{R}$$

$$\text{Mod}_{\mathcal{D}}^{\text{dual}} \xrightarrow{\text{Ch}^{S^{1}}} (\mathcal{L}\mathcal{D})^{S^{1}} .$$

In Section 1.2.2 and 1.2.3 we reformulate Theorem A in the more specialized settings of non-commutative motives and monoidal categories of geometric origin. Next, in Section 1.3 we explain some of its applications.

1.2.2. GRR and motives. In addition to  $S^1$ -invariance the Chern character inherits a second important property of trace maps: it is additive, so it factors through K-theory. One categorical level up, Verdier localizations of  $\mathcal{C}$ -linear categories replace short exact sequences, and the category of noncommutative  $\mathcal{C}$ -motives takes up the role of K-theory. The category of  $\mathcal{C}$ -motives was introduced in [HSS17], building on the work of Cisinski–Tabuada and Blumberg–Gepner–Tabuada [CT12, BGT13], see also [Rob15] for closely related constructions. The theory applies in a more limited generality than Theorem A, as we require  $\mathcal{C}$  to be generated by its subcategory of compact objects  $\mathcal{C}^{\omega}$ .

Let  $f: \mathcal{D} \to \mathcal{C}$  be a rigid functor of compactly generated symmetric monoidal categories. There is a well-defined pushforward functor between categories of localizing noncommutative motives

$$f_* : \mathbb{M}\mathrm{ot}(\mathcal{C}^\omega) \longrightarrow \mathbb{M}\mathrm{ot}(\mathcal{D}^\omega).$$

Then Theorem A specializes to the following statement, which closely parallels the classical K-theoretic formulation of the GRR theorem.

**Theorem B** (Theorem 5.7). There is a commutative square of  $\infty$ -categories

$$\operatorname{Mot}(\mathbb{C}^{\omega}) \xrightarrow{\operatorname{Ch}^{S^{1}}} (\mathcal{L}\mathbb{C})^{S^{1}} \\
f_{*} \downarrow \qquad \qquad \downarrow^{\mathcal{L}f^{R}} \\
\operatorname{Mot}(\mathbb{D}^{\omega}) \xrightarrow{\operatorname{Ch}^{S^{1}}} (\mathcal{L}\mathbb{D})^{S^{1}}.$$

1.2.3. The geometric setting. Our results hold in the categorified affine setting: we consider modules over symmetric monoidal categories rather than general categorical sheaves on stacks. Surprisingly, however, this encompasses many examples of geometric interest. In fact the global sections functor

$$\Gamma \colon \operatorname{ShvCat}^{\operatorname{dual}}(X) \to \operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}}$$

although not an equivalence in general, is an equivalence for a large class of derived stacks called 1-affine stacks. Gaitsgory proves in [Gai15] that quasi-compact and quasi-separated schemes and semi-separated Artin stacks of finite type (in characteristic zero) are all examples of 1-affine stacks. For 1-affine stacks Theorem C below captures the full geometric picture of the categorified GRR theorem.

If X is a derived stack there is a natural  $S^1$ -equivariant map  $\mathcal{L}\operatorname{QCoh}(X) \to \operatorname{QCoh}(\mathcal{L}X)$ , where  $\mathcal{L}X$  is the free loop stack of X. If  $f: X \to Y$  is a map of derived stacks, we denote by f also the symmetric monoidal pullback functor  $f: \operatorname{QCoh}(Y) \to \operatorname{QCoh}(X)$ . Following Gaitsgory, we introduce passable maps of stacks. Passability is a relatively minor assumption, and is satisfied in most cases of geometric interest.

In Proposition 2.35 of the main text we show that pullback functors along passable morphisms are rigid. This together with Theorem A immediately implies the following statement.

**Theorem C.** Let  $X \xrightarrow{f} Y$  be a passable morphism of derived stacks. Then there is a commutative diagram of  $\infty$ -categories

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}^{S^1}} \operatorname{QCoh}(\mathcal{L}X)^{S^1}$$

$$f_* \downarrow \qquad \qquad \downarrow^{\mathcal{L}f_*}$$

$$\operatorname{Mod}_{\operatorname{QCoh}(Y)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}^{S^1}} \operatorname{QCoh}(\mathcal{L}Y)^{S^1}.$$

Remark 1.7. The statement which we called in Section 1.2.1 the second half of the GRR theorem can also be categorified, but becomes essentially trivial. Let X be a semi-separated derived Artin stack in characteristic zero. By [BZN12, Theorem 6.9] the HKR isomorphism lifts to an equivalence exp of formal stacks between

- the shifted tangent complex of X completed at the zero section,  $\widehat{\mathbb{T}_X[-1]}$
- and the loop stack of X completed at the constant loops,  $\widehat{\mathcal{L}}\widehat{X}$ .

The categorified HKR consists of the statement that exp induces an equivalence

$$(1.8) \qquad \exp^* \colon \operatorname{QCoh}(\widehat{\mathcal{L}X}) \xrightarrow{\simeq} \operatorname{QCoh}(\widehat{\mathbb{T}_X[-1]}).$$

As exp\* is a pullback, it is compatible with pullbacks and pushforwards along maps of stacks: contrary to the classical setting, incorporating the HKR equivalence (1.8) does not alter the commutativity of the GRR diagram (1.6), which stays commutative on the nose.

- 1.3. Applications of the categorified GRR. Theorem A, B and C have several interesting consequences. They provide powerful tools to establish comparison results for the ordinary and categorified Chern character. Our applications fall into three main areas:
  - (1) The ordinary Chern character. Toën and Vezzosi give an alternative construction of the Chern character, which is the one we use throughout the paper. Theorem A implies that it matches the classical definition.
  - (2) The secondary Chern character. Theorem B implies a GRR statement for the secondary Chern character. This yields a comparison between secondary Chern character and motivic character maps that had already appeared in the literature.
  - (3) The de Rham realization. Theorem C implies that in the geometric setting  $Ch^{S^1}$  matches the de Rham realization. This shows in particular that the Gauss–Manin connection is of non-commutative origin.
- 1.3.1. The ordinary Chern character. The classical definition of the Chern character for k-linear categories is due to McCarthy [McC94] and Keller [Kel99], and rests on the naturality of Hochschild homology. Let  $\mathcal{A}$  be a stable k-linear category. If x is an object of  $\mathcal{A}$ , let  $\phi_x \colon \operatorname{Perf}(k) \to \mathcal{A}$  be the unique k-linear functor mapping k to x. Then the Chern character is defined by the formula

$$(1.9) x \in \mathrm{Ob}(\mathcal{A}) \mapsto \mathrm{HH}(\phi_x)(1) \in \mathrm{HH}_0(\mathcal{A}).$$

In [BZN13a] Ben–Zvi and Nadler revisit (1.9) from the vantage point of the functoriality properties of traces in symmetric monoidal  $(\infty, 2)$ -categories. Let  $\operatorname{Mod}_k$  be the symmetric monoidal  $\infty$ -category of k-modules, and let  $\mathcal C$  be a dualizable  $\operatorname{Mod}_k$ -module. The Hochschild homology of  $\mathcal C$  coincides with the trace of  $\mathcal C$  as a dualizable  $\operatorname{Mod}_k$ -module

$$\mathrm{HH}(\mathfrak{C}) \simeq \mathrm{Tr}(\mathfrak{C}) \in \mathrm{Mod}_k$$
.

The trace is functorial and thus, under standard identifications, it yields a map of  $\infty$ -groupoids  $\mathcal{C}^{\mathrm{dual}} \to \mathrm{HH}(\mathcal{C})$ :

$$\mathcal{C}^{\text{dual}} \simeq \text{Hom}_{\text{Mod}_{\text{Mod}_{k}}}(\text{Mod}_{k}, \mathcal{C}) 
\downarrow \text{Tr}(-) 
\text{Hom}_{\text{Mod}_{k}}(\text{Tr}(\text{Mod}_{k}), \text{Tr}(\mathcal{C})) \simeq \text{Hom}_{\text{Mod}_{k}}(k, \text{HH}(\mathcal{C})) \simeq \text{HH}(\mathcal{C}).$$

Ben-Zvi and Nadler take (1.10) as the definition of the Chern character. Passing to sets of connected components in (1.10) gives back (1.9).

Toën and Vezzosi give a different definition of the Chern character, which requires additionally that  $\mathcal{C}$  carries a symmetric monoidal structure. The objects of  $\mathcal{C}$ , pulled back to the loop space via the map

$$\mathcal{C} \to S^1 \otimes \mathcal{C} = \mathcal{L}\mathcal{C}$$
 induced by the inclusion pt  $\to S^1$ ,

acquire a canonical auto-equivalence, called *monodromy*. Toën and Vezzosi define the Chern character as the trace of the monodromy auto-equivalence, and this is the definition we use throughout the paper. The reader can find in [TV09] an explanation of the beautiful geometric heuristics motivating Toën and Vezzosi's approach. Their construction yields a map of  $\infty$ -groupoids landing in the endomorphisms of the unit object of  $\mathcal{LC}$ 

$$(1.11) ch : \mathcal{C}^{\text{dual}} \longrightarrow \Omega \mathcal{L} \mathcal{C}.$$

**Theorem D** (Theorem 5.1). Under the canonical identification  $\Omega \mathcal{LC} \simeq HH(\mathcal{C})$ , Toën and Vezzosi's Chern character (1.11) coincides with (1.10).

1.3.2. The secondary Chern character. In the main text some of the results in this section will be formulated more generally for compactly generated symmetric monoidal categories, but we will limit our present exposition to the geometric setting.

Let X be a derived stack. The secondary K-theory of X is a kind of categorification of algebraic K-theory introduced independently by Toën and Bondal–Larsen–Lunts [BLL04]. The group of connected components of  $K^{(2)}(X)$  is spanned by equivalence classes of objects in ShvCat<sup>sat</sup>(X) under the relation

$$[\mathcal{B}] = [\mathcal{A}] + [\mathcal{C}]$$
 if there is a Verdier localization  $\mathcal{A} \to \mathcal{B} \to \mathcal{C}$ .

Secondary K-theory encodes subtle geometric and arithmetic information: if X is a smooth variety (in characteristic 0), it is the recipient of highly non-trivial maps from the Grothendieck ring of varieties over X and from the cohomological Brauer group

(1.12) 
$$K_0(\operatorname{Var}_X) \to K_0^{(2)}(X), \quad \operatorname{H}^2_{\text{\'et}}(X, \mathbb{G}_m) \to K_0^{(2)}(X).$$

Also, by additivity, the secondary Chern character factors through secondary K-theory

$$\operatorname{ch}^{(2)}: K^{(2)}(X) \to \mathcal{O}(\mathcal{L}^2 X)^{(S^1 \times S^1)}.$$

Let  $f: X \to Y$  be a map of derived stacks. Under appropriate assumptions on f, Theorem B implies a GRR theorem for the secondary Chern character.

**Theorem E** (Theorem 5.9 and Example 2.36). Let  $f: X \to Y$  be a morphism of perfect stacks which is representable, proper, and fiber smooth. Then there is a commutative diagram of spectra

$$K^{(2)}(X) \xrightarrow{\operatorname{ch}^{(2)}} \mathcal{O}(\mathcal{L}^{2}X)^{(S^{1} \times S^{1})}$$

$$f_{*} \downarrow \qquad \qquad \downarrow^{\int_{\mathcal{L}f}}$$

$$K^{(2)}(Y) \xrightarrow{\operatorname{ch}^{(2)}} \mathcal{O}(\mathcal{L}^{2}Y)^{(S^{1} \times S^{1})}.$$

Let now k be a field of characteristic 0. Unlike in (1.12), assume that X is a singular variety over k. The Grothendieck ring of varieties over X maps to a variant of secondary K-theory, generated by saturated k-linear categories proper over X, which is denoted by  $K_{\mathrm{BM},0}^{(2)}(X)$ . The definition of the secondary Chern character has to be recalibrated accordingly. It lifts to a morphism out of  $K_{\mathrm{BM}}^{(2)}(X)$  which takes values in the G-theory of  $\mathcal{L}X$ , instead of its K-theory (or Hochschild homology). As G-theory is insensitive to derived thickenings, we obtain a map

$$\operatorname{ch}^{(2)}_{\mathrm{BM}} \colon K^{(2)}_{\mathrm{BM}}(X) \longrightarrow G(\mathcal{L}X) \stackrel{\simeq}{\longrightarrow} G(X).$$

In [BSY10], Brasselet, Schürmann and Yokura introduced the motivic Chern class

$$mC_*: K_0(\operatorname{Var}_X) \longrightarrow G_0(X) \otimes \mathbb{Z}[y]$$

with the purpose of unifying several different invariants of interest in singularity theory. The motivic Chern class recovers MacPherson's total Chern class of singular varieties [Mac74] and is closely related to the Cappel–Shaneson homology L-class [CS91]. We show that  $ch_{\rm BM}^{(2)}$  matches the specialization of the motivic Chern class at y=-1. This follows from an analogue of Theorem E for  $ch_{\rm BM}^{(2)}$ .

**Theorem F** (Theorem 5.19). There is a commutative diagram of abelian groups

(1.13) 
$$K_{0}(\operatorname{Var}_{X}) \xrightarrow{mC_{*}} G_{0}(X) \otimes \mathbb{Z}[y]$$

$$\downarrow \qquad \qquad \downarrow y = -1$$

$$K_{\mathrm{BM},0}^{(2)}(X) \xrightarrow{\operatorname{ch}_{\mathrm{BM}}^{(2)}} G_{0}(X).$$

1.3.3. The de Rham realization. We keep the assumption that k is a field of characteristic 0.

The classical Riemann–Roch theorem states that the Euler characteristic of line bundles on curves can be computed in terms of their degree and the genus of the curve. Delicate algebraic information is revealed to depend only on the underlying topology. All subsequent extensions of the Riemann–Roch theorem can be viewed as finer articulations of this principle, which persists in the categorified setting. It takes the shape of a dictionary relating the categorified Chern character of categorical sheaves of geometric origin (an *algebraic* invariant) and the classical de Rham realization (which is *topological* in nature).

Let X be a smooth k-scheme, and let  $\mathrm{Sm}_X$  be the category of smooth X-schemes. The de Rham realization is a functor

$$(1.14) dR_X : Sm_X^{op} \longrightarrow \mathcal{D}_X - mod$$

which sends a smooth map  $f: Y \to X$  to the flat vector bundle over X encoding the fiberwise de Rham cohomology of f equipped with the Gauss-Manin connection.

The map  $f: Y \to X$  gives rise to a sheaf of  $\infty$ -categories over X: as X is 1-affine, this can be encoded as the QCoh(X)-module structure on QCoh(Y). Letting f range over  $Sm_X$ , we obtain a functor

$$\operatorname{QCoh}_X\colon \operatorname{Sm}_X^{\operatorname{op}} \longrightarrow \operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}}, \qquad \operatorname{QCoh}_X(Y \xrightarrow{f} X) = \operatorname{QCoh}(Y) \circlearrowleft \operatorname{QCoh}(X).$$

Comparing the de Rham realization and the categorified Chern character requires a finer understanding of the sheaf theory of loop spaces. By a categorified form of the HKR equivalence [BZN12], quasi-coherent sheaves on  $\mathcal{L}X$  are closely related to  $\mathcal{D}_X$ -modules. For our purposes the most relevant result in this direction is an equivalence, obtained in [Pre15], between the *Tate construction* of IndCoh( $\mathcal{L}X$ ) and the  $\mathbb{Z}/2$ -folding of the category of D-modules

(1.15) 
$$\operatorname{Ind}(\operatorname{Coh}(\mathcal{L}X)^{S^1}) \otimes_{k[[u]]} k((u)) \xrightarrow{\simeq} \mathcal{D}_X\operatorname{-mod}_{\mathbb{Z}/2}.$$

Leveraging the equivalence (1.15) we can reformulate the categorified Chern character as a functor landing in the 2-periodic category of D-modules

$$\operatorname{Ch}^{\operatorname{dR}} \colon \operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \longrightarrow \mathcal{D}_X\operatorname{-mod}_{\mathbb{Z}/2}.$$

Theorem C is the main ingredient in the proof of Theorem G below. In the statement of the theorem,  $dR_X$  stands for the 2-periodization of de Rham realization functor (1.14).

**Theorem G** (Theorem 6.16). For X a smooth k-scheme, there is a commutative diagram of  $\infty$ -categories

$$\operatorname{Sm}_X^{\operatorname{op}} \xrightarrow{\operatorname{dR}_X} \mathcal{D}_X\operatorname{-mod}_{\mathbb{Z}/2}.$$

$$\operatorname{QCoh}_X \downarrow \qquad \qquad \operatorname{Ch}^{\operatorname{dR}}$$

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}}$$

In fact, we will prove a generalization of Theorem G for X an arbitrary derived k-scheme, replacing the  $\infty$ -category of  $\mathcal{D}_X$ -modules by that of *crystals* over X.

If  $Y \to X$  is a smooth map, Theorem G yields an equivalence natural in Y

$$dR_X(Y \to X) \simeq Ch^{dR}(QCoh(Y)).$$

This implies in particular that, up to  $\mathbb{Z}/2$ -folding, the Gauss–Manin connection on the cohomology of the fibers of a smooth map f is of non-commutative origin. That is, it only depends on QCoh(Y) and its QCoh(X)-linear structure.

Remark 1.16. Evaluating  $\operatorname{Ch^{dR}}$  on a dualizable sheaf of categories over X equips its relative periodic cyclic homology with a natural Gauss–Manin connection. In the more restricted setting of sheaves of algebras, such a Gauss–Manin connection was introduced by Getzler in [Get93]. We believe that  $\operatorname{Ch^{dR}}$  recovers Getzler's prescription, and we plan to return to this question in a future work.

**Acknowledgments.** We thank David Ben-Zvi, Dennis Gaitsgory, Jörg Schürmann and Bertrand Toën for useful discussions. P.S. was supported by the NCCR SwissMAP grant of the Swiss National Science Foundation. N.S. thanks the Max Planck Institute for Mathematics, where much of this work was carried out, for excellent working conditions.

Conventions. Throughout the paper, a connective  $\mathbb{E}_{\infty}$  ring spectrum k is fixed.

We use the following notation:

- If  $\mathbb{C}$  is an  $(\infty, n)$ -category and m < n,  $\iota_m \mathbb{C}$  denotes the underlying  $(\infty, m)$ -category of  $\mathbb{C}$ , obtained by discarding non-invertible k-morphisms for k > m.
- If  $\mathcal{C}$  is an  $(\infty, 2)$ -category,  $h_2\mathcal{C}$  denotes the homotopy 2-category of  $\mathcal{C}$ .
- If C is a symmetric monoidal  $(\infty, 2)$ -category,  $\mathbb{C}^{\text{dual}}$  denotes the non-full subcategory of  $\iota_1\mathbb{C}$  whose objects are the 1-dualizable objects and whose morphisms are the right-adjointable morphisms. In particular, if C is a symmetric monoidal  $(\infty, 1)$ -category, then  $\mathbb{C}^{\text{dual}}$  is the  $\infty$ -groupoid of dualizable objects in  $\mathbb{C}$ .
- $\Pr^{\text{St}}$  is the symmetric monoidal  $\infty$ -category of stable presentable  $\infty$ -categories and colimit-preserving functors.
- $\mathbf{Pr}^{\mathrm{St}}$  is the symmetric monoidal  $(\infty, 2)$ -category of stable presentable  $\infty$ -categories, so that  $\iota_1 \mathbf{Pr}^{\mathrm{St}} \cong \mathfrak{Pr}^{\mathrm{St}}$ .
- We denote by double arrows ⇒ possibly non-invertible 2-morphisms in diagrams. In the absence of such a symbol, the diagram is assumed to commute up to an invertible 2-morphism.

## 2. Preliminaries

2.1. **Ambidexterity.** Throughout the paper by an  $(\infty, 2)$ -category  $\mathcal{C}$  we will mean a complete 2-fold Segal space. We refer to [JFS15, Section 6] for a definition of a symmetric monoidal  $(\infty, 2)$ -category, so that  $h_2\mathcal{C}$  becomes a symmetric monoidal 2-category.

**Definition 2.1.** Suppose  $\mathcal{E}_1$  and  $\mathcal{E}_2$  are  $(\infty, 2)$ -categories. An *adjunction* 

$$F: \mathcal{E}_1 \Longrightarrow \mathcal{E}_2: G$$

is an adjunction in the homotopy 2-category of  $(\infty, 2)$ -categories. It is called **ambidextrous** if the unit  $\eta$ : id  $\to GF$  and the counit  $\epsilon \colon FG \to \operatorname{id}$  have right adjoints  $\eta^{\mathrm{R}} \colon GF \to \operatorname{id}$  and  $\epsilon^{\mathrm{R}} \colon \operatorname{id} \to FG$ .

Remark 2.2. We refer to [RV16] for a comparison of the above definition of adjunctions for  $(\infty, 1)$ -categories and that given by Lurie in [Lur17b].

Remark 2.3. It is easy to see that the transformation  $\epsilon^{\mathbb{R}}$ : id  $\to FG$  exhibits F as right adjoint to G. In other words, G is both left and right adjoint to F, which explains the terminology. On the other hand, the notion of ambidexterity itself comes in left and right variants, and the choice made in the above definition is motivated by our main example (see Proposition 2.21).

Remark 2.4. Recall that if  $\eta$ : id  $\to GF$  exhibits G as right adjoint to F, then we can find a counit  $\epsilon \colon FG \to \mathrm{id}$  and invertible modifications

$$F(\mathcal{M}) \xrightarrow{F(\eta_{\mathcal{M}})} FGF(\mathcal{M}) \xrightarrow{\epsilon_{F(\mathcal{M})}} F(\mathcal{M}) \qquad G(\mathcal{M}) \xrightarrow{\eta_{G(\mathcal{M})}} GFG(\mathcal{M}) \xrightarrow{G(\epsilon_{\mathcal{M}})} G(\mathcal{M})$$

called *triangulators*, satisfying the *swallowtail axioms*:

$$FGFG(\mathfrak{M}) \xrightarrow{\epsilon_{FG(\mathfrak{M})}} FG(\mathfrak{M}) = FGFG(\mathfrak{M}) \xrightarrow{\epsilon_{FG(\mathfrak{M})}} FG(\mathfrak{M})$$

$$F(\eta_{G(\mathfrak{M})}) \nearrow \downarrow_{\tau_{2}} FG(\epsilon_{\mathfrak{M}}) \xrightarrow{\epsilon_{\mathfrak{M}}} FG(\mathfrak{M}) \xrightarrow{\epsilon_{\mathfrak{M}}} FG(\mathfrak{$$

$$GF(\mathcal{M}) \xrightarrow{\eta_{GF(\mathcal{M})}} GFGF(\mathcal{M}) = GF(\mathcal{M}) \xrightarrow{\eta_{GF(\mathcal{M})}} GFGF(\mathcal{M})$$

$$\eta_{\mathcal{M}} \nearrow GF(\eta_{\mathcal{M}}) \nearrow \eta_{\mathcal{M}} \nearrow GF(\mathcal{M}) = GF(\mathcal{M})$$

$$\mathcal{M} \xrightarrow{\eta_{\mathcal{M}}} GF(\mathcal{M}) = GF(\mathcal{M})$$

$$\mathcal{M} \xrightarrow{\eta_{\mathcal{M}}} GF(\mathcal{M}) = GF(\mathcal{M})$$

in the homotopy 2-categories  $h_2\mathcal{E}_1$  and  $h_2\mathcal{E}_2$ . See, for example, [Gur12, Remark 2.2].

**Definition 2.5.** Suppose  $\mathcal{E}_1, \mathcal{E}_2$  are symmetric monoidal  $(\infty, 2)$ -categories. A *symmetric monoidal ambidextrous adjunction* 

$$F: \mathcal{E}_1 \Longrightarrow \mathcal{E}_2: G$$

is an ambidextrous adjunction where F is symmetric monoidal, satisfying the **projection formula**: for any objects  $\mathcal{M}_1 \in \mathcal{E}_1$  and  $\mathcal{M}_2 \in \mathcal{E}_2$  the composite

$$\mathcal{M}_1 \otimes G\mathcal{M}_2 \xrightarrow{\eta} GF(\mathcal{M}_1 \otimes G\mathcal{M}_2) \cong G(F\mathcal{M}_1 \otimes FG\mathcal{M}_2) \xrightarrow{\mathrm{id} \otimes \epsilon} G(F\mathcal{M}_1 \otimes \mathcal{M}_2)$$

is an equivalence.

Remark 2.6. The projection formula isomorphism  $\mathcal{M}_1 \otimes G\mathcal{M}_2 \xrightarrow{\sim} G(F\mathcal{M}_1 \otimes \mathcal{M}_2)$  satisfies various compatibilities with the natural transformations  $\eta, \epsilon$ . These will be implicit in the diagrams we draw.

Since G is right adjoint to F, it has a natural lax monoidal structure and since it is also left adjoint to F, it has a natural oplax monoidal structure. Let us now work out compatibilities between the two.

The lax monoidal structure on G is given by the composite

$$G\mathcal{M}_1 \otimes G\mathcal{M}_2 \xrightarrow{\sim} G(FG\mathcal{M}_1 \otimes \mathcal{M}_2) \xrightarrow{\epsilon \otimes \mathrm{id}} G(\mathcal{M}_1 \otimes \mathcal{M}_2)$$

that we denote by  $\alpha$ . Similarly, the oplax monoidal structure on G is given by the composite

$$G(\mathcal{M}_1 \otimes \mathcal{M}_2) \xrightarrow{\epsilon^{\mathrm{R}} \otimes \mathrm{id}} G(FG\mathcal{M}_1 \otimes \mathcal{M}_2) \xrightarrow{\sim} G\mathcal{M}_1 \otimes G\mathcal{M}_2$$

that we denote by  $\alpha^{R}$ .

The lax compatibility with the units is expressed by the morphisms

$$1_{\mathcal{E}_1} \xrightarrow{\eta} GF(1_{\mathcal{E}_1}) \cong G(1_{\mathcal{E}_2}), \qquad G(1_{\mathcal{E}_2}) \cong GF(1_{\mathcal{E}_1}) \xrightarrow{\eta^{\mathrm{R}}} 1_{\mathcal{E}_1}.$$

For a triple of objects  $\mathcal{M}_1, \mathcal{M}_2, \mathcal{M}_3 \in \mathcal{E}_2$  we have a 2-isomorphism

$$G(\mathcal{M}_{1} \otimes \mathcal{M}_{2}) \otimes G(\mathcal{M}_{3}) \overset{\epsilon^{\mathbf{R}} \otimes \mathrm{id} \otimes \mathrm{id}}{\longrightarrow} G(FG(\mathcal{M}_{1}) \otimes \mathcal{M}_{2}) \otimes G(\mathcal{M}_{3}) \xrightarrow{\sim} G(\mathcal{M}_{1}) \otimes G(\mathcal{M}_{2}) \otimes G(\mathcal{M}_{3})$$

$$\downarrow^{\alpha} \qquad \qquad \downarrow^{\mathrm{id} \otimes \alpha}$$

$$G(\mathcal{M}_{1} \otimes \mathcal{M}_{2} \otimes \mathcal{M}_{3}) \xrightarrow{\epsilon^{\mathbf{R}} \otimes \mathrm{id} \otimes \mathrm{id}} G(FG(\mathcal{M}_{1}) \otimes \mathcal{M}_{2} \otimes \mathcal{M}_{3}) \xrightarrow{\sim} G(\mathcal{M}_{1}) \otimes G(\mathcal{M}_{2} \otimes \mathcal{M}_{3})$$

which gives rise to a modification

$$(id \otimes \alpha) \circ (\alpha^{R} \otimes id) \cong \alpha^{R} \circ \alpha.$$

For a pair of objects  $\mathcal{M}_1, \mathcal{M}_2 \in \mathcal{E}_2$  we have a 2-isomorphism

$$FG(\mathcal{M}_{1}) \otimes \mathcal{M}_{2} \xrightarrow{\operatorname{id} \otimes \epsilon^{\mathbf{R}}} FG\mathcal{M}_{1} \otimes FG\mathcal{M}_{2} \xrightarrow{\sim} FG(FG\mathcal{M}_{1} \otimes \mathcal{M}_{2})$$

$$\downarrow^{\epsilon \otimes \operatorname{id}} \qquad \qquad \downarrow^{\epsilon \otimes \operatorname{id}} \qquad \qquad \downarrow^{\epsilon \otimes \operatorname{id}}$$

$$\mathcal{M}_{1} \otimes \mathcal{M}_{2} \xrightarrow{\epsilon^{\mathbf{R}}} \qquad \qquad \downarrow^{\epsilon \otimes \operatorname{id}}$$

$$FG(\mathcal{M}_{1} \otimes \mathcal{M}_{2})$$

which gives rise to a modification

$$(2.8) \alpha \circ (\mathrm{id} \otimes \epsilon^{\mathrm{R}}) \cong \epsilon^{\mathrm{R}} \circ (\epsilon \otimes \mathrm{id}).$$

Similarly, we have a 2-isomorphism

$$FG(\mathcal{M}_1 \otimes \mathcal{M}_2) \xrightarrow{\epsilon} \mathcal{M}_1 \otimes \mathcal{M}_2$$

$$\downarrow^{\epsilon^R \otimes \mathrm{id}} \qquad \qquad \downarrow^{\epsilon^R \otimes \mathrm{id}}$$

$$FG(FG\mathcal{M}_1 \otimes \mathcal{M}_2) \qquad \qquad \downarrow^{\epsilon^R \otimes \mathrm{id}}$$

$$\downarrow^{\sim} \qquad \qquad \downarrow^{\epsilon}$$

$$FG\mathcal{M}_1 \otimes FG\mathcal{M}_2 \xrightarrow{\mathrm{id} \otimes \epsilon} FG\mathcal{M}_1 \otimes \mathcal{M}_2$$

which gives rise to a modification

$$(2.9) (id \otimes \epsilon) \circ \alpha^{R} \cong (\epsilon^{R} \otimes id) \circ \epsilon.$$

**Lemma 2.10.** The modifications (2.8) and (2.9) intertwine units and counits, i.e. we have equalities of 2-morphisms

(1)

$$FG\mathcal{M}_{1} \otimes FG\mathcal{M}_{2} \xrightarrow{\epsilon \otimes \mathrm{id}} \mathcal{M}_{1} \otimes FG\mathcal{M}_{2} \xrightarrow{\mathrm{id} \otimes \epsilon} \mathcal{M}_{1} \otimes \mathcal{M}_{2} = FG\mathcal{M}_{1} \otimes FG\mathcal{M}_{2} \xrightarrow{\epsilon \circ \alpha} \mathcal{M}_{1} \otimes \mathcal{M}_{2}$$

$$\downarrow \epsilon^{\mathrm{R}} \otimes \mathrm{id} \qquad \qquad \downarrow \epsilon^{\mathrm{R}} \qquad \qquad \downarrow \epsilon^{\mathrm{R}}$$

$$FG\mathcal{M}_{1} \otimes FG\mathcal{M}_{2} = FG\mathcal{M}_{1} \otimes FG\mathcal{M}_{2} \xrightarrow{\alpha} FG(\mathcal{M}_{1} \otimes \mathcal{M}_{2}) \qquad FG\mathcal{M}_{1} \otimes FG\mathcal{M}_{2} \xrightarrow{\alpha} FG(\mathcal{M}_{1} \otimes \mathcal{M}_{2})$$

$$(2)$$

$$FG(\mathcal{M}_{1} \otimes \mathcal{M}_{2}) \xrightarrow{\epsilon} \mathcal{M}_{1} \otimes \mathcal{M}_{2} = FG(\mathcal{M}_{1} \otimes \mathcal{M}_{2}) \xrightarrow{\epsilon} \mathcal{M}_{1} \otimes \mathcal{M}_{2}$$

$$\downarrow \alpha^{\mathrm{R}} \qquad \qquad \downarrow \epsilon^{\mathrm{R}} \otimes \mathrm{id} \nearrow \qquad \qquad \downarrow \alpha^{\mathrm{R}} \qquad \qquad$$

in  $h_2 \mathcal{E}_2$ .

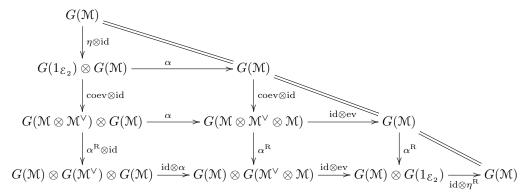
**Proposition 2.11.** Let  $\mathcal{E}_1, \mathcal{E}_2$  be symmetric monoidal  $(\infty, 2)$ -categories and  $F \colon \mathcal{E}_1 \rightleftarrows \mathcal{E}_2 \colon G$  a symmetric monoidal ambidextrous adjunction. Then  $G \colon \mathcal{E}_2 \to \mathcal{E}_1$  preserves dualizable objects. Moreover, given a dualizable object  $\mathfrak{M} \in \mathcal{E}_2$  with the dual  $\mathfrak{M}^{\vee}$ , the dual of  $G(\mathfrak{M})$  is given by  $G(\mathfrak{M}^{\vee})$  with the evaluation map given by

$$G(\mathcal{M}) \otimes G(\mathcal{M}^{\vee}) \xrightarrow{\alpha} G(\mathcal{M} \otimes \mathcal{M}^{\vee}) \xrightarrow{\operatorname{ev}} G(1_{\mathcal{E}_2}) \xrightarrow{\eta^{\mathrm{R}}} 1_{\mathcal{E}_1}$$

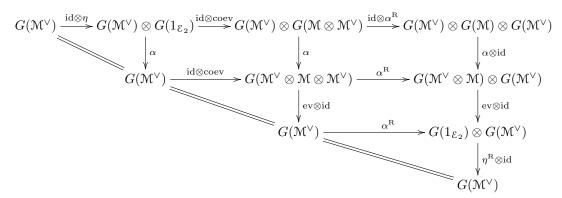
and the coevaluation map given by

$$1_{\mathcal{E}_1} \xrightarrow{\eta} G(1_{\mathcal{E}_2}) \xrightarrow{\operatorname{coev}} G(\mathcal{M} \otimes \mathcal{M}^{\vee}) \xrightarrow{\alpha^{\mathrm{R}}} G(\mathcal{M}) \otimes G(\mathcal{M}^{\vee}).$$

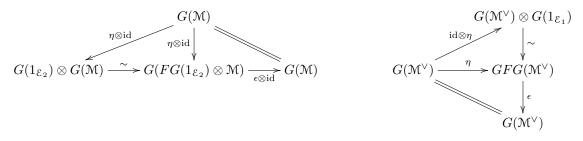
*Proof.* We construct triangulators using the diagrams



and



using (2.7). Here the corner 2-isomorphisms are constructed as



If  $\mathcal{E}$  is a symmetric monoidal  $(\infty, 2)$ -category, we denote by  $\mathcal{E}^{\text{dual}} \subset \iota_1 \mathcal{E}$  the non-full subcategory whose objects are the 1-dualizable objects and whose morphisms are the right-adjointable morphisms.

**Proposition 2.12.** If  $F: \mathcal{E}_1 \rightleftarrows \mathcal{E}_2: G$  is a symmetric monoidal ambidextrous adjunction, it restricts to an adjunction

$$F \colon \mathcal{E}_1^{\mathrm{dual}} \rightleftarrows \mathcal{E}_2^{\mathrm{dual}} \colon G.$$

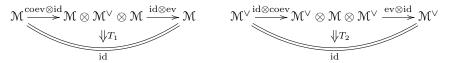
*Proof.* Since F and G are  $(\infty, 2)$ -functors, they preserve right-adjointable morphisms. The functor F preserves dualizable objects since it is symmetric monoidal, and the functor G preserves dualizable objects by Proposition 2.11.

It remains to check that  $\eta$ : id  $\to GF$  and  $\epsilon$ :  $FG \to \text{id}$  are right-adjointable on dualizable objects, but this holds by the assumption of ambidexterity.

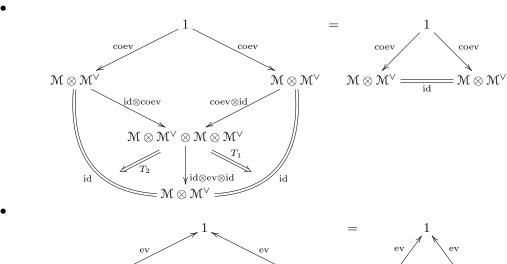
In the future we will also need a certain "coherent" version of duality.

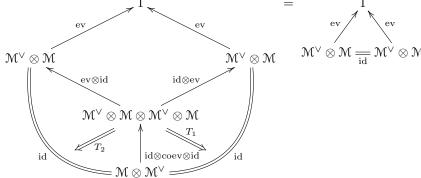
**Definition 2.13.** Suppose  $\mathcal{E}$  is a symmetric monoidal  $\infty$ -category. A *coherent dual pair* is given by the following data:

- Objects  $\mathcal{M}, \mathcal{M}^{\vee} \in \mathcal{E}$ .
- 1-morphisms coev:  $1 \to \mathcal{M} \otimes \mathcal{M}^{\vee}$  and ev:  $\mathcal{M}^{\vee} \otimes \mathcal{M} \to 1$ .
- Invertible 2-morphisms



These are required to satisfy the *swallowtail axioms*:





which are understood as equalities in the homotopy 2-category  $h_2\mathcal{E}$ .

By [Pst14, Theorem 2.14], every dualizable object is part of a coherent dual pair.

2.2. **Rigidity.** In this section we define the notion of a rigid symmetric monoidal functor, generalizing the discussion of [Gai15, Section D].

Let  $\operatorname{Mod}_k = \operatorname{Mod}_k(\operatorname{Sp}) \in \operatorname{Pr}^{\operatorname{St}}$  be the  $\infty$ -category of k-modules. The  $\infty$ -category

$$\mathfrak{P}\mathbf{r}_k^{\operatorname{St}} = \operatorname{Mod}_{\operatorname{Mod}_k}(\mathfrak{P}\mathbf{r}^{\operatorname{St}})$$

has an induced symmetric monoidal structure. Let us also introduce the symmetric monoidal  $(\infty, 2)$ -category

$$\mathbf{Pr}_k^{\mathrm{St}} = \mathrm{Mod}_{\mathrm{Mod}_k}(\mathbf{Pr}^{\mathrm{St}}).$$

**Definition 2.14.** A k-linear symmetric monoidal  $\infty$ -category is a commutative algebra object in  $\operatorname{Pr}_k^{\operatorname{St}}$ .

Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category. Then  $\mathcal{C}$  is an object in

$$CAlg(\mathfrak{P}\mathbf{r}_k^{St}) = CAlg(\iota_1 \mathbf{P}\mathbf{r}_k^{St}).$$

We denote by  $\operatorname{Mod}_{\mathcal{C}} = \operatorname{Mod}_{\mathcal{C}}(\operatorname{\mathcal{P}r}_k^{\operatorname{St}})$  be its  $\infty$ -category of modules. As explained in [HSS17, Section 4.4] there exists a functor

$$\mathrm{CAlg}(\iota_1\mathbf{Pr}_k^{\mathrm{St}}) \to \mathrm{Cat}_{(\infty,2)}^{\otimes} \quad \mathfrak{C} \mapsto \mathrm{Mod}_{\mathfrak{C}}(\mathbf{Pr}_k^{\mathrm{St}})$$

sending  $\mathcal{C}$  to the monoidal  $(\infty, 2)$ -category of  $\mathcal{C}$ -modules in  $\mathbf{Pr}_k^{\mathrm{St}}$ . Further, as shown in [HSS17, Section 4.4], this construction has the property that

$$\iota_1 \operatorname{Mod}_{\mathfrak{C}}(\mathbf{Pr}_k^{\operatorname{St}}) \simeq \operatorname{Mod}_{\mathfrak{C}}.$$

Thus  $\operatorname{Mod}_{\mathfrak{C}}(\mathbf{Pr}_{k}^{\operatorname{St}})$  gives a natural  $(\infty,2)$ -categorical enhancement of  $\operatorname{Mod}_{\mathfrak{C}}$ .

Given a symmetric monoidal functor  $f \colon \mathcal{D} \to \mathcal{C}$  we get an induced adjunction

$$f^* \colon \mathrm{Mod}_{\mathcal{D}}(\mathbf{Pr}_k^{\mathrm{St}}) \Longrightarrow \mathrm{Mod}_{\mathcal{C}}(\mathbf{Pr}_k^{\mathrm{St}}) \colon f_* \ ,$$

where the functor  $f^*$  sends a  $\mathcal{D}$ -module category  $\mathcal{M}$  to  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{M}$  and  $f_*$  is the forgetful functor. The counit of the adjunction

$$\epsilon_{\mathcal{M}} \colon f^* f_* \mathcal{M} \cong \mathcal{C} \otimes_{\mathcal{D}} \mathcal{M} \longrightarrow \mathcal{M}$$

is given by the action map and the unit

$$\eta_{\mathcal{N}} \colon \mathcal{N} \longrightarrow f_* f^* \mathcal{N} \cong \mathcal{N} \otimes_{\mathcal{D}} \mathcal{C}$$

is given by  $n \mapsto n \boxtimes 1_{\mathcal{C}}$ .

Let

$$\Delta \colon \mathfrak{C} \otimes_{\mathfrak{D}} \mathfrak{C} \longrightarrow \mathfrak{C}$$

be the tensor product functor. It can naturally be enhanced to a morphism in  $\operatorname{Mod}_{\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}}$ . Since the underlying functor preserves colimits, it has a possibly discontinuous right adjoint. Moreover, the right adjoint a priori is only lax compatible with the action of  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$ .

**Definition 2.15.** Let  $f: \mathcal{D} \to \mathcal{C}$  be a symmetric monoidal functor of k-linear symmetric monoidal  $\infty$ -categories. We call f rigid if

- (1) the morphism  $\Delta \colon \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  in  $\mathrm{Mod}_{\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}}(\mathbf{Pr}_k^{\mathrm{St}})$  is right adjointable.
- (2)  $f: \mathcal{D} \to \mathcal{C}$  in  $\mathrm{Mod}_{\mathcal{D}}(\mathbf{Pr}_k^{\mathrm{St}})$  is right adjointable.

**Definition 2.16.** We say a k-linear symmetric monoidal  $\infty$ -category  $\mathcal{C}$  is rigid if the unit functor  $\mathrm{Mod}_k \to \mathcal{C}$  is rigid in the above sense.

Given a rigid symmetric monoidal functor  $f \colon \mathcal{D} \to \mathcal{C}$  we denote the corresponding right adjoints by

$$f^{\mathbf{R}} \colon \mathfrak{C} \longrightarrow \mathfrak{D}, \qquad \Delta^{\mathbf{R}} \colon \mathfrak{C} \longrightarrow \mathfrak{C} \otimes_{\mathfrak{D}} \mathfrak{C}.$$

**Proposition 2.17.** The functors

$$\operatorname{ev} \colon \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \xrightarrow{\Delta} \mathcal{C} \xrightarrow{f^{\mathbf{R}}} \mathcal{D}$$

and

$$\operatorname{coev} \colon \mathcal{D} \stackrel{f}{\longrightarrow} \mathcal{C} \stackrel{\Delta^{\mathbf{R}}}{\longrightarrow} \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$$

exhibit a self-duality  $\mathfrak{C} \simeq \mathfrak{C}^{\vee}$  in  $\mathrm{Mod}_{\mathfrak{D}}$ .

*Proof.* We have to check that the composite

$$\begin{array}{c}
\stackrel{\operatorname{id}\otimes f}{\longrightarrow} & \mathcal{C} \otimes_{\mathcal{D}} & \mathcal{C} \\
\xrightarrow{\operatorname{id}\otimes \Delta^{\mathcal{R}}} & \mathcal{C} \otimes_{\mathcal{D}} & \mathcal{C} \otimes_{\mathcal{D}} & \mathcal{C} \\
\xrightarrow{\Delta \otimes \operatorname{id}} & \mathcal{C} \otimes_{\mathcal{D}} & \mathcal{C} \\
\xrightarrow{f^{\mathcal{R}} \otimes \operatorname{id}} & \mathcal{C}
\end{array}$$

is naturally isomorphic to the identity. Indeed, by the first axiom of rigidity we know that  $\Delta^R$  lies in  $\operatorname{Mod}_{\mathbb{C}\otimes_{\mathcal{D}}\mathbb{C}}$ . Using the canonical algebra map  $\mathbb{C}\to\mathbb{C}\otimes_{\mathbb{D}}\mathbb{C}$  given by  $x\mapsto x\boxtimes 1$ , we see that  $\Delta^R$  also lies in  $\operatorname{Mod}_{\mathbb{C}}$ . Hence we have a commutative diagram of  $\infty$ -categories

and the claim follows since the composite

$$\mathfrak{C} \xrightarrow{\mathrm{id} \otimes f} \mathfrak{C} \otimes_{\mathfrak{D}} \mathfrak{C} \xrightarrow{\Delta} \mathfrak{C}$$

is naturally isomorphic to the identity.

Given an object  $x \in \mathcal{C}$ , the functor  $\mathcal{D} \to \mathcal{C}$  given by  $d \mapsto f(d) \otimes x$  preserves colimits, so it admits a right adjoint  $\underline{\mathrm{Hom}}(x,-) \colon \mathcal{C} \to \mathcal{D}$ , which is a lax  $\mathcal{D}$ -module functor.

**Proposition 2.18.** Let  $\mathcal{D} \to \mathcal{C}$  be a rigid symmetric monoidal functor. An object  $x \in \mathcal{C}$  is dualizable iff  $\underline{\text{Hom}}(x,-)$  preserves colimits and is  $\mathcal{D}$ -linear.

*Proof.* Suppose  $x \in \mathcal{C}$  is dualizable. Then we have a sequence of equivalences

$$\operatorname{Map}_{\mathfrak{C}}(f(d) \otimes x, y) \simeq \operatorname{Map}_{\mathfrak{C}}(f(d), x^{\vee} \otimes y)$$
$$\simeq \operatorname{Map}_{\mathfrak{D}}(d, f^{R}(x^{\vee} \otimes y))$$

and hence  $\underline{\mathrm{Hom}}(x,y) \simeq f^{\mathrm{R}}(x^{\vee} \otimes y)$ . Therefore,  $\underline{\mathrm{Hom}}(x,-)$  preserves colimits since  $f^{\mathrm{R}}$  does, and it is  $\mathcal{D}$ -linear since  $f^{\mathrm{R}}$  is.

Conversely, suppose  $\underline{\mathrm{Hom}}(x,-)$  preserves colimits and is  $\mathcal{D}$ -linear. Consider the functor

$$\operatorname{Hom}_{2}(x,-)\colon \mathfrak{C}\otimes_{\mathfrak{D}}\mathfrak{C}\to\mathfrak{C}$$

obtained from  $\underline{\mathrm{Hom}}(x,-)$  by extending scalars from  $\mathcal{D}$  to  $\mathcal{C}$ .

We define the duality data as follows. Let

$$x^{\vee} = \operatorname{Hom}_{2}(x, \Delta^{R}(1)).$$

By construction we have an evaluation morphism  $x^{\vee} \boxtimes x \to \Delta^{\mathbb{R}}(1)$ .

We define the coevaluation to be the composite

$$1 \longrightarrow \underline{\operatorname{Hom}}_{2}(x, 1 \boxtimes x)$$

$$\longrightarrow \underline{\operatorname{Hom}}_{2}(x, \Delta^{R}(x))$$

$$\stackrel{\sim}{\longleftarrow} \underline{\operatorname{Hom}}_{2}(x, x \otimes_{1} \Delta^{R}(1))$$

$$\simeq x \otimes \underline{\operatorname{Hom}}_{2}(x, \Delta^{R}(1))$$

$$= x \otimes x^{\vee}$$

Here we use the unit  $1 \boxtimes x \to \Delta^{\mathbf{R}} \circ \Delta(1 \boxtimes x) \simeq \Delta^{\mathbf{R}}(x)$  in the second line, the first axiom of rigidity in the third line, and the C-linearity of  $\underline{\mathrm{Hom}}_2(x,-)$  in the fourth line.

The evaluation is defined to be the composite

$$x^{\vee} \otimes x = \Delta(x^{\vee} \boxtimes x)$$

$$\longrightarrow \Delta \circ \Delta^{R}(1)$$

$$\longrightarrow 1$$

The duality axioms follow from the naturality of the unit and counit morphisms.

**Corollary 2.19.** Let C be a rigid symmetric monoidal  $\infty$ -category. Then compact objects coincide with dualizable objects in C.

Conversely, one has the following statement.

**Proposition 2.20.** Suppose C is a compactly generated k-linear symmetric monoidal  $\infty$ -category. Then it is rigid iff the following conditions are satisfied:

- The unit object 1c is compact.
- Every compact object admits a dual.

Let us state several important properties of rigid symmetric monoidal functors which we will need.

**Proposition 2.21.** Suppose  $f: \mathcal{D} \to \mathcal{C}$  is rigid. Then the adjunction

$$f^* : \operatorname{Mod}_{\mathcal{D}}(\mathbf{Pr}_k^{\operatorname{St}}) \Longrightarrow \operatorname{Mod}_{\mathcal{C}}(\mathbf{Pr}_k^{\operatorname{St}}) : f_*$$

is a symmetric monoidal ambidextrous adjunction.

*Proof.* Recall that the first axiom of rigidity states that  $\Delta \colon \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  has a right adjoint  $\Delta^{\mathbf{R}}$  in  $\mathrm{Mod}_{\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}}(\mathbf{Pr}_k^{\mathrm{St}})$ . In particular, it has a right adjoint in  $\mathrm{Mod}_{\mathcal{C}}(\mathbf{Pr}_k^{\mathrm{St}})$ , where  $\mathcal{C} \to \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$  is given by  $c \mapsto 1 \otimes c$ . We can identify  $\epsilon \cong \Delta \otimes_{\mathcal{C}} \mathrm{id}_{(-)}$ , therefore it has a right adjoint given by  $\Delta^{\mathbf{R}} \otimes_{\mathcal{C}} \mathrm{id}_{(-)}$  which is obviously a strict natural transformation.

Similarly, the second axiom of rigidity states that  $f: \mathcal{D} \to \mathcal{C}$  has a right adjoint  $f^{\mathcal{R}}$  in  $\operatorname{Mod}_{\mathcal{D}}(\mathbf{Pr}_k^{\operatorname{St}})$ . But we can identify  $\eta \cong f \otimes_{\mathcal{D}} \operatorname{id}_{(-)}$  and hence it has a right adjoint given by  $f^{\mathcal{R}} \otimes_{\mathcal{D}} \operatorname{id}_{(-)}$ .

Finally, given  $\mathcal{M}_1 \in \operatorname{Mod}_{\mathcal{D}}$  and  $\mathcal{M}_2 \in \operatorname{Mod}_{\mathcal{C}}$  the composite

$$\mathfrak{M}_1 \otimes_{\mathfrak{D}} f_* \mathfrak{M}_2 \xrightarrow{\eta} f_* f^* (\mathfrak{M}_1 \otimes_{\mathfrak{D}} f_* \mathfrak{M}_2) \cong f_* (f^* \mathfrak{M}_1 \otimes_{\mathfrak{C}} f^* f_* \mathfrak{M}_2) \xrightarrow{\mathrm{id} \otimes \epsilon} f_* (f^* \mathfrak{M}_1 \otimes_{\mathfrak{C}} \mathfrak{M}_2)$$

is an equivalence iff it is so in  $\mathfrak{P}\mathbf{r}_k^{\text{St}}.$  But its image in  $\mathfrak{P}\mathbf{r}_k^{\text{St}}$  is

$$\mathfrak{M}_1 \otimes \mathfrak{M}_2 \xrightarrow{1 \otimes \mathrm{id} \otimes \mathrm{id}} \mathfrak{C} \otimes \mathfrak{M}_1 \otimes \mathfrak{M}_2 \cong \mathfrak{M}_1 \otimes (\mathfrak{C} \otimes \mathfrak{M}_2) \xrightarrow{\mathrm{id} \otimes \epsilon} \mathfrak{M}_1 \otimes \mathfrak{M}_2$$

which is equivalent to the identity by the unit axiom.

Let us now show that rigid functors are stable under compositions and pushouts.

**Proposition 2.22.** Suppose  $\mathcal{E} \to \mathcal{D}$  and  $\mathcal{D} \to \mathcal{C}$  are rigid. Then the composition  $\mathcal{E} \to \mathcal{D} \to \mathcal{C}$  is rigid.

*Proof.* Since  $\mathcal{D} \to \mathcal{C}$  is right-adjointable as a  $\mathcal{D}$ -module, it is also right-adjointable as an  $\mathcal{E}$ -module. Therefore, the composite  $\mathcal{E} \to \mathcal{D} \to \mathcal{C}$  is right-adjointable in  $\mathrm{Mod}_{\mathcal{E}}(\mathbf{Pr}_k^{\mathrm{St}})$ .

The tensor product  $\mathcal{C} \otimes_{\mathcal{E}} \mathcal{C} \to \mathcal{C}$  can be written as a composite

$$\mathcal{C} \otimes_{\mathcal{E}} \mathcal{C} \to \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}.$$

The first functor can be identified with

$$\mathcal{C} \otimes_{\mathcal{E}} \mathcal{C} \to \mathcal{D} \otimes_{\mathcal{D} \otimes_{\mathcal{E}} \mathcal{D}} (\mathcal{C} \otimes_{\mathcal{E}} \mathcal{C}).$$

Since  $\mathcal{D} \otimes_{\mathcal{E}} \mathcal{D} \to \mathcal{D}$  is right-adjointable as an  $\mathcal{D} \otimes_{\mathcal{E}} \mathcal{D}$ -module, we therefore see that  $\mathcal{C} \otimes_{\mathcal{E}} \mathcal{C} \to \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$  is right-adjointable as a  $\mathcal{C} \otimes_{\mathcal{E}} \mathcal{C}$ -module.

The second functor  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  is right-adjointable as a  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$ -module and hence as a  $\mathcal{C} \otimes_{\mathcal{E}} \mathcal{C}$ -module.  $\square$ 

**Proposition 2.23.** Suppose  $f: \mathcal{D} \to \mathcal{C}$  is rigid and  $\mathcal{D} \to \mathcal{E}$  is an arbitrary symmetric monoidal functor. Then

$$\mathfrak{S} \longrightarrow \mathfrak{S} \otimes_{\mathfrak{D}} \mathfrak{S}$$

is rigid.

*Proof.* The morphism  $\mathcal{D} \to \mathcal{C}$  is right-adjointable in  $\operatorname{Mod}_{\mathcal{D}}$ . The functor

$$\mathcal{E} \otimes_{\mathcal{D}} (-) \colon \mathrm{Mod}_{\mathcal{D}} \to \mathrm{Mod}_{\mathcal{E}}$$

sends it to  $\mathcal{E} \to \mathcal{E} \otimes_{\mathcal{D}} \mathcal{C}$  which is therefore also right-adjointable.

Let  $\mathcal{P} = \mathcal{E} \otimes_{\mathcal{D}} \mathcal{C}$ . We can identify

$$\mathfrak{P} \otimes_{\mathcal{E}} \mathfrak{P} \cong \mathcal{E} \otimes_{\mathcal{E} \otimes \mathcal{E}} (\mathfrak{P} \otimes \mathfrak{P}) \cong \mathcal{E} \otimes_{\mathfrak{D}} \mathfrak{D} \otimes_{\mathfrak{D} \otimes \mathfrak{D}} (\mathfrak{C} \otimes \mathfrak{C}).$$

Therefore, we can upgrade  $\mathcal{E} \otimes_{\mathcal{D}} (-)$  to a functor

$$\operatorname{Mod}_{\mathfrak{C} \otimes_{\mathfrak{D}} \mathfrak{C}} \to \operatorname{Mod}_{\mathfrak{P} \otimes_{\mathfrak{E}} \mathfrak{P}}.$$

It sends the tensor functor  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  to the tensor functor  $\mathcal{P} \otimes_{\mathcal{E}} \mathcal{P} \to \mathcal{P}$  which is therefore right-adjointable.

2.3. **Loop spaces.** Since the  $\infty$ -category  $\operatorname{CAlg}(\operatorname{\mathcal{P}r}_k^{\operatorname{St}})$  has all small colimits, it is naturally tensored over spaces.

**Definition 2.24.** Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category. Its **loop space** is defined to be the k-linear symmetric monoidal  $\infty$ -category

$$\mathcal{LC} = S^1 \otimes \mathcal{C} \cong \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} \mathcal{C}.$$

Remark 2.25. We can identify  $\mathcal{LC}$  as a C-module with  $\Delta_{\mathcal{C}}^*\Delta_{\mathcal{C}}_*\mathcal{C}$ .

The inclusion of the basepoint pt  $\to S^1$  gives rise to a symmetric monoidal functor

$$p_{\mathcal{C}}: \mathcal{C} \longrightarrow \mathcal{LC}.$$

Given a functor of symmetric monoidal  $\infty$ -categories  $f \colon \mathcal{D} \to \mathcal{C}$ , we get an induced symmetric monoidal functor

$$\mathcal{L}f: \mathcal{L}\mathcal{D} \longrightarrow \mathcal{L}\mathcal{C}.$$

Note that we can identify it with the composite

$$(2.26) \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \cong \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \to \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} \mathcal{C} \cong \mathcal{L}\mathcal{C}.$$

We will also denote by

$$p: \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{L}\mathcal{C}$$

the functor induced by  $\mathcal{L}f$  and  $p_{\mathcal{C}}$ .

**Proposition 2.27.** Let  $f: \mathcal{D} \to \mathcal{C}$  be a symmetric monoidal functor.

- (1) If  $f: \mathcal{D} \to \mathcal{C}$  is rigid,  $\mathcal{L}f: \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{C}$  is right-adjointable in  $\mathrm{Mod}_{\mathcal{L}\mathcal{D}}(\mathbf{Pr}_k^{\mathrm{St}})$
- (2) If  $f: \mathcal{D} \to \mathcal{C}$  and  $\Delta: \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  are rigid, so is  $\mathcal{L}f: \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{C}$ .

*Proof.* Suppose  $\mathcal{D} \to \mathcal{C}$  is rigid. Therefore,  $\mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C}$  is rigid by Proposition 2.23. Similarly, since  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  is right-adjointable in  $\mathrm{Mod}_{\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}}(\mathbf{Pr}_k^{\mathrm{St}})$ ,

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \cong \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \to \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} \mathcal{C}$$

is right-adjointable in  $\operatorname{Mod}_{\mathcal{L}\mathcal{D}\otimes_{\mathcal{D}}\mathcal{C}}(\mathbf{Pr}_k^{\operatorname{St}})$ . Therefore, the composite (2.26) is right-adjointable in  $\operatorname{Mod}_{\mathcal{L}\mathcal{D}}(\mathbf{Pr}_k^{\operatorname{St}})$ . Now suppose in addition  $\mathcal{C}\otimes_{\mathcal{D}}\mathcal{C}\to\mathcal{C}$  is rigid. Then  $\mathcal{C}\otimes_{\mathcal{C}\otimes\mathcal{C}}(\mathcal{C}\otimes_{\mathcal{D}}\mathcal{C})\to\mathcal{C}\otimes_{\mathcal{C}\otimes\mathcal{C}}\mathcal{C}$  is rigid by Proposition 2.23. Therefore, by Proposition 2.22 the functor  $\mathcal{L}\mathcal{D}\to\mathcal{L}\mathcal{C}$  is rigid as well.

Let 
$$\lambda : \mathrm{id} \to (\mathcal{L}f)_*(\mathcal{L}f)^*$$
 be the unit of the adjunction  $(\mathcal{L}f)^* : \mathrm{Mod}_{\mathcal{L}\mathcal{D}} \rightleftharpoons \mathrm{Mod}_{\mathcal{L}\mathcal{C}} : (\mathcal{L}f)_*$ 

**Proposition 2.28.** Suppose  $f: \mathcal{D} \to \mathcal{C}$  is a rigid symmetric monoidal functor. Then  $\lambda$  admits a right adjoint  $\lambda^{R}$  which is a strict natural transformation.

*Proof.* Note that  $\lambda \cong \mathcal{L}f \otimes_{\mathcal{L}\mathcal{D}} \mathrm{id}_{(-)}$ . Since f is rigid,  $\mathcal{L}f$  is right-adjointable as an  $\mathcal{L}\mathcal{D}$ -module functor, by Proposition 2.27. Therefore, the transformation  $\lambda$  has a strictly natural right adjoint given by  $\lambda^{\mathrm{R}} \cong (\mathcal{L}f)^{\mathrm{R}} \otimes_{\mathcal{L}\mathcal{D}} \mathrm{id}_{(-)}$ .

2.4. Smooth and proper modules. In this section we introduce further finiteness conditions on functors and modules relevant to the uncategorified GRR theorem. The notions of smooth, proper and saturated category go back to works of Bondal, Kapranov and others in the setting of classical triangulated categories, see for instance [BK90]. We reefer the reader to [Lur17a, Section 4.6.4] for a discussion of closely related concepts in the  $\infty$ -categorical setting.

**Definition 2.29.** Let  $f: \mathcal{D} \to \mathcal{C}$  be a k-linear symmetric monoidal functor. We say that f is:

- (1) **proper** if  $f: \mathcal{D} \to \mathcal{C}$  is rigid and  $f^{\mathbb{R}}$  admits a right adjoint in  $\operatorname{Mod}_{\mathcal{D}}(\mathbf{Pr}_k^{\operatorname{St}})$ .
- (2) **smooth** if  $\Delta : \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  is rigid and  $\Delta^{\mathbf{R}}$  admits a right adjoint in  $\mathrm{Mod}_{\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}}(\mathbf{Pr}_{k}^{\mathrm{St}})$ .

**Definition 2.30.** Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category. We say that a dualizable  $\mathcal{C}$ -module  $\mathcal{M} \in \operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}}$  is

- (1) **proper** if the evaluation map  $ev_{\mathcal{M}}$  admits a right adjoint in  $Mod_{\mathcal{C}}(\mathbf{Pr}_{k}^{St})$ ;
- (2) **smooth** if the coevaluation map  $coev_{\mathfrak{M}}$  admits a right adjoint in  $Mod_{\mathfrak{C}}(\mathbf{Pr}_{k}^{\operatorname{St}});$
- (3) **saturated** if M is smooth and proper.

**Lemma 2.31.** Let M be a C-module. Then M is saturated if and only if it is fully dualizable in the symmetric monoidal  $(\infty, 2)$ -category  $Mod_{\mathcal{C}}(\mathbf{Pr}_{k}^{St})$ .

*Proof.* Indeed, by [Pst14, Theorem 3.9],  $\mathcal{M}$  is fully dualizable if and only if it is dualizable with right-adjointable evaluation and coevaluation maps.

**Lemma 2.32.** Let  $f: \mathcal{D} \to \mathcal{C}$  be a rigid morphism of k-linear symmetric monoidal  $\infty$ -categories.

- (1) If f is proper, then  $f_* \colon \operatorname{Mod}_{\mathbb{C}} \to \operatorname{Mod}_{\mathbb{D}}$  preserves proper  $\infty$ -categories.
- (2) If f is smooth, then  $f_* \colon \mathrm{Mod}_{\mathbb{C}} \to \mathrm{Mod}_{\mathbb{D}}$  preserves smooth  $\infty$ -categories.
- (3) If f is smooth and proper, then  $f_* \colon \mathrm{Mod}_{\mathbb{C}} \to \mathrm{Mod}_{\mathbb{D}}$  preserves saturated  $\infty$ -categories.

*Proof.* This follows immediately from the definitions and Proposition 2.11.

**Lemma 2.33.** Suppose  $f: \mathcal{D} \to \mathcal{C}$  is a symmetric monoidal functor which is smooth and proper. Then  $\mathcal{L}f: \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{C}$  is proper.

*Proof.* Since  $\mathcal{D} \to \mathcal{C}$  and  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  are rigid,  $\mathcal{L}f$  is rigid by Proposition 2.27. Decompose  $\mathcal{L}f$  using (2.26) as

$$\mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \cong \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \to \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} \mathcal{C}.$$

Since  $\mathcal{D} \to \mathcal{C}$  is twice right adjointable in  $\mathrm{Mod}_{\mathcal{D}}(\mathbf{Pr}_k^{\mathrm{St}})$  by properness of  $f, \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C}$  is twice right adjointable in  $\mathrm{Mod}_{\mathcal{L}\mathcal{D}}(\mathbf{Pr}_k^{\mathrm{St}})$ .

Similarly, since  $\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$  is twice right adjointable in  $\mathrm{Mod}_{\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}}(\mathbf{Pr}_k^{\mathrm{St}})$  by smoothness of f,

$$\mathfrak{C} \otimes_{\mathfrak{C} \otimes \mathfrak{C}} (\mathfrak{C} \otimes_{\mathfrak{D}} \mathfrak{C}) \to \mathfrak{C} \otimes_{\mathfrak{C} \otimes \mathfrak{C}} \mathfrak{C}$$

is also twice right adjointable in  $\operatorname{Mod}_{\mathcal{L}\mathcal{D}\otimes_{\mathcal{D}}\mathcal{C}}(\mathbf{Pr}_{k}^{\operatorname{St}})$ .

2.5. **Geometric setting.** Recall that a derived prestack is a functor from the  $\infty$ -category of connective  $\mathbb{E}_{\infty}$  algebras over k to the  $\infty$ -category of spaces. Our main source of rigid symmetric monoidal functors is given by considering passable morphisms of derived prestacks.

If  $f: X \to Y$  is a morphism of prestacks, then the pullback  $f^*: \operatorname{QCoh}(Y) \to \operatorname{QCoh}(X)$  is a symmetric monoidal functor.

**Definition 2.34.** A morphism of prestacks  $f: X \to Y$  is **passable** if the following conditions are satisfied:

- (1) The diagonal  $X \to X \times_Y X$  is quasi-affine.
- (2) The pullback  $f^*: \operatorname{QCoh}(Y) \to \operatorname{QCoh}(X)$  admits a right adjoint  $f_*: \operatorname{QCoh}(X) \to \operatorname{QCoh}(Y)$  in  $\operatorname{Mod}_{\operatorname{QCoh}(Y)}(\mathbf{Pr}_k^{\operatorname{St}})$ .
- (3) The  $\infty$ -category QCoh(X) is dualizable as a QCoh(Y)-module.

**Proposition 2.35.** Suppose  $f: X \to Y$  is a passable morphism of prestacks and Y has a quasi-affine diagonal. Then the pullback functor  $f^*: \operatorname{QCoh}(Y) \to \operatorname{QCoh}(X)$  is a rigid symmetric monoidal functor.

*Proof.* The proof is similar to the proof of [Gai15, Proposition 5.1.7].

First of all, the second axiom of passability for  $f: X \to Y$  is exactly the second axiom of rigidity for  $f^*: \operatorname{QCoh}(Y) \to \operatorname{QCoh}(X)$ .

Since QCoh(X) is dualizable as a QCoh(Y)-module category, the functor  $QCoh(X) \otimes_{QCoh(Y)} (-)$  preserves limits. Therefore, the functor

$$\begin{aligned} \operatorname{QCoh}(X) \otimes_{\operatorname{QCoh}(Y)} \operatorname{QCoh}(X) &= \left(\lim_{S \to X} \operatorname{QCoh}(S)\right) \otimes_{\operatorname{QCoh}(Y)} \operatorname{QCoh}(X) \\ &\to \lim_{S \to X} \left(\operatorname{QCoh}(S) \otimes_{\operatorname{QCoh}(Y)} \operatorname{QCoh}(X)\right) \end{aligned}$$

is an equivalence where the limit is over affine derived schemes S with a morphism to X. Since the diagonal of Y is quasi-affine, the morphism  $S \to X \to Y$  is quasi-affine as well. But then by [Gai15, Proposition B.1.3], the functor  $QCoh(S) \otimes_{QCoh(Y)} QCoh(X) \to QCoh(S \times_Y X)$  is an equivalence. Since

$$\operatorname{QCoh}(X \times_Y X) \simeq \lim_{S \to X} \operatorname{QCoh}(S \times_Y X),$$

this proves that the natural functor  $\operatorname{QCoh}(X) \otimes_{\operatorname{QCoh}(Y)} \operatorname{QCoh}(X) \to \operatorname{QCoh}(X \times_Y X)$  is an equivalence. Since the diagonal  $X \to X \times_Y X$  is quasi-compact and representable, the functor

$$\Delta_* : \operatorname{QCoh}(X) \to \operatorname{QCoh}(X) \otimes_{\operatorname{QCoh}(Y)} \operatorname{QCoh}(X) \simeq \operatorname{QCoh}(X \times_Y X)$$

is continuous and satisfies the projection formula. This immediately implies the first axiom of rigidity of  $f^* \colon \mathrm{QCoh}(Y) \to \mathrm{QCoh}(X)$ .

Example 2.36. Let  $f: X \to Y$  be a morphism between weakly perfect stacks, in the sense of [Lur18, Definition 9.4.3.3].

- (1) If f is representable by quasi-compact quasi-separated spectral algebraic spaces, then f is passable. Condition (2) follows from [Lur18, Corollary 6.3.4.3], and condition (3) follows from [Lur18, Corollary 9.4.3.6]. In particular,  $f^*$  is rigid.
- (2) If f is representable, proper, of finite Tor-amplitude, and locally almost of finite presentation, then  $f^*$  is proper. This follows from (1) and [Lur18, Proposition 6.4.2.1 and Corollary 6.4.2.7].
- (3) If f is representable, proper, and fiber smooth, then  $f^*$  is smooth and proper. This follows from (2) as both f and its diagonal have finite Tor-amplitude [Lur18, Lemma 11.3.5.2].

Example 2.37. Let us mention an example of a stack that is *not* passable. Let k be a field of positive characteristic, and let  $\mathbb{G}_a$  be the additive group over  $\operatorname{Spec}(k)$ . Then the classifying stack  $X = B\mathbb{G}_a$  is not passable. Indeed one of the necessary conditions for being passable is that the structure sheaf  $\mathcal{O}_X$  is compact. However by [HNR19, Proposition 3.1] the only compact object in the derived category of quasi-coherent sheaves on X is 0.

#### 3. The Chern Character

3.1. **Traces.** In this section we recall the definition of the trace functor given in Section 2.2 of [HSS17] and state some of its properties.

Let  $\mathcal{C}$  be a symmetric monoidal  $(\infty, n)$ -category. In [HSS17, Section 2.3] we define a symmetric monoidal  $(\infty, n-1)$ -category Aut( $\mathcal{C}$ ), which carries a canonical  $S^1$ -action. The objects and 1-morphisms of Aut( $\mathcal{C}$ ) can be described as follows:

- (1) An object of  $Aut(\mathcal{C})$  is a pair (A, a), where A is a dualizable object of  $\mathcal{C}$ , and a is an automorphism of A.
- (2) A 1-morphism  $(A, a) \to (B, b)$  in Aut( $\mathcal{C}$ ) is a commutative diagram

$$\begin{array}{ccc}
A & \xrightarrow{\phi} B \\
\downarrow a & \downarrow b \\
A & \xrightarrow{\phi} B
\end{array}$$

where  $\phi:A\to B$  is right-dualizable, and  $\alpha$  is an invertible 2-cell.

Example 3.1. Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category. Then

- (1)  $\operatorname{Aut}(\mathfrak{C}) \cong \operatorname{Fun}(S^1, \mathfrak{C}^{\operatorname{dual}}).$
- (2)  $\operatorname{Aut}(\operatorname{Mod}_{\mathfrak{C}}) \cong \operatorname{Fun}(S^1, \operatorname{Mod}_{\mathfrak{C}}^{\operatorname{dual}}).$

The  $S^1$ -action on  $Aut(\mathcal{C})$  and  $Aut(Mod_{\mathcal{C}})$  is induced by the action of  $S^1$  on itself.

We also define a trace functor

$$\operatorname{Tr} : \operatorname{Aut}(\mathcal{C}) \longrightarrow \Omega \mathcal{C}$$

which is symmetric monoidal and natural in C [HSS17, Definitions 2.9 and 2.11].

**Proposition 3.2** ([HSS17] Lemma 2.4). Let  $\mathcal{C}$  be a symmetric monoidal  $(\infty, n)$ -category. Then:

(1) The functor Tr sends an object (A, a) in  $Aut(\mathcal{C})$  to the composite

$$1_{\mathcal{C}} \xrightarrow{\operatorname{coev}_A} A \otimes A^{\vee} \xrightarrow{a \otimes \operatorname{id}} A \otimes A^{\vee} \xrightarrow{\operatorname{ev}_A} 1_{\mathcal{C}}$$

(2) The functor Tr sends a 1-morphism in Aut(C)

$$(\phi, \alpha): (A, a) \longrightarrow (B, b)$$

to the 2-cell in  $\Omega \mathcal{C}$  given by the composite

$$(3.3) \qquad 1_{\mathcal{C}} \xrightarrow{A \otimes A^{\vee}} \xrightarrow{a \otimes \operatorname{id}} A \otimes A^{\vee} \xrightarrow{A \otimes A^{\vee}} 1_{\mathcal{C}} \xrightarrow{A \otimes A^{\vee}} A \otimes A^{\vee} \xrightarrow{A \otimes A^{\vee}} A$$

where the triangular 2-cells on the left and on the right are given by

$$(\phi \otimes \phi^{\mathrm{R} \vee}) \mathrm{coev}_A = (\phi \phi^{\mathrm{R}} \otimes \mathrm{id}) \mathrm{coev}_B \xrightarrow{\epsilon} \mathrm{coev}_B, \quad \textit{and} \quad \mathrm{ev}_A \xrightarrow{\eta} \mathrm{ev}_A (\phi^{\mathrm{R}} \phi \otimes \mathrm{id}) = \mathrm{ev}_B (\phi \otimes \phi^{\mathrm{R} \vee}).$$

Proposition 3.4 ([HSS17] Theorem 2.14). The symmetric monoidal trace functor

$$\operatorname{Tr} : \operatorname{Aut}(\mathcal{C}) \longrightarrow \Omega \mathcal{C}$$

is  $S^1$ -invariant with respect to the canonical  $S^1$ -action on  $Aut(\mathfrak{C})$  and the trivial  $S^1$ -action on  $\Omega\mathfrak{C}$ .

**Proposition 3.5.** Let  $\mathcal{C}$  be a symmetric monoidal  $(\infty, n)$ -category. The composite

$$\operatorname{Aut}(\Omega \mathfrak{C}) \cong \Omega \operatorname{Aut}(\mathfrak{C}) \xrightarrow{\Omega \operatorname{Tr}} \Omega^2 \mathfrak{C}$$

is equivalent to the trace functor  $\operatorname{Tr} \colon \operatorname{Aut}(\Omega \mathfrak{C}) \to \Omega(\Omega \mathfrak{C})$  as an  $S^1$ -equivariant symmetric monoidal functor. Proof. Consider the diagram

$$\begin{array}{c} \iota_{n-2}\mathrm{Fun}_{\otimes}^{\mathrm{oplax}}(\mathrm{Fr}^{\mathrm{rig}}(S^{1}),\Omega\mathfrak{C}) \stackrel{\sim}{\longrightarrow} \Omega\iota_{n-1}\mathrm{Fun}_{\otimes}^{\mathrm{oplax}}(\mathrm{Fr}^{\mathrm{rig}}(S^{1}),\mathfrak{C}) \\ \downarrow^{\Omega} & \downarrow^{\Omega} \\ \mathrm{Fun}_{\otimes}^{\mathrm{oplax}}(\Omega\mathrm{Fr}^{\mathrm{rig}}(S^{1}),\Omega^{2}\mathfrak{C}) \stackrel{\sim}{\longrightarrow} \Omega\iota_{n-1}\mathrm{Fun}_{\otimes}^{\mathrm{oplax}}(\Omega\mathrm{Fr}^{\mathrm{rig}}(S^{1}),\Omega\mathfrak{C}) \\ \downarrow & \downarrow \\ \Omega^{2}\mathfrak{C} = & \Omega^{2}\mathfrak{C}. \end{array}$$

where the lower vertical maps are evaluation at the trace  $\operatorname{Tr}(u) \in \Omega \operatorname{Fr}^{\operatorname{rig}}(S^1)$  of the universal automorphism u. By construction, the vertical composites are the respective trace functors, whose  $S^1$ -equivariance is induced by an  $S^1$ -invariant refinement of  $\operatorname{Tr}(u)$  (see the proof of [HSS17, Theorem 2.14]). This is therefore a commutative diagram of  $S^1$ -equivariant symmetric monoidal functors, which proves the claim.  $\square$ 

3.2. Chern character. Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category. The identity functor

$$S^1 \otimes \mathcal{C} \simeq \mathcal{L}\mathcal{C} \to \mathcal{L}\mathcal{C}$$

induces by adjunction a symmetric monoidal functor  $\mathcal{C} \to \operatorname{Fun}(S^1, \mathcal{L}\mathcal{C})$  and a functor  $S^1 \to \operatorname{Fun}^{\otimes}(\mathcal{C}, \mathcal{L}\mathcal{C})$ . Choosing once and for all a basepoint  $p \colon \operatorname{pt} \to S^1$ , the latter is equivalent to the following data:

- a symmetric monoidal functor  $p_{\mathcal{C}} \colon \mathcal{C} \to \mathcal{L}\mathcal{C}$ , which is induced by the inclusion of the basepoint;
- a natural equivalence of symmetric monoidal functors mon:  $p_{\mathcal{C}} \xrightarrow{\simeq} p_{\mathcal{C}}$ .

**Definition 3.6.** We call mon the *monodromy automorphism*.

**Definition 3.7.** The *Chern character* of  $\mathcal{C}$  is the composite

ch: 
$$\mathcal{C}^{\text{dual}} \longrightarrow \text{Fun}(S^1, (\mathcal{LC})^{\text{dual}}) \simeq \text{Aut}(\mathcal{LC}) \xrightarrow{\text{Tr}} \Omega \mathcal{LC}.$$

By Proposition 3.4, ch is  $S^1$ -equivariant and hence factors through the fixed points of the  $S^1$ -action on  $\Omega \mathcal{LC}$ :

ch: 
$$\mathbb{C}^{\text{dual}} \longrightarrow (\Omega \mathcal{L} \mathbb{C})^{S^1}$$
.

We will now relate the monodromy automorphism to certain coCartesian diagrams. Let  $p \colon \operatorname{pt} \to S^1$  be the basepoint. We have  $\operatorname{Aut}(p) = \mathbb{Z}$ . Consider morphisms of spaces

$$\begin{array}{ccc}
\text{pt} \coprod \text{pt} & \longrightarrow \text{pt} \\
\downarrow & & \downarrow \\
\text{pt} & \longrightarrow S^1.
\end{array}$$

The two composites  $\operatorname{pt}\coprod\operatorname{pt}\to\operatorname{pt}\to S^1$  are equal, so the space of two-cells completing this diagram to a square is given by  $\operatorname{Aut}(p)\times\operatorname{Aut}(p)\cong\mathbb{Z}\times\mathbb{Z}$ . For every pair of integers (n,m), we thus obtain a commutative square of the form above. Given  $\mathfrak{C}\in\operatorname{CAlg}(\mathfrak{P}^{\operatorname{St}}_k)$ , the above square of spaces gives rise to a square

$$\begin{array}{ccc}
\mathbb{C} \otimes \mathbb{C} & \xrightarrow{\Delta} & \mathbb{C} \\
\downarrow^{\Delta} & & \downarrow^{p} \\
\mathbb{C} & \xrightarrow{p} & \mathcal{L} \mathbb{C}
\end{array}$$

in  $CAlg(\mathfrak{P}r_k^{St})$ .

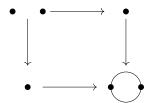
**Proposition 3.9.** Let  $\mathcal{C} \in \operatorname{CAlg}(\mathfrak{P}r_k^{\operatorname{St}})$  be a k-linear symmetric monoidal  $\infty$ -category. The (1,0) square

$$\begin{array}{ccc} \mathcal{C} \otimes \mathcal{C} & \xrightarrow{\Delta} & \mathcal{C} \\ & & \downarrow^p \\ \mathcal{C} & \xrightarrow{p} & \mathcal{L}\mathcal{C} \end{array}$$

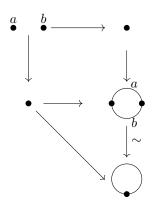
is coCartesian.

*Proof.* It is enough to prove the claim in the  $\infty$ -category S of spaces.

We have a homotopy pushout diagram of groupoids



which is a coCartesian square in S. We can complete it to a diagram



where the isomorphism at the bottom sends the morphism a to the nontrivial automorphism of the point and the morphism b to the identity. The total diagram is exactly a diagram (3.8) of type (1,0) which proves the claim.

Remark 3.10. Note that whiskering the (1,0) square

$$\begin{array}{ccc}
\mathbb{C} \otimes \mathbb{C} & \xrightarrow{\Delta} & \mathbb{C} \\
\downarrow_{\Delta} & & \downarrow_{p} \\
\mathbb{C} & \xrightarrow{p} & \mathbb{C} & \mathbb{C}
\end{array}$$

along  $\mathcal{C} \to \mathcal{C} \otimes \mathcal{C}$  given by  $x \mapsto x \boxtimes 1$  we obtain the monodromy automorphism of  $p_{\mathcal{C}} \colon \mathcal{C} \to \mathcal{L}\mathcal{C}$ . Whiskering the same (1,0) square along  $x \mapsto 1 \boxtimes x$  we obtain the identity.

Remark 3.11. One may similarly show that the  $(\pm 1,0)$  and  $(0,\pm 1)$  squares are coCartesian. However, the (0,0) square is not coCartesian.

3.3. Categorified Chern character. Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category, and let (3.12)

$$\mathcal{C}$$
 $\downarrow \text{mon}$ 
 $\mathcal{L}\mathcal{C}$ 

be the monodromy automorphism. Applying the functor Mod(-) to (3.12) gives a natural equivalence (3.13)

$$\operatorname{Mod}_{\mathcal{C}} \underbrace{ \begin{array}{c} p_{\mathcal{C}}^* \\ \text{Mon} \end{array}}_{p_{\mathcal{C}}^*} \operatorname{Mod}_{\mathcal{L}\mathcal{C}}$$

## **Definition 3.14.** We call Mon the categorified monodromy automorphism.

Remark 3.15. It follows from Remark 3.10 that the categorified monodromy automorphism can also be obtained by whiskering the (1,0) square

$$(3.16) \qquad \begin{array}{c} \operatorname{Mod}_{\mathfrak{C}\otimes\mathfrak{C}} \xrightarrow{\Delta^*} \operatorname{Mod}_{\mathfrak{C}} \\ \downarrow^{\Delta^*} \qquad \qquad \downarrow^{p^*} \\ \operatorname{Mod}_{\mathfrak{C}} \xrightarrow{p^*} \operatorname{Mod}_{\mathfrak{L}\mathfrak{C}} \end{array}$$

along  $\pi_1^* : \operatorname{Mod}_{\mathcal{C} \otimes \mathcal{C}}$ . In particular, evaluating (3.16) on  $\mathcal{M} \boxtimes \mathcal{N} \in \operatorname{Mod}_{\mathcal{C} \otimes \mathcal{C}}$  induces the automorphism  $\operatorname{Mon}_{\mathcal{M}} \otimes \operatorname{id} : p^* \mathcal{M} \otimes_{\mathcal{L} \mathcal{C}} p^* \mathcal{N} \to p^* \mathcal{M} \otimes_{\mathcal{L} \mathcal{C}} p^* \mathcal{N}$ .

Restricting to dualizable objects we get an equivalence

$$\operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}} \underbrace{ \begin{array}{c} p_{\mathcal{C}}^* \\ \text{} \\ \text{} \\ p_{\mathcal{C}}^* \end{array}} \operatorname{Mod}_{\mathcal{LC}}^{\operatorname{dual}}$$

and this determines a map

$$B\mathbb{Z} \simeq S^1 \to \operatorname{Fun}^{\otimes}(\operatorname{Mod}_{\mathfrak{C}}^{\operatorname{dual}}, \operatorname{Mod}_{\mathfrak{L}\mathfrak{C}}^{\operatorname{dual}}).$$

By adjunction we obtain a symmetric monoidal functor

$$\operatorname{Mod}_{\mathfrak{C}}^{\operatorname{dual}} \to \operatorname{Fun}(S^1, \operatorname{Mod}_{\mathfrak{LC}}^{\operatorname{dual}}).$$

# Definition 3.17. The categorified Chern character is the composite

$$\operatorname{Ch}: \operatorname{Mod}_{\mathfrak{C}}^{\operatorname{dual}} \longrightarrow \operatorname{Fun}(S^1, \operatorname{Mod}_{\mathfrak{L}\mathfrak{C}}^{\operatorname{dual}}) \cong \operatorname{Aut}(\operatorname{Mod}_{\mathfrak{L}\mathfrak{C}}^{\operatorname{dual}}) \xrightarrow{\operatorname{Tr}} \mathfrak{L}\mathfrak{C}.$$

By Proposition 3.4, Ch is  $S^1$ -equivariant and hence factors through the fixed points of the  $S^1$ -action on  $\mathcal{LC}$ , i.e., the  $\infty$ -category of  $S^1$ -equivariant objects of  $\mathcal{LC}$ :

$$\operatorname{Ch}: \operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}} \longrightarrow (\mathcal{LC})^{S^1}.$$

# 3.4. **Decategorifying the Chern character.** Note that the categorified Chern character being symmetric monoidal induces a map of spaces

$$\mathrm{Ch} \colon \Omega \mathrm{Mod}^{\mathrm{dual}}_{\mathfrak{C}} \longrightarrow \Omega (\mathcal{L}\mathfrak{C})^{S^1} \simeq (\Omega \mathcal{L}\mathfrak{C})^{S^1}.$$

We will show that this map coincides with the uncategorified Chern character.

## Lemma 3.18. The composite

$$\operatorname{CAlg}(\operatorname{\mathcal{P}r}_k^{\operatorname{St}}) \xrightarrow{\operatorname{Mod}} \operatorname{CAlg}(\operatorname{Cat}_{(\infty,2)}) \xrightarrow{\Omega} \operatorname{CAlg}(\operatorname{Cat}_{(\infty,1)})$$

is equivalent to the forgetful functor.

*Proof.* We have 
$$\Omega \operatorname{Mod}_{\mathfrak{C}} \simeq \operatorname{Fun}_{\operatorname{Mod}_{\mathfrak{C}}}(\mathfrak{C},\mathfrak{C}) \simeq \mathfrak{C}$$
.

# **Theorem 3.19.** Let C be a k-linear symmetric monoidal $\infty$ -category. The composite

$$\mathcal{C}^{\mathrm{dual}} \cong \Omega \mathrm{Mod}_{\mathcal{C}}^{\mathrm{dual}} \xrightarrow{\mathrm{Ch}} \Omega \mathcal{L} \mathcal{C}$$

is equivalent to the Chern character

$$\operatorname{ch} \colon \mathcal{C}^{\operatorname{dual}} \longrightarrow \Omega \mathcal{L} \mathcal{C}$$

as  $S^1$ -equivariant  $\mathbb{E}_{\infty}$  maps.

*Proof.* The composite

$$S^1 \xrightarrow{\mathrm{mon}} \mathrm{Fun}^{\otimes}(\mathcal{C}, \mathcal{L}\mathcal{C}) \xrightarrow{\mathrm{Mod}} \mathrm{Fun}^{\otimes}(\mathrm{Mod}_{\mathcal{C}}, \mathrm{Mod}_{\mathcal{L}\mathcal{C}}) \xrightarrow{\Omega} \mathrm{Fun}^{\otimes}(\mathcal{C}, \mathcal{L}\mathcal{C})$$

is equivalent by Lemma 3.18 to mon:  $S^1 \to \operatorname{Fun}^{\otimes}(\mathcal{C}, \mathcal{LC})$ . Therefore, by adjunction we get a commutative diagram

$$\begin{array}{ccc} {\mathcal C} & \stackrel{\sim}{\longrightarrow} \Omega\mathrm{Mod}_{\mathcal C} \\ \bigvee^{\mathrm{mon}} & \bigvee^{\mathrm{Mon}} \end{array}$$
 
$$\mathrm{Fun}(S^1, \mathcal L{\mathcal C}) & \stackrel{\sim}{\longrightarrow} \Omega\mathrm{Fun}(S^1, \mathrm{Mod}_{\mathcal L{\mathcal C}})$$

of  $S^1$ -equivariant symmetric monoidal  $\infty$ -categories.

Consider the diagram

of  $S^1$ -equivariant  $\mathbb{E}_{\infty}$  spaces. The bottom square commutes by Proposition 3.5. The vertical composite on the left coincides with the Chern character ch:  $\mathcal{C}^{\text{dual}} \to \Omega \mathcal{L} \mathcal{C}$  and the claim follows from the commutativity of the diagram.

### 4. The categorified Grothendieck-Riemann-Roch theorem

4.1. **Statement.** We have an obvious functoriality of the Chern character with respect to symmetric monoidal functors.

**Proposition 4.1.** Let  $f: \mathcal{D} \to \mathcal{C}$  be a symmetric monoidal functor. Then there is a commutative diagram of  $\infty$ -categories

If f is moreover rigid, by Propositions 2.12 and 2.27 we can pass to right adjoints of the vertical functors in (4.2); the resulting diagram a priori only commutes up to a natural transformation.

**Theorem 4.3.** Let  $f: \mathcal{D} \to \mathcal{C}$  be a rigid symmetric monoidal functor. Then passing to right adjoints of the vertical functors in (4.2) we obtain a diagram

$$(4.4) \qquad \operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathcal{C})^{S^{1}} \\ \downarrow^{f_{*}} \qquad \downarrow^{(\mathcal{L}f)^{R}} \\ \operatorname{Mod}_{\mathcal{D}}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathcal{D})^{S^{1}}$$

which commutes up to an invertible 2-morphism.

The rest of the section is devoted to the proof of this theorem. Let  $\mathcal{M}$  be a dualizable C-module category. Without loss of generality (see [Pst14, Theorem 2.14]) we may assume that the duality data for  $\mathcal{M}$  is coherent in the sense of Definition 2.13.

The natural transformation in (4.4) is obtained as the composite

$$\mathrm{Ch}(f_*\mathfrak{M}) \longrightarrow (\mathcal{L}f)^{\mathrm{R}}(\mathcal{L}f)\mathrm{Ch}(f_*\mathfrak{M}) \cong (\mathcal{L}f)^{\mathrm{R}}\mathrm{Ch}(f^*f_*\mathfrak{M}) \longrightarrow (\mathcal{L}f)^{\mathrm{R}}\mathrm{Ch}(\mathfrak{M}).$$

In turn, this is obtained as the composite 2-morphism in the diagram

$$(4.5) \qquad \mathcal{L}\mathcal{D} \xrightarrow{\lambda} (\mathcal{L}f)_{*}\mathcal{L}\mathcal{C} = (\mathcal{L}f)_{*}\mathcal{L}\mathcal{C}$$

$$\downarrow^{\operatorname{coev}_{f_{*}M}} \qquad \downarrow^{\operatorname{coev}_{f^{*}f_{*}M}} \qquad \downarrow^{\operatorname{coev}}$$

$$p_{\mathcal{D}}^{*}(f_{*}\mathcal{M} \otimes f_{*}\mathcal{M}^{\vee}) \xrightarrow{\lambda} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}(f^{*}f_{*}\mathcal{M} \otimes f^{*}f_{*}\mathcal{M}^{\vee}) \xrightarrow{\epsilon \otimes (\epsilon^{\mathcal{R}})^{\vee}} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}(\mathcal{M} \otimes \mathcal{M}^{\vee})$$

$$\downarrow^{\operatorname{Mon}_{f_{*}M} \otimes \operatorname{id}} \qquad \downarrow^{\operatorname{Mon}_{f^{*}f_{*}M} \otimes \operatorname{id}} \qquad \downarrow^{\operatorname{Mon}_{M} \otimes \operatorname{id}}$$

$$p_{\mathcal{D}}^{*}(f_{*}\mathcal{M} \otimes f_{*}\mathcal{M}^{\vee}) \xrightarrow{\lambda} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}(f^{*}f_{*}\mathcal{M} \otimes f^{*}f_{*}\mathcal{M}^{\vee}) \xrightarrow{\epsilon \otimes (\epsilon^{\mathcal{R}})^{\vee}} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}(\mathcal{M} \otimes \mathcal{M}^{\vee})$$

$$\downarrow^{\operatorname{ev}_{f_{*}M}} \qquad \downarrow^{\operatorname{ev}_{f^{*}f_{*}M}} \qquad \downarrow^{\operatorname{ev}}$$

$$\mathcal{L}\mathcal{D} \xrightarrow{\lambda} (\mathcal{L}f)_{*}\mathcal{L}\mathcal{C} = (\mathcal{L}f)_{*}\mathcal{L}\mathcal{C}$$

$$\downarrow^{\lambda^{\mathcal{R}}} \qquad \downarrow^{\lambda^{\mathcal{R}}}$$

$$\mathcal{L}\mathcal{D} = \mathcal{L}\mathcal{D} = \mathcal{L}\mathcal{D}$$

in  $\operatorname{Mod}_{\mathcal{L}\mathcal{D}}(\mathbf{Pr}_k^{\operatorname{St}})$ , where the columns are given by individual Chern characters. We are going to prove that the composite 2-morphism in (4.5) is a 2-isomorphism. All equalities of 2-morphisms in this section are to be understood in the homotopy 2-category.

As a first step, we are going to analyze the subdiagrams in (4.5) containing  $(\epsilon^R)^{\vee}$ . We have a 2-isomorphism  $(\epsilon_{\mathcal{M}}^R)^{\vee} \cong \epsilon_{\mathcal{M}^{\vee}}$  constructed via the following diagram:

$$(4.6) \qquad f^*f_*\mathcal{M}^{\vee} \xrightarrow{\epsilon} \mathcal{M}^{\vee}$$

$$\downarrow^{\mathrm{id}\otimes\mathrm{coev}} \qquad \qquad \mathrm{id}\otimes\mathrm{coev}$$

$$f^*f_*\mathcal{M}^{\vee} \otimes \mathcal{M} \otimes \mathcal{M}^{\vee} \xrightarrow{\epsilon\otimes\mathrm{id}\otimes\mathrm{id}} \mathcal{M}^{\vee} \otimes \mathcal{M} \otimes \mathcal{M}^{\vee} \xrightarrow{\mathrm{ev}\otimes\mathrm{id}} \mathcal{M}^{\vee}$$

$$\downarrow^{\mathrm{id}\otimes\epsilon^{\mathrm{R}}} \qquad \qquad \downarrow^{\epsilon^{\mathrm{R}}\otimes\mathrm{id}} \qquad \qquad \bullet^{\epsilon^{\mathrm{R}}\otimes\mathrm{id}} \qquad \qquad \bullet^{\epsilon^{\mathrm{R}}\otimes\mathrm{id}}$$

$$f^*f_*\mathcal{M}^{\vee} \otimes f^*f_*\mathcal{M} \otimes \mathcal{M}^{\vee} \xrightarrow{\alpha\otimes\mathrm{id}} f^*f_*(\mathcal{M}^{\vee} \otimes \mathcal{M}) \otimes \mathcal{M}^{\vee} \xrightarrow{\mathrm{ev}\otimes\mathrm{id}} f^*f_*\mathcal{C} \otimes \mathcal{M}^{\vee} \xrightarrow{\eta^{\mathrm{R}}\otimes\mathrm{id}} \mathcal{M}^{\vee}$$

where the bottom-left square has the 2-isomorphism given by (2.8).

4.2. Analyzing the (co)evaluation. We will first apply the isomorphism  $(\epsilon_{\mathfrak{M}}^{R})^{\vee} \cong \epsilon_{\mathfrak{M}^{\vee}}$  to the top part of diagram (4.5).

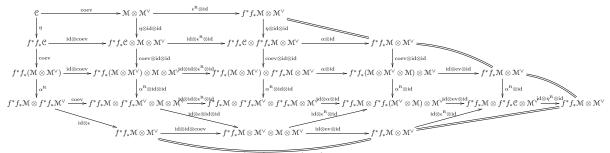
**Lemma 4.7.** Under the identification  $(\epsilon_{\mathcal{M}}^{R})^{\vee} \cong \epsilon_{\mathcal{M}^{\vee}}$  given by (4.6) the diagram

$$\begin{array}{c|c} \mathbb{C} & & \mathbb{C} \\ & & \mathbb{C} \\ & & \mathbb{C} \\ & & & \mathbb{C} \\ & \mathbb{C} \\ & & \mathbb{C} \\ & \mathbb{C} \\$$

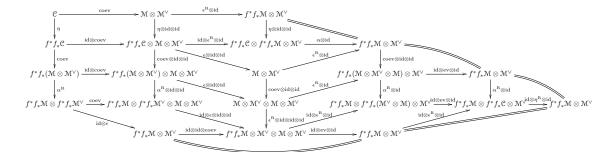
becomes equal to

in  $h_2 \text{Mod}_{\mathfrak{C}}(\mathbf{Pr}_k^{\text{St}})$ , where the bottom rectangle is given by (2.9).

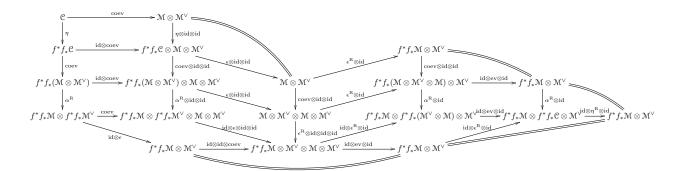
*Proof.* The original diagram can be expanded to



Using the fact that the 2-isomorphisms (2.8) and (2.9) are modifications, we get



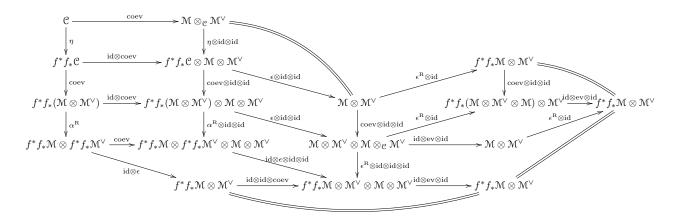
Using the fact that the triangulator  $\tau_1$  is a modification of natural transformations  $\epsilon \eta \cong \mathrm{id}$ , we obtain



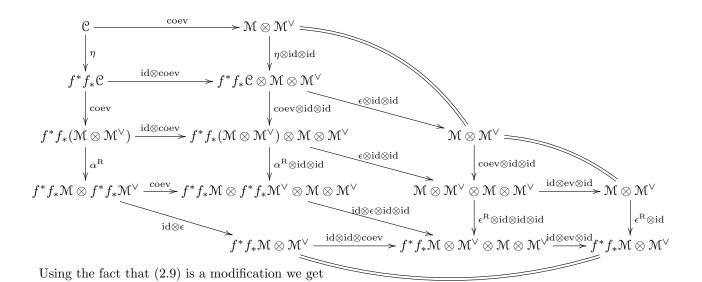
Cancelling out the two triangulators  $\tau_1$  appearing in the modifications

$$(\mathrm{id}\otimes\eta^R)\circ\alpha^R\cong\mathrm{id},\qquad (\mathrm{id}\otimes\eta^R)\circ(\mathrm{id}\otimes\epsilon^R)\cong\mathrm{id}$$

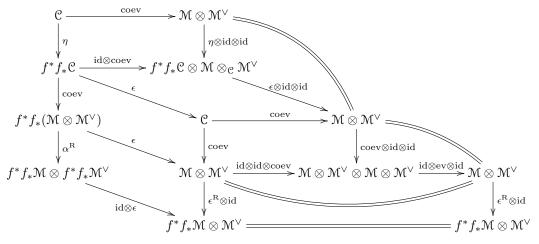
we obtain



Using naturality of  $\epsilon^{R}$  we get



Applying naturality of  $\epsilon$  we get



Using the swallowtail axiom for the coherent duality data for M we get the result.

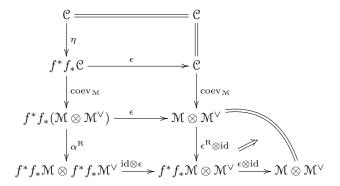
From Lemma 4.7 we obtain that the diagram

$$(\mathcal{L}f)_*\mathcal{L}\mathfrak{C} = (\mathcal{L}f)_*\mathcal{L}\mathfrak{C}$$

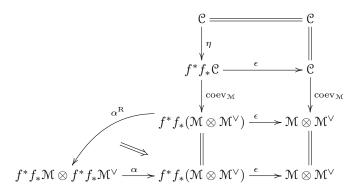
$$\downarrow^{\operatorname{coev}_{f^*f_*\mathcal{M}}} \qquad \qquad \downarrow^{\operatorname{coev}}$$

$$(\mathcal{L}f)_*p_{\mathbb{C}}^*(f^*f_*\mathcal{M} \otimes f^*f_*\mathcal{M}^\vee) \xrightarrow{\epsilon \otimes \epsilon} (\mathcal{L}f)_*p_{\mathbb{C}}^*(\mathcal{M} \otimes \mathcal{M}^\vee)$$

is equal to the one obtained by applying  $(\mathcal{L}f)_*p_{\mathbb{C}}^*$  to



Using the obvious equivalence  $\epsilon \otimes \epsilon \cong \epsilon \circ \alpha$  and Lemma 2.10 (2) it is equal to



Now we are going to analyze the bottom part of diagram (4.5) in a similar way.

**Lemma 4.8.** Under the identification  $(\epsilon_{\mathcal{M}}^R)^{\vee} \cong \epsilon_{\mathcal{M}^{\vee}}$  given by (4.6) the diagram

$$\begin{array}{c} \mathcal{M} \otimes_{\mathcal{C}} f^* f_* \mathcal{M}^{\vee} \xrightarrow{\operatorname{id} \otimes (\epsilon^{\mathbf{R}})^{\vee}} \mathcal{M} \otimes_{\mathcal{C}} \mathcal{M}^{\vee} \\ \downarrow^{\epsilon^{\mathbf{R}} \otimes \operatorname{id}} & & | \operatorname{ev}_{\mathcal{M}} \\ f^* f_* \mathcal{M} \otimes_{\mathcal{C}} f^* f_* \mathcal{M}^{\vee} & & | \operatorname{ev}_{\mathcal{M}} \\ \downarrow^{\operatorname{ev}_{f^* f_* \mathcal{M}}} & & | \operatorname{ev}_{\mathcal{M}} \\ & & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_* \mathcal{M}} & | \operatorname{ev}_{f^* f_* \mathcal{M}} \\ & | \operatorname{ev}_{f^* f_*$$

becomes equal to

$$\mathcal{M} \otimes_{\mathcal{C}} f^* f_* \mathcal{M}^{\vee} \xrightarrow{\mathrm{id} \otimes \epsilon} \mathcal{M} \otimes_{\mathcal{C}} \mathcal{M}^{\vee} \\
\downarrow^{\epsilon^{\mathrm{R}} \otimes \mathrm{id}} \\
f^* (f_* \mathcal{M} \otimes_{\mathcal{D}} f_* \mathcal{M}^{\vee}) \\
\downarrow^{\alpha} \\
f^* f_* (\mathcal{M} \otimes_{\mathcal{C}} \mathcal{M}^{\vee}) \underset{\epsilon^{\mathrm{R}}}{\longleftarrow} \mathcal{M} \otimes_{\mathcal{C}} \mathcal{M}^{\vee} \\
\downarrow^{\mathrm{ev}_{\mathcal{M}}} \\
f^* f_* \mathcal{C} \underset{\epsilon^{\mathrm{R}}}{\longleftarrow} \mathcal{C} \\
\downarrow^{\eta^{\mathrm{R}}} \\
\mathcal{C} \underset{\mathcal{C}}{\longleftarrow} \mathcal{C}$$

where the top rectangle is given by (2.8).

From Lemma 4.8 we obtain that the diagram

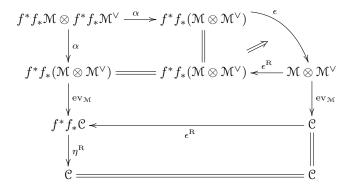
$$(\mathcal{L}f)_* p_{\mathcal{C}}^* (f^* f_* \mathcal{M} \otimes f^* f_* \mathcal{M}^{\vee}) \xrightarrow{\epsilon \otimes (\epsilon^{\mathcal{R}})^{\vee}} (\mathcal{L}f)_* p_{\mathcal{C}}^* (\mathcal{M} \otimes \mathcal{M}^{\vee})$$

$$\downarrow^{\operatorname{ev}_{f^* f_* \mathcal{M}}} \qquad \qquad \qquad \downarrow^{\operatorname{ev}_{\mathcal{M}}}$$

$$(\mathcal{L}f)_* \mathcal{L}\mathcal{C} = (\mathcal{L}f)_* \mathcal{L}\mathcal{C}$$

is equal to the one obtained by applying  $(\mathcal{L}f)_*p_{\mathcal{C}}^*$  to

Using the equivalence  $\epsilon \otimes \epsilon \cong \epsilon \circ \alpha$  and Lemma 2.10 (1) it is equivalent to



## 4.3. Reduction to $\mathcal{M} = \mathcal{C}$ . Observe that the diagram

$$p_{\mathcal{D}}^{*}(f_{*}\mathcal{M}\otimes f_{*}\mathcal{M}^{\vee}) \xrightarrow{\lambda} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}f^{*}(f_{*}\mathcal{M}\otimes f_{*}\mathcal{M}^{\vee}) \xrightarrow{\alpha} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}f^{*}f_{*}(\mathcal{M}\otimes \mathcal{M}^{\vee})$$

$$\downarrow^{\alpha} \qquad \qquad \downarrow^{\alpha} \qquad \qquad \downarrow^{\epsilon}$$

$$p_{\mathcal{D}}^{*}f_{*}(\mathcal{M}\otimes \mathcal{M}^{\vee}) \xrightarrow{\lambda} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}f^{*}f_{*}(\mathcal{M}\otimes \mathcal{M}^{\vee}) \xleftarrow{\epsilon^{\mathsf{R}}} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}(\mathcal{M}\otimes \mathcal{M}^{\vee})$$

$$\downarrow^{\mathsf{ev}_{\mathcal{M}}} \qquad \qquad \downarrow^{\mathsf{ev}_{\mathcal{M}}} \qquad \qquad \downarrow^{\mathsf{ev}_{\mathcal{M}}}$$

$$p_{\mathcal{D}}^{*}f_{*}\mathcal{C} \xrightarrow{\lambda} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}f_{*}\mathcal{C} \xleftarrow{\epsilon^{\mathsf{R}}} (\mathcal{L}f)_{*}\mathcal{L}\mathcal{C}$$

$$\downarrow^{\eta^{\mathsf{R}}} \qquad \qquad \downarrow^{\eta^{\mathsf{R}}} \qquad \qquad \downarrow^{\eta^{\mathsf{R}}} \qquad \qquad \downarrow^{\eta^{\mathsf{R}}}$$

$$\mathcal{L}\mathcal{D} \xrightarrow{\lambda} (\mathcal{L}f)_{*}\mathcal{L}\mathcal{C} \xrightarrow{\mathcal{L}\mathcal{D}} \mathcal{L}\mathcal{D}$$

is equivalent to

$$p_{\mathcal{D}}^{*}(f_{*}\mathcal{M}\otimes f_{*}\mathcal{M}^{\vee}) \xrightarrow{\lambda} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}f^{*}(f_{*}\mathcal{M}\otimes f_{*}\mathcal{M}^{\vee}) \xrightarrow{\alpha} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}f^{*}f_{*}(\mathcal{M}\otimes \mathcal{M}^{\vee})$$

$$\downarrow^{\alpha} \qquad \qquad \downarrow^{\alpha} \qquad \qquad \downarrow^{\epsilon}$$

$$p_{\mathcal{D}}^{*}f_{*}(\mathcal{M}\otimes \mathcal{M}^{\vee}) \xrightarrow{\lambda} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}f^{*}f_{*}(\mathcal{M}\otimes \mathcal{M}^{\vee}) \xleftarrow{\epsilon^{\mathbf{R}}} (\mathcal{L}f)_{*}p_{\mathcal{C}}^{*}(\mathcal{M}\otimes \mathcal{M}^{\vee})$$

$$\downarrow^{\lambda^{\mathbf{R}}} \qquad \qquad \downarrow^{\mathrm{ev}_{\mathcal{M}}} \qquad \downarrow^{\mathrm{ev}_{\mathcal{M}}}$$

$$p_{\mathcal{D}}^{*}f_{*}(\mathcal{M}\otimes \mathcal{M}^{\vee}) \qquad \qquad \downarrow^{\mathrm{ev}_{\mathcal{M}}} \qquad \downarrow^{\mathrm{ev}_{\mathcal{M}}}$$

$$p_{\mathcal{D}}^{*}f_{*}\mathcal{C} \xleftarrow{\lambda^{\mathbf{R}}\epsilon^{\mathbf{R}}} \qquad \qquad \downarrow^{\mathcal{L}}\mathcal{D}$$

Therefore, applying previous simplifications and removing invertible 2-morphisms from (4.5) we get

We have a diagram

$$p_{\mathcal{D}}^* f_*(\mathcal{M} \otimes \mathcal{M}^{\vee}) \longrightarrow (\mathcal{L}f)_* p_{\mathcal{C}}^*(\mathcal{M} \otimes \mathcal{M}^{\vee})$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$p_{\mathcal{D}}^* f_*(\mathcal{M} \otimes \mathcal{M}^{\vee}) = = p_{\mathcal{D}}^* f_*(\mathcal{M} \otimes \mathcal{M}^{\vee})$$

which we have to prove commutes up to an invertible 2-morphism. It will be enough to prove that

$$p_{\mathcal{D}}^* f_*(\mathcal{M} \otimes \mathcal{N}) \longrightarrow (\mathcal{L}f)_* p_{\mathcal{C}}^*(\mathcal{M} \otimes \mathcal{N})$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$p_{\mathcal{D}}^* f_*(\mathcal{M} \otimes \mathcal{N}) = p_{\mathcal{D}}^* f_*(\mathcal{M} \otimes \mathcal{N})$$

commutes up to an invertible 2-morphism for any pair of C-modules  $\mathcal{M}, \mathcal{N}$ .

Note that all functors are  $\Re r_k^{\text{St}}$ -linear and commute with geometric realizations, so by [Lur17a, Theorem 4.8.4.1] it is enough to prove the assertion for  $\mathcal{M} = \mathcal{N} = \mathcal{C}$ .

Substituting  $\mathcal{M} = \mathcal{N} = \mathcal{C}$  and interchanging the first two columns we obtain a diagram

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} = \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \xrightarrow{p} \mathcal{L}\mathcal{C}$$

$$\downarrow^{\Delta^{R}} \parallel \qquad \qquad \parallel$$

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \xrightarrow{\Delta} \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \xrightarrow{p} \mathcal{L}\mathcal{C}$$

$$\downarrow^{\text{Mon}_{f_{*}} \mathcal{C} \otimes \text{id}} \qquad \qquad \parallel$$

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \xrightarrow{\Delta} \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \xrightarrow{p} \mathcal{L}\mathcal{C}$$

$$\downarrow^{\Delta} \qquad \qquad \parallel \qquad \qquad \downarrow^{p^{R}}$$

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} = \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} = \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C}$$

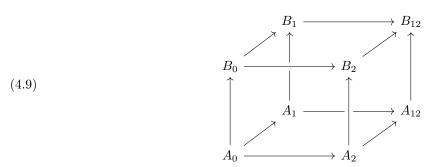
in  $\mathbf{Pr}_k^{\mathrm{St}}$ . By construction this is the mate of the 2-isomorphism in the rectangle

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \xrightarrow{\Delta} \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C}$$

$$\sim \bigvee_{\text{Mon} f_* \in \otimes \text{id}} \bigvee_{p} \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C})$$

$$\downarrow^{\Delta} \bigvee_{\text{L}} \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \xrightarrow{p} \mathcal{L}\mathcal{C}$$

4.4. Reduction to rigidity. We will now simplify the above rectangle to show that it is right adjointable. Let  $\mathcal{E}$  be an  $\infty$ -category with finite colimits and consider a diagram



The morphism  $A_{12} \to B_{12}$  gives rise to a natural transformation  $A_{12} \coprod_{A_2} (-) \to B_{12} \coprod_{A_2} (-)$  of functors  $\mathcal{E}_{A_2/} \to \mathcal{E}$ . The universal property of the pushout gives a map  $A_2 \coprod_{A_0} B_0 \to B_2$ . Therefore, we get morphisms

$$A_{12} \coprod_{A_2} (A_2 \coprod_{A_0} B_0) \to B_{12} \coprod_{A_2} (A_2 \coprod_{A_0} B_0) \to B_{12} \coprod_{A_2} B_2 \to B_{12}.$$

Replacing  $A_2$  by  $A_1$  and  $B_2$  by  $B_1$  we similarly get morphisms

$$A_{12} \coprod_{A_1} (A_1 \coprod_{A_0} B_0) \to B_{12} \coprod_{A_1} (A_1 \coprod_{A_0} B_0) \to B_{12} \coprod_{A_1} B_1 \to B_{12}.$$

Using the commutativity data of diagram (4.9) we obtain

We can exchange the first two columns to obtain another diagram:

which we will draw as

$$(4.12) A_{12} \coprod_{A_2} (A_2 \coprod_{A_0} B_0) \longrightarrow A_{12} \coprod_{A_2} B_2$$

$$\downarrow \sim \\ A_{12} \coprod_{A_1} (A_1 \coprod_{A_0} B_0) \\ \downarrow \qquad \qquad \downarrow \\ A_{12} \coprod_{A_1} B_1 \longrightarrow B_{12}$$

which gives a square in  $\mathcal{E}$ , i.e. a functor  $\Delta^1 \times \Delta^1 \to \mathcal{E}$ .

**Lemma 4.13.** Suppose that the top and bottom squares in (4.9) are coCartesian. Then the square (4.12) is equal to the square

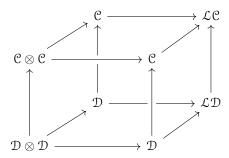
$$A_1 \coprod_{A_0} (B_0 \coprod_{A_0} A_2) \longrightarrow A_1 \coprod_{A_0} B_2$$

$$\downarrow \qquad \qquad \downarrow$$

$$B_1 \coprod_{B_0} (B_0 \coprod_{A_0} A_2) \longrightarrow B_1 \coprod_{B_0} B_2$$

obtained using naturality of the transformation  $A_1 \coprod_{A_0} (-) \to B_1 \coprod_{B_0} (-)$  of functors  $\mathcal{E}_{B_0/} \to \mathcal{E}$  with respect to the morphism  $B_0 \coprod_{A_0} A_2 \to B_2$ .

Now consider the case  $\mathcal{E} = \mathrm{CAlg}(\mathrm{Pr}_k^{\mathrm{St}})$ . Using the functor  $f \colon \mathcal{D} \to \mathcal{C}$  of k-linear symmetric monoidal  $\infty$ -categories we obtain a cube



where the top and bottom squares are of type (1,0) (see Section 3.2 for what this means). In this case the isomorphisms

$$A_{12} \coprod_{A_2} (A_2 \coprod_{A_0} B_0) \xrightarrow{\sim} A_{12} \coprod_{A_1} (A_1 \coprod_{A_0} B_0)$$

and

$$A_{12} \coprod_{A_2} (A_2 \coprod_{A_0} B_0) \xrightarrow{\sim} A_{12} \coprod_{A_1} (A_1 \coprod_{A_0} B_0)$$

become by Section 3.3 the categorified monodromy maps

$$\operatorname{Mon}_{f_*\mathcal{C}} \otimes \operatorname{id} \colon \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \xrightarrow{\sim} \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C})$$

and

$$\operatorname{Mon}_{f^*f_*\mathcal{C}} \otimes \operatorname{id} \colon \mathcal{L}\mathcal{C} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \xrightarrow{\sim} \mathcal{L}\mathcal{C} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}).$$

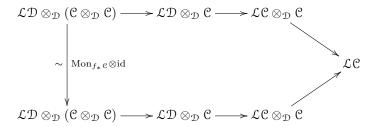
The diagram (4.10) in this case becomes

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \longrightarrow \mathcal{L}\mathcal{C} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \longrightarrow \mathcal{L}\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$$

$$\sim \left| \begin{array}{c} \operatorname{Mon}_{f_* \mathcal{C}} \otimes \operatorname{id} \\ \end{array} \right| \sim \left| \begin{array}{c} \operatorname{Mon}_{f^* f_* \mathcal{C}} \otimes \operatorname{id} \\ \end{array} \right| \mathcal{L}\mathcal{C}$$

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \longrightarrow \mathcal{L}\mathcal{C} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \longrightarrow \mathcal{L}\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}$$

Similarly, the diagram (4.11) in this case becomes



which we may draw as a rectangle (4.12):

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \longrightarrow \mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C}$$

$$\downarrow^{\operatorname{Mon}_{f_{*}} \mathcal{C} \otimes \operatorname{id}}$$

$$\mathcal{L}\mathcal{D} \otimes_{\mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C})$$

$$\downarrow^{\mathsf{L}}\mathcal{D} \otimes_{\mathcal{D}} \mathcal{C} \longrightarrow \mathcal{L}\mathcal{C}$$

which we have to show is right adjointable.

By Proposition 3.9 the bottom and top squares in the cube are coCartesian, so Lemma 4.13 applies and the above rectangle is equivalent to the square

$$\mathcal{D} \otimes_{\mathcal{D} \otimes \mathcal{D}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \xrightarrow{\Delta} \mathcal{D} \otimes_{\mathcal{D} \otimes \mathcal{D}} \mathcal{C}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} (\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}) \xrightarrow{\Delta} \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} \mathcal{C}$$

expressing naturality of the transformation  $\mathcal{D} \otimes_{\mathcal{D} \otimes \mathcal{D}} (-) \to \mathcal{C} \otimes_{\mathcal{C} \otimes \mathcal{C}} (-)$  with respect to the morphism of  $\mathcal{C} \otimes \mathcal{C}$ -modules  $\Delta \colon \mathcal{C} \otimes_{\mathcal{D}} \mathcal{C} \to \mathcal{C}$ . Since f is rigid,  $\Delta$  admits a right adjoint in  $\operatorname{Mod}_{\mathcal{C} \otimes_{\mathcal{D}} \mathcal{C}}(\mathbf{Pr}_k^{\operatorname{St}})$  and hence in  $\operatorname{Mod}_{\mathcal{C} \otimes \mathcal{C}}(\mathbf{Pr}_k^{\operatorname{St}})$ , so the square is right adjointable.

## 5. Applications to the uncategorified Chern character

5.1. The Ben-Zvi-Nadler Chern character. Ben-Zvi and Nadler give a construction of a Chern character based on the functoriality properties of traces in symmetric monoidal  $(\infty, 2)$ -categories. Let us recall their definition.

Suppose  $\mathcal{C}$  is a k-linear rigid symmetric monoidal  $\infty$ -category. By Proposition 2.17,  $\mathcal{C}$  is dualizable in  $\mathrm{Mod}_{\mathrm{Mod}_k}$  and we have an equivalence

$$\mathcal{C}^{\mathrm{dual}} \cong \mathrm{Hom}_{\mathrm{Mod}_{\mathrm{Mod}_k}^{\mathrm{dual}}}(\mathrm{Mod}_k, \mathcal{C})$$

given by sending a dualizable object  $x \in \mathcal{C}$  to the functor  $k \mapsto x$ .

Let dim:  $\operatorname{Mod}_{\operatorname{Mod}_k}^{\operatorname{dual}} \to \operatorname{Mod}_k$  be the composite

$$\operatorname{Mod}_{\operatorname{Mod}_k}^{\operatorname{dual}} \to \operatorname{Aut}(\operatorname{Mod}_{\operatorname{Mod}_k}) \xrightarrow{\operatorname{Tr}} \Omega \operatorname{Mod}_{\operatorname{Mod}_k} \cong \operatorname{Mod}_k,$$

where the first functor sends  $\mathcal{M}$  to  $\mathrm{id}_{\mathcal{M}}$ . Then we have  $\dim(\mathrm{Mod}_k) \cong k$  and  $\dim(\mathcal{C}) \cong \Omega \mathcal{L}\mathcal{C}$ . Therefore, we also get a map

dim: 
$$\operatorname{Hom}_{\operatorname{Mod}_{\operatorname{Mod}_k}^{\operatorname{dual}}}(\operatorname{Mod}_k, \mathfrak{C}) \to \Omega \mathcal{L} \mathfrak{C}.$$

The Chern character defined in [BZN13a] is given by the composite

$$\mathcal{C}^{\mathrm{dual}} \to \mathrm{Hom}_{\mathrm{Mod}_{\mathrm{Mod}_k}^{\mathrm{dual}}}(\mathrm{Mod}_k, \mathcal{C}) \xrightarrow{\mathrm{dim}} \Omega \mathcal{L} \mathcal{C}.$$

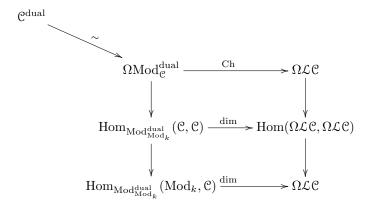
**Theorem 5.1.** Suppose  $\mathfrak{C}$  is a k-linear rigid symmetric monoidal  $\infty$ -category. Then the uncategorified Chern character ch:  $\mathfrak{C}^{\text{dual}} \to \Omega \mathcal{L} \mathfrak{C}$  is equivalent to the composite

$$\mathcal{C}^{\mathrm{dual}} \to \mathrm{Hom}_{\mathrm{Mod}^{\mathrm{dual}}_{\mathrm{Mod}_k}}(\mathrm{Mod}_k, \mathcal{C}) \xrightarrow{\mathrm{dim}} \Omega \mathcal{L} \mathcal{C}.$$

*Proof.* The categorified GRR Theorem 4.3 applied to  $Mod_k \to \mathcal{C}$  gives a commutative square

$$\begin{array}{ccc} \operatorname{Mod}^{\operatorname{dual}}_{\mathcal{C}} & \xrightarrow{\operatorname{Ch}} & \mathcal{LC} \\ & & & & \downarrow \\ & & & & \downarrow \\ \operatorname{Mod}^{\operatorname{dual}}_{\operatorname{Mod}_k} & \xrightarrow{\operatorname{dim}} & \operatorname{Mod}_k \end{array}$$

Evaluating it on the endomorphisms of  $\mathcal{C} \in \operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}}$ , we get the top square in the diagram



Here the morphism  $\Omega \mathcal{LC} \to \text{Hom}(\Omega \mathcal{LC}, \Omega \mathcal{LC})$  is adjoint to the multiplication map on  $\Omega \mathcal{LC}$ ,

$$\operatorname{Hom}(\Omega \mathcal{L} \mathcal{C}, \Omega \mathcal{L} \mathcal{C}) \to \Omega \mathcal{L} \mathcal{C}$$

is given by the evaluation on the identity element and

$$\operatorname{Hom}_{\operatorname{Mod}_{\operatorname{Mod}_h}^{\operatorname{dual}}}(\mathcal{C},\mathcal{C}) \to \operatorname{Hom}_{\operatorname{Mod}_{\operatorname{Mod}_h}^{\operatorname{dual}}}(\operatorname{Mod}_k,\mathcal{C})$$

is given by precomposition with the unit  $\operatorname{Mod}_k \to \mathcal{C}$ . The bottom square commutes by the functoriality of dimensions.

The composite

$$\mathcal{C}^{\mathrm{dual}} \to \Omega \mathrm{Mod}^{\mathrm{dual}}_{\mathcal{C}} \to \Omega \mathcal{L}\mathcal{C}$$

is the uncategorified Chern character by Theorem 3.19, so the claim follows from the commutativity of the diagram.

5.2. From the categorified GRR to the classical GRR. The classical Grothendieck–Riemann–Roch theorem states the functoriality of the uncategorified Chern character with respect to the pushforward functor  $f_* \colon \operatorname{Perf}(X) \to \operatorname{Perf}(Y)$  for a suitable morphism of schemes  $f \colon X \to Y$ . In this section we will prove its generalization with values in a sheaf of categories.

Let  $f: \mathcal{D} \to \mathcal{C}$  be a rigid symmetric monoidal functor. Let  $\mathcal{T}$  be a dualizable  $\mathcal{C}$ -module category,  $\mathcal{T}'$  a dualizable  $\mathcal{D}$ -module category and  $g: f_*\mathcal{T} \to \mathcal{T}'$  a right adjointable morphism in  $\mathrm{Mod}_{\mathcal{D}}$ , i.e., a morphism in  $\mathrm{Mod}_{\mathcal{D}}^{\mathrm{dual}}$ .

Then we have Chern characters

$$\mathrm{Ch} \colon \mathrm{Hom}_{\mathrm{Mod}^{\mathrm{dual}}_{\mathcal{C}}}(\mathcal{C}, \mathcal{T}) \to \mathrm{Hom}_{(\mathcal{L}\mathcal{C})^{S^1}}(\mathbf{1}_{\mathcal{L}\mathcal{C}}, \mathrm{Ch}(\mathcal{T}))$$

and

$$\mathrm{Ch} \colon \mathrm{Hom}_{\mathrm{Mod}_{\mathfrak{D}}^{\mathrm{dual}}}(\mathfrak{D}, \mathfrak{I}') \to \mathrm{Hom}_{(\mathcal{L}\mathfrak{D})^{S^1}}(\mathbf{1}_{\mathcal{L}\mathfrak{D}}, \mathrm{Ch}(\mathfrak{I}')).$$

Moreover, we can define pushforward maps as follows. The map

$$\operatorname{Hom}_{\operatorname{Mod}_{\mathcal{O}}^{\operatorname{dual}}}(\mathcal{C}, \mathcal{T}) \to \operatorname{Hom}_{\operatorname{Mod}_{\mathcal{D}}^{\operatorname{dual}}}(\mathcal{D}, \mathcal{T}')$$

sends a morphism  $x \colon \mathcal{C} \to \mathcal{T}$  to the composite

$$\mathfrak{D} \to f_* \mathfrak{C} \xrightarrow{x} f_* \mathfrak{T} \xrightarrow{g} \mathfrak{T}'.$$

Similarly, the map

$$\operatorname{Hom}_{(\mathcal{LC})^{S^1}}(\mathbf{1}_{\mathcal{LC}},\operatorname{Ch}(\mathfrak{I})) \to \operatorname{Hom}_{(\mathcal{LD})^{S^1}}(\mathbf{1}_{\mathcal{LD}},\operatorname{Ch}(\mathfrak{I}'))$$

is given by sending a morphism  $\mathbf{1}_{\mathcal{LC}} \to \mathrm{Ch}(\mathfrak{T})$  to the composite

$$\mathbf{1}_{\mathcal{L}\mathcal{D}} \to (\mathcal{L}f)^{\mathrm{R}}\mathbf{1}_{\mathcal{L}\mathcal{C}} \to (\mathcal{L}f)^{\mathrm{R}}\mathrm{Ch}(\mathfrak{I}) \to \mathrm{Ch}(\mathfrak{I}'),$$

where the last morphism is  $Ch(f_*\mathcal{T} \to \mathcal{T}'): (\mathcal{L}f)^R Ch(\mathcal{T}) \to Ch(\mathcal{T}').$ 

Theorem 5.2. We have a commutative diagram of spaces

$$\begin{array}{ccc} \operatorname{Hom}_{\operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}}}(\mathcal{C}, \mathfrak{T}) & \stackrel{\operatorname{Ch}}{\longrightarrow} \operatorname{Hom}_{(\mathcal{L}\,\mathcal{C})^{S^1}}(\mathbf{1}_{\mathcal{L}\mathcal{C}}, \operatorname{Ch}(\mathfrak{T})) \\ \downarrow & & \downarrow \\ \operatorname{Hom}_{\operatorname{Mod}_{\mathcal{D}}^{\operatorname{dual}}}(\mathfrak{D}, \mathfrak{T}') & \stackrel{\operatorname{Ch}}{\longrightarrow} \operatorname{Hom}_{(\mathcal{L}\,\mathfrak{D})^{S^1}}(\mathbf{1}_{\mathcal{L}\mathfrak{D}}, \operatorname{Ch}(\mathfrak{T}')). \end{array}$$

*Proof.* We have a commutative diagram of spaces

$$\begin{split} \operatorname{Hom}_{\operatorname{Mod}_{\mathcal{C}}^{\operatorname{dual}}}(\mathcal{C}, \mathfrak{T}) & \xrightarrow{\operatorname{Ch}} \operatorname{Hom}_{(\mathcal{LC})^{S^1}}(\mathbf{1}_{\mathcal{LC}}, \operatorname{Ch}(\mathfrak{T})) \\ \downarrow & \downarrow^{(\mathcal{L}f)^{\mathrm{R}}} \\ \operatorname{Hom}_{\operatorname{Mod}_{\mathcal{D}}^{\operatorname{dual}}}(f_*\mathcal{C}, f_*\mathfrak{T}) & \xrightarrow{\operatorname{Ch}} \operatorname{Hom}_{(\mathcal{LD})^{S^1}}((\mathcal{L}f)^{\mathrm{R}}\mathbf{1}_{\mathcal{LC}}, \operatorname{Ch}(f_*\mathfrak{T})) \\ \downarrow & \downarrow & \downarrow \\ \operatorname{Hom}_{\operatorname{Mod}_{\mathcal{D}}^{\operatorname{dual}}}(\mathcal{D}, f_*\mathfrak{T}) & \xrightarrow{\operatorname{Ch}} \operatorname{Hom}_{(\mathcal{LD})^{S^1}}(\mathbf{1}_{\mathcal{LD}}, \operatorname{Ch}(f_*\mathfrak{T})) \\ \downarrow & \downarrow & \downarrow \\ \operatorname{Hom}_{\operatorname{Mod}_{\mathcal{D}}^{\operatorname{dual}}}(\mathcal{D}, \mathcal{T}') & \xrightarrow{\operatorname{Ch}} \operatorname{Hom}_{(\mathcal{LD})^{S^1}}(\mathbf{1}_{\mathcal{LD}}, \operatorname{Ch}(\mathcal{T}')) \end{split}$$

where the top square commutes by the categorified GRR Theorem 4.3 applied to  $f: \mathcal{D} \to \mathcal{C}$  and the rest of the squares commute by functoriality of the Chern character Ch.

**Corollary 5.3.** Suppose  $f: \mathcal{D} \to \mathcal{C}$  is a rigid symmetric monoidal functor which is moreover proper in the sense of Definition 2.29. Then we have a commutative diagram of spaces

$$\begin{array}{c|c}
\mathbb{C}^{\text{dual}} & \xrightarrow{\text{ch}} & (\Omega \mathcal{L} \mathbb{C})^{S^{1}} \\
\downarrow^{f^{R}} & & \downarrow^{\int_{f}} \\
\mathbb{D}^{\text{dual}} & \xrightarrow{\text{ch}} & (\Omega \mathcal{L} \mathbb{D})^{S^{1}}
\end{array}$$

*Proof.* The claim is obtained from Theorem 5.2 by setting  $\mathfrak{T}=\mathfrak{C}$ ,  $\mathfrak{T}'=\mathfrak{D}$ , and  $g=f^{\mathbf{R}}\colon f_*\mathfrak{C}\to\mathfrak{D}$ . The fact that the horizontal maps are the Chern characters follows from Theorem 3.19.

Remark 5.4. Let  $f: X \to Y$  be a morphism of perfect stacks which is representable, proper, of finite Tor-amplitude, and locally almost of finite presentation. Then by Example 2.36 the pullback functor

 $f^*: \operatorname{QCoh}(Y) \to \operatorname{QCoh}(X)$  is rigid and proper. Therefore, the corollary in this case produces a commutative diagram

$$\iota_0 \operatorname{Perf}(X) \xrightarrow{\operatorname{ch}} \mathcal{O}(\mathcal{L}X)$$

$$f^{\operatorname{R}} \downarrow \qquad \qquad \downarrow \int_f \iota_0 \operatorname{Perf}(Y) \xrightarrow{\operatorname{ch}} \mathcal{O}(\mathcal{L}Y).$$

If we moreover assume that  $X \to Y$  is a smooth morphism of smooth schemes over a field k of characteristic zero, then by the results of Markarian [Mar09] the HKR isomorphisms  $\mathcal{O}(\mathcal{L}X) \cong \Omega^{-\bullet}(X)$  intertwine the integration map  $\int_f : \mathcal{O}(\mathcal{L}X) \to \mathcal{O}(\mathcal{L}Y)$  and the integration map  $\int_f : \Omega^{-\bullet}(X) \to \Omega^{-\bullet}(Y)$  on differential forms twisted by the relative Todd class  $\mathrm{Td}_{X/Y}$ . Therefore, we obtain a commutative diagram

5.3. The Grothendieck–Riemann–Roch Theorem for the secondary Chern character. In this section we prove a Grothendieck–Riemann–Roch theorem for the secondary Chern character. If  $\mathcal{C}$  is a k-linear symmetric monoidal  $\infty$ -category, we denote by  $\mathrm{Mod}_{\mathcal{C}}^{\mathrm{sat}}$  the full subcategory of  $\mathrm{Mod}_{\mathcal{C}}^{\mathrm{dual}}$  spanned by the saturated  $\mathcal{C}$ -modules (Definition 2.30).

**Definition 5.5.** Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category. We define the **secondary Chern character** to be the composite

$$\mathrm{ch}^{(2)} \colon \iota_0 \mathrm{Mod}_{\mathcal{C}}^{\mathrm{sat}} \to ((\mathcal{L}\mathcal{C})^{\mathrm{dual}})^{S^1} \to \Omega(\mathcal{L}^2\mathcal{C})^{(S^1 \times S^1)}$$

where the first map is the categorified Chern character for  $\mathcal{C}$  and the second map is the classical Chern character for  $\mathcal{L}\mathcal{C}$ .

**Theorem 5.6** (Secondary GRR). Let  $f: \mathcal{D} \to \mathcal{C}$  be a smooth and proper functor of symmetric monoidal  $\infty$ -categories. Then the square

$$\iota_{0}\mathrm{Mod}_{\mathfrak{C}}^{\mathrm{sat}} \xrightarrow{\mathrm{ch}^{(2)}} \Omega_{\mathrm{Sp}}(\mathcal{L}^{2}\mathfrak{C})^{(S^{1}\times S^{1})}$$

$$\downarrow f_{*} \qquad \qquad \downarrow \int_{\mathcal{L}_{f}}$$

$$\iota_{0}\mathrm{Mod}_{\mathfrak{D}}^{\mathrm{sat}} \xrightarrow{\mathrm{ch}^{(2)}} \Omega_{\mathrm{Sp}}(\mathcal{L}^{2}\mathfrak{D})^{(S^{1}\times S^{1})}$$

commutes.

*Proof.* Since f is smooth and proper, the pushforward  $f_*: \operatorname{Mod}_{\mathbb{C}} \to \operatorname{Mod}_{\mathbb{D}}$  preserves saturated  $\infty$ -categories (Lemma 2.32). Restricting Theorem 4.3 to saturated  $\infty$ -categories, we therefore obtain a commutative square

$$\begin{array}{c|c} \operatorname{Mod}^{\operatorname{sat}}_{\mathcal{C}} & \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathcal{C})^{S^{1}} \\ f_{*} & & & \downarrow^{\mathcal{L}f^{\mathbf{R}}} \\ \operatorname{Mod}^{\operatorname{sat}}_{\mathcal{D}} & \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathcal{D})^{S^{1}}, \end{array}$$

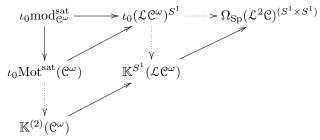
By Lemma 2.33,  $\mathcal{L}f: \mathcal{L}D \to \mathcal{L}C$  is proper. Hence, composing the above commutative diagram with the classical GRR as in Corollary 5.3, yields the statement.

Let us assume that  $\mathcal{C}$  is compactly generated and rigid in the sense of Definition 2.16. We denote the subcategory of compact objects by  $\mathcal{C}^{\omega}$ . By Corollary 2.19, we have that  $\mathcal{C}^{\text{dual}} = \iota_0 \mathcal{C}^{\omega}$ . We will write  $\text{mod}_{\mathcal{C}^{\omega}}$  for the  $\infty$ -category of small stable idempotent complete  $\mathcal{C}^{\omega}$ -linear  $\infty$ -categories. The Ind-completion functor identifies  $\text{mod}_{\mathcal{C}^{\omega}}$  with a full subcategory of  $\text{Mod}_{\mathcal{C}}^{\text{dual}}$ . In [HSS17], we considered the  $\infty$ -category  $\text{mod}_{\mathcal{C}^{\omega}}^{\text{sat}}$  of

small saturated  $\mathcal{C}^{\omega}$ -linear  $\infty$ -categories, which is the intersection  $\operatorname{mod}_{\mathcal{C}^{\omega}} \cap \operatorname{Mod}_{\mathcal{C}}^{\operatorname{sat}}$ . As proved in [HSS17, Theorem 6.20],  $\operatorname{ch}^{(2)}$  descends to a morphism of  $\mathbb{E}_{\infty}$  ring spectra

$$\operatorname{ch}^{(2)} \colon \mathbb{K}^{(2)}(\mathcal{C}^{\omega}) \to \Omega_{\operatorname{Sp}}(\mathcal{L}^2\mathcal{C})^{(S^1 \times S^1)},$$

where  $\Omega_{\rm Sp}$  is the spectrum of endomorphisms of the unit object. This is the diagonal composition in the diagram



where a dotted arrow means a map to the infinite loop space of the target, see [HSS17, Diagram (6.19)].

**Theorem 5.7** (Motivic GRR). Let us assume that C and D are compactly generated and rigid, and let  $f: D \to C$  be a rigid symmetric monoidal functor. Then the square

$$\begin{array}{c|c} \operatorname{Mot}(\mathcal{C}^{\omega}) & \stackrel{\operatorname{Ch}}{\longrightarrow} (\mathcal{L}\mathcal{C})^{S^{1}} \\ f_{*} & & \downarrow^{\mathcal{L}f^{\mathbf{R}}} \\ \operatorname{Mot}(\mathcal{D}^{\omega}) & \stackrel{\operatorname{Ch}}{\longrightarrow} (\mathcal{L}\mathcal{D})^{S^{1}} \end{array}$$

commutes. If f is smooth and proper, the square

$$\begin{array}{c|c} \operatorname{Mot}^{\operatorname{sat}}(\mathcal{C}^{\omega}) & \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathcal{C}^{\omega})^{S^{1}} \\ f_{*} & & & \downarrow^{\mathcal{L}f^{\mathbb{R}}} \\ \operatorname{Mot}^{\operatorname{sat}}(\mathcal{D}^{\omega}) & \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathcal{D}^{\omega})^{S^{1}} \end{array}$$

commutes.

*Proof.* First note that the functor  $f_*: \operatorname{Mod}^{\operatorname{dual}}_{\mathbb{C}} \to \operatorname{Mod}^{\operatorname{dual}}_{\mathbb{D}}$  preserves compactly generated  $\infty$ -categories. The functor  $\operatorname{mod}_{\mathbb{C}^\omega} \to \operatorname{Mot}(\mathbb{C}^\omega)$  is by definition the universal functor to a presentable stable  $\infty$ -category that preserves zero objects, exact sequences, and filtered colimits. The functors

$$\operatorname{mod}_{\mathbb{C}^{\omega}} \xrightarrow{f_*} \operatorname{mod}_{\mathcal{D}^{\omega}} \xrightarrow{\operatorname{Ind}} \operatorname{Mod}_{\mathcal{D}}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathcal{D})^{S^1}$$

and

$$\operatorname{mod}_{\mathfrak{C}^\omega} \xrightarrow{\operatorname{Ind}} \operatorname{Mod}_{\mathfrak{C}}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} (\mathcal{L}\mathfrak{C})^{S^1}$$

satisfy these conditions and therefore the categorified GRR factors through the commutative square as in the statement. The commutativity of the second square is proved in the same way, using that  $\operatorname{mod}_{\mathcal{C}^{\omega}}^{\operatorname{sat}} \to \operatorname{Mot}^{\operatorname{sat}}(\mathcal{C}^{\omega})$  is the universal functor to a stable idempotent complete  $\infty$ -category that preserves zero objects and exact sequences.

**Corollary 5.8.** Assume that C and D are compactly generated and rigid, and let  $f: D \to C$  be a proper symmetric monoidal functor. Then we have a commutative square of spectra

$$\mathbb{K}(\mathcal{C}^{\omega}) \xrightarrow{\mathrm{ch}} \Omega_{\mathrm{Sp}}(\mathcal{L}\mathcal{C})^{S^{1}}$$

$$f_{*} \downarrow \qquad \qquad \downarrow f_{f}$$

$$\mathbb{K}(\mathcal{D}^{\omega}) \xrightarrow{\mathrm{ch}} \Omega_{\mathrm{Sp}}(\mathcal{L}\mathcal{D})^{S^{1}}.$$

*Proof.* Repeat the proof of Corollary 5.3, using the first square of Theorem 5.7 instead of Theorem 4.3.  $\Box$ 

**Theorem 5.9** (Secondary motivic GRR). Let C and D be compactly generated rigid categories and let  $f: D \to C$  be a smooth and proper symmetric monoidal functor. Then the square

$$\mathbb{K}^{(2)}(\mathbb{C}^{\omega}) \xrightarrow{\operatorname{ch}^{(2)}} \Omega_{\operatorname{Sp}}(\mathcal{L}^{2}\mathbb{C})^{(S^{1} \times S^{1})} \downarrow f_{\mathcal{L}_{f}} \downarrow \mathbb{K}^{(2)}(\mathbb{D}^{\omega}) \xrightarrow{\operatorname{ch}^{(2)}} \Omega_{\operatorname{Sp}}(\mathcal{L}^{2}\mathbb{D})^{(S^{1} \times S^{1})}$$

commutes.

*Proof.* Applying nonconnective K-theory to the second square in Theorem 5.7, we get a commutative square of spectra

$$\mathbb{K}^{(2)}(\mathcal{C}^{\omega}) \xrightarrow{\operatorname{Ch}} \mathbb{K}^{S^{1}}(\mathcal{L}\mathcal{C}^{\omega})$$

$$f_{*} \downarrow \qquad \qquad \downarrow \mathcal{L}f^{R}$$

$$\mathbb{K}^{(2)}(\mathcal{D}^{\omega}) \xrightarrow{\operatorname{Ch}} \mathbb{K}^{S^{1}}(\mathcal{L}\mathcal{D}^{\omega}).$$

Now  $\mathcal{L}f: \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{C}$  is also a rigid symmetric functor which is proper (Lemma 2.33). Hence, Corollary 5.8 applied to  $\mathcal{L}f: \mathcal{L}\mathcal{D} \to \mathcal{L}\mathcal{C}$  yields the commutative square

$$\mathbb{K}(\mathcal{L}\mathcal{C}^{\omega}) \xrightarrow{\mathrm{ch}} \Omega_{\mathrm{Sp}}(\mathcal{L}^{2}\mathcal{C})^{S^{1}}$$

$$\mathcal{L}_{f^{\mathrm{R}}} \downarrow \qquad \qquad \downarrow \int_{\mathcal{L}_{f}}$$

$$\mathbb{K}(\mathcal{L}\mathcal{D}^{\omega}) \xrightarrow{\mathrm{ch}} \Omega_{\mathrm{Sp}}(\mathcal{L}^{2}\mathcal{D})^{S^{1}}.$$

Combining the two squares yields the statement.

5.4. Secondary Chern character and the motivic Chern class. In this section we establish a comparison between the secondary Chern character and Brasselet, Schürmann and Youkura's motivic Chern class [BSY10]. The motivic Chern class is an enhancement of MacPherson's total Chern class of singular varieties [Mac74] and, as explained in [Sch09], it specializes to other well-known invariants of singular varieties.

Throughout the section k is a field of characteristic 0. A variety is an integral separated scheme of finite type over  $\operatorname{Spec}(k)$ . For X a variety, we write  $\operatorname{Mot}(X)$  for the presentable stable  $\infty$ -category  $\operatorname{Mot}(\operatorname{Perf}(X))$  of localizing  $\operatorname{Perf}(X)$ -motives [HSS17, Definition 5.14].

**Definition 5.10.** We denote by  $Mot_{BM}(X)$  the smallest stable idempotent complete full subcategory of Mot(X) such that for every proper map  $f: Y \to X$  from a smooth variety the pushforward factors as

$$\operatorname{Mot}_{\operatorname{BM}}(X)$$

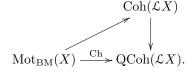
$$\downarrow$$

$$\operatorname{Mot}^{\operatorname{sat}}(Y) \xrightarrow{f_*} \operatorname{Mot}(X).$$

We call  $Mot_{BM}(X)$  the  $\infty$ -category of Borel-Moore noncommutative motives over X.

Remark 5.11. If  $f: X \to Y$  is a proper morphism of algebraic varieties, there is a well-defined pushforward functor  $f_*: \operatorname{Mot}_{\operatorname{BM}}(Y) \to \operatorname{Mot}_{\operatorname{BM}}(X)$ . The qualifier Borel-Moore alludes to this feature.

**Lemma 5.12.** The restriction of Ch to  $Mot_{BM}(X)$  factors as



*Proof.* Let  $f: Y \to X$  be a proper map from a smooth variety Y. As  $f: Y \to X$  is proper,  $\mathcal{L}f$  is proper and there is a well-defined pushforward  $\mathcal{L}f_*: \operatorname{Coh}(\mathcal{L}Y) \to \operatorname{Coh}(\mathcal{L}X)$ . Further, since Y is smooth,  $\mathcal{L}Y$  is eventually coconnective (see Lemma 6.9), and therefore there is an inclusion  $\operatorname{Perf}(\mathcal{L}Y) \subset \operatorname{Coh}(\mathcal{L}Y)$ . The motivic GRR theorem (Theorem 5.7) yields a commutative square

(5.13) 
$$\text{Mot}^{\text{sat}}(Y) \xrightarrow{\text{Ch}} \text{Perf}(\mathcal{L}Y)$$

$$f_* \downarrow \qquad \qquad \downarrow \mathcal{L}_{f_*}$$

$$\text{Mot}_{\text{BM}}(X) \xrightarrow{\text{Ch}} \text{QCoh}(\mathcal{L}X).$$

By the previous discussion, the upper composition  $\mathcal{L}f_* \circ \mathrm{Ch}$  lands in  $\mathrm{Coh}(\mathcal{L}X)$ 

$$\operatorname{Mot}^{\operatorname{sat}}(Y) \xrightarrow{\mathcal{L}f_* \circ \operatorname{Ch}} \operatorname{Coh}(\mathcal{L}X) \subset \operatorname{QCoh}(\mathcal{L}X).$$

Then, since (5.13) is commutative, the lower composition  $Ch \circ f_*$  also corestricts to  $Coh(\mathcal{L}X)$ .

Now, by definition  $Mot_{BM}(X)$  is generated under fibers, cofibers, and retracts by the images of the pushforward functors

$$f_* \colon \mathrm{Mot}^{\mathrm{sat}}(Y) \to \mathrm{Mot}(X)$$

as  $Y \to X$  ranges over all proper maps with smooth domain. We conclude that Ch restricted to  $\text{Mot}_{\text{BM}}(X)$  lands in  $\text{Coh}(\mathcal{L}X)$ , which is what we wanted to prove.

**Definition 5.14.** We denote by  $K_{\rm BM}^{(2)}(X)$  the algebraic K-theory of  ${\rm Mot}_{\rm BM}(X)$ ,

$$K_{\mathrm{BM}}^{(2)}(X) := K(\mathrm{Mot}_{\mathrm{BM}}(X)).$$

Let  $i_X \colon X \to \mathcal{L}X$  be the embedding of the trivial loops. By [Bar15, Proposition 9.2] the pushforward in G-theory,  $i_{X*} \colon G(X) \longrightarrow G(\mathcal{L}X)$ , is an equivalence.

**Definition 5.15.** We denote by  $ch_{BM}^{(2)}$  the map

$$\operatorname{ch}_{\mathrm{BM}}^{(2)} \colon K^{(2)}_{\mathrm{BM}}(X) = K(\operatorname{Mot}_{\mathrm{BM}}(X)) \xrightarrow{K(\operatorname{Ch})} K(\operatorname{Coh}(\mathcal{L}X)) \simeq G(X).$$

We call  $ch_{BM}^{(2)}$  the BM secondary Chern character.

The categorified GRR theorem implies a GRR statement for the BM secondary Chern character.

**Proposition 5.16.** Let  $f: Y \to X$  be a proper map of algebraic varieties. Then there is a commutative square

$$K_{\mathrm{BM}}^{(2)}(Y) \xrightarrow{\mathrm{ch}_{\mathrm{BM}}^{(2)}} G(Y)$$

$$f_{*} \downarrow \qquad \qquad \downarrow f_{*}$$

$$K_{\mathrm{BM}}^{(2)}(X) \xrightarrow{\mathrm{ch}_{\mathrm{BM}}^{(2)}} G(X).$$

In [Bit04] Bittner obtains a presentation of the Grothendieck group of varieties over X,  $K_0(Var_X)$ , which we recall next. She proves that  $K_0(Var_X)$  is isomorphic to the free abelian group on isomorphism classes of proper maps  $[Y \longrightarrow X]$ , such that Y is smooth and equidimensional, subject to the following two relations

- $(1) \ [\varnothing \longrightarrow X] = 0$
- (2) For every diagram

$$E \xrightarrow{i} \operatorname{Bl}_{Z}(Y)$$

$$\downarrow^{p} \qquad \qquad \downarrow^{q}$$

$$Z \xrightarrow{j} Y \xrightarrow{f} X$$

where j is a closed embedding of smooth equidimensional algebraic varieties,  $Bl_Z(Y)$  is the blow-up of Y along Z, and E is the exceptional divisor,

$$[\operatorname{Bl}_Z Y \longrightarrow X] - [E \longrightarrow X] = [Y \longrightarrow X] - [Z \longrightarrow X]$$
 in  $K_0(\operatorname{Var}_X)$ .

If  $\mathcal{C}$  is an  $\infty$ -category over X having the property that its motive lies in  $\mathrm{Mot}_{\mathrm{BM}}(X)$ , we denote by  $[\mathcal{C}]$  its class in  $K^{(2)}_{\mathrm{BM}}(X)$ .

**Proposition 5.17.** There is a homomorphism of groups  $\mu: K_0(\operatorname{Var}_X) \to K_{\mathrm{BM},0}^{(2)}(X)$  given by the assignment:

$$[Y \xrightarrow{f} X] \in K_0(\operatorname{Var}_X) \mapsto f_*[\operatorname{Perf}(Y)] \in K^{(2)}_{\mathrm{BM},0}(X).$$

*Proof.* The proof is the same as the one given in [BLL04] for the case  $X = \operatorname{Spec}(k)$ . The key ingredient is Orlov's formula for the category of perfect complexes of blow-ups, see [BLL04, Proposition 7.5]. The only thing to prove is that the assignment

$$[Y \xrightarrow{f} X] \in K_0(\operatorname{Var}_X) \mapsto f_*[\operatorname{Perf}(Y)] \in K^{(2)}_{\operatorname{BM},0}(X).$$

is compatible with the relations (1) and (2) coming from Bittner's presentation of  $K_0(Var_X)$ .

Relation (1) reduces to the fact that  $\operatorname{Perf}(\varnothing)$  is the 0 category. Now let  $Z \stackrel{j}{\to} Y$  be as in relation (2), and let s be the codimension of Z in Y. Orlov's formula, proved in [Orl93], gives a  $\operatorname{Perf}(Y)$ -linear semi-orthogonal decomposition of  $\operatorname{Perf}(\operatorname{Bl}_Z(Y))$  with s factors: one copy of  $\operatorname{Perf}(Y)$  and s-1 copies of  $\operatorname{Perf}(Z)$ . The exceptional divisor  $E \subset \operatorname{Bl}_Z(Y)$  is a projective bundle over E of rank s-1. Thus by [Orl93]  $\operatorname{Perf}(E)$  has a  $\operatorname{Perf}(Z)$ -linear semi-orthogonal decomposition with s factors all equivalent to  $\operatorname{Perf}(Z)$ .

We obtain the following identities in  $K_{BM,0}^{(2)}(X)$ :

$$(fq)_*[Perf(Bl_Z(Y))] = f_*[Perf(Y)] + (s-1)(fj)_*[Perf(Z)], \quad (fqi)_*[Perf(E)] = (if)_*[Perf(Z)]$$

This immediately implies that

$$f_*[\operatorname{Perf}(\operatorname{Bl}_Z(Y))] - (fqi)_*[\operatorname{Perf}(E)] = f_*[\operatorname{Perf}(Y)] - (fj)_*[\operatorname{Perf}(Z)]$$

which can be rewritten as the identity

$$\mu(Bl_Z(Y)) - \mu(E) = \mu(Y) - \mu(Z)$$

This shows that relation (2) is satisfied, and concludes the proof.

5.4.1. The motivic Chern class. Let X be a variety. The motivic Chern class was defined in [BSY10]. It is the morphism

$$mC_*: K_0(\operatorname{Var}_X) \longrightarrow G_0(X) \otimes \mathbb{Z}[y]$$

which is uniquely determined by the following two properties:

- (1) If X is smooth,  $mC_*([X \xrightarrow{1_X} X]) = \sum [\Omega_X^i] \cdot y^i \in G_0(X) \otimes \mathbb{Z}[y]$
- (2) If  $Y \to X$  is a proper map and Y is a smooth algebraic variety there is a commutative diagram

(5.18) 
$$K_{0}(\operatorname{Var}_{Y}) \xrightarrow{\operatorname{ch}_{\operatorname{mot}}} G_{0}(Y) \otimes \mathbb{Z}[y]$$

$$f_{*} \downarrow \qquad \qquad \downarrow f_{*}$$

$$K_{0}(\operatorname{Var}_{X}) \xrightarrow{\operatorname{ch}_{\operatorname{mot}}} G_{0}(X) \otimes \mathbb{Z}[y].$$

**Theorem 5.19.** Let X be a variety. Then there is a commutative diagram

(5.20) 
$$K_{0}(\operatorname{Var}_{X}) \xrightarrow{mC_{*}} G_{0}(X) \otimes \mathbb{Z}[y]$$

$$\downarrow s$$

$$K_{\mathrm{BM},0}^{(2)}(X) \xrightarrow{\operatorname{ch}_{\mathrm{BM}}^{(2)}} F_{0}(X)$$

where the vertical map on the right is the quotient map

$$G_0(X) \otimes \mathbb{Z}[y] \to G_0(X) \otimes \mathbb{Z}[y]/(y+1) = G_0(X).$$

*Proof.* By Proposition 5.16 the BM secondary Chern character satisfies a GRR theorem for pushforwards along proper maps. Then, in view of the defining properties (1) and (2) of the motivic Chern class, to prove the claim it is sufficient to verify the following two compatibilities. The first is that, if X is smooth, diagram (5.20) commutes when evaluated on  $[X \xrightarrow{1_X} X]$ . This holds, since

$$\mathrm{ch}_{\mathrm{BM}}^{(2)}\circ\mu([X\xrightarrow{1_X}X])=\mathrm{ch}_{\mathrm{BM}}^{(2)}([\mathrm{Perf}(X)])=[i_X^*\mathcal{O}_{\mathcal{L}X}]=\sum (-1)^i\Omega_X^i=s\circ mC_*([X\xrightarrow{1_X}X]).$$

Finally, we need to check that if  $f \colon Y \to X$  is a proper map, the square

$$K_0(\operatorname{Var}_Y) \xrightarrow{-f_*} K_0(\operatorname{Var}_X)$$

$$\downarrow^{\mu} \qquad \qquad \downarrow^{\mu}$$

$$K_{\mathrm{BM},0}^{(2)}(Y) \xrightarrow{-f_*} K_{\mathrm{BM},0}^{(2)}(X)$$

commutes. This is clear, and this concludes the proof.

## 6. The categorified Chern character and the de Rham realization

In this section we prove that the categorified Chern character recovers the de Rham realization. The main technical input will be the categorified GRR theorem. We will leverage work of Preygel on the comparison between coherent sheaves on the loop stack and crystals [Pre15].

Throughout this section we will work over a fixed ground field k of characteristic zero, and "derived scheme" will mean "derived scheme almost of finite type over k". We write Sch for the  $\infty$ -category of derived schemes. If X is a derived scheme, we denote by  $\operatorname{Sch}_X$  the overcategory  $\operatorname{Sch}_{/X}$ . Recall that a morphism of derived schemes  $Y \to X$  is smooth if for every classical scheme Z and every morphism  $Z \to X$ , the projection  $Y \times_X Z \to Z$  is a smooth morphism of classical schemes. We denote by  $\operatorname{Sm}_X \subset \operatorname{Sch}_X$  the full subcategory of smooth X-schemes.

We will use heavily the theory of ind-coherent sheaves developed in [Gai13, GR16]. Recall that for X a derived prestack (locally almost of finite type), there is defined a symmetric monoidal presentable stable  $\infty$ -category IndCoh(X), and for any morphism  $f: Y \to X$  there is a symmetric monoidal pullback functor

$$f! : \operatorname{IndCoh}(X) \to \operatorname{IndCoh}(Y).$$

If f is schematic and quasi-compact (more generally, ind-inf-schematic), there is also a pushforward functor

$$f_* : \operatorname{IndCoh}(Y) \to \operatorname{IndCoh}(X)$$

with the following properties: if f is proper (more generally, ind-proper), then  $f_*$  is left adjoint to  $f^!$ , and if f is an open immersion, then  $f_*$  is right adjoint to  $f^!$ . Furthermore, there is a canonical action of QCoh(X) on IndCoh(X), denoted by  $\otimes$ . The functor

$$\Upsilon \colon \mathrm{QCoh}(X) \to \mathrm{IndCoh}(X), \quad \Upsilon(\mathcal{F}) = \mathcal{F} \otimes \omega_X,$$

where  $\omega_X \in \text{IndCoh}(X)$  is the unit object, is symmetric monoidal and intertwines the \*-pullback of quasi-coherent sheaves and the !-pullback of ind-coherent sheaves.

If X is a derived scheme, we have  $\operatorname{IndCoh}(X) = \operatorname{Ind}(\operatorname{Coh}(X))$ , where  $\operatorname{Coh}(X) \subset \operatorname{QCoh}(X)$  is the subcategory of bounded pseudo-coherent complexes or, using the terminology of [Lur18], bounded almost perfect objects.

6.1. **Ind-coherent sheaves on loop spaces and crystals.** In this section we review definitions and results from Preygel's article [Pre15].

Let  $\mathcal{C}$  be a k-linear  $\infty$ -category equipped with an  $S^1$ -action. The invariant category  $\mathcal{C}^{S^1}$  is linear over  $C^*(BS^1,k) \simeq k[[u]]$ , with u in (homological) degree -2, and we set

$$\mathfrak{C}^{\mathrm{Tate}} := \mathfrak{C}^{S^1} \otimes_{k[[u]]} k((u)).$$

If  $\mathcal{C}$  is large the Tate construction is often not quite the right concept. Under the assumption that  $\mathcal{C}$  is a stable  $\infty$ -category with a coherent t-structure [Pre15, Definition 4.2.7], Preygel introduces the tTate construction  $\mathcal{C}^{tTate}$  as a better behaved alternative. It is defined by

$$\mathfrak{C}^{\mathrm{tTate}} := \mathrm{Ind}(\mathrm{Coh}(\mathfrak{C})^{S^1}) \otimes_{k[[u]]} k((u)),$$

where  $Coh(\mathcal{C}) \subset \mathcal{C}$  is the full subcategory of bounded almost perfect objects<sup>1</sup> and  $(-) \otimes_{k[[u]]} k((u))$  is extension of scalars for presentable linear  $\infty$ -categories. The notation  $Coh(\mathcal{C})$  is motivated by geometric applications. Indeed, let X be a derived scheme with a  $S^1$ -action. If  $\mathcal{C} = Ind(Coh(X))$  then  $Coh(\mathcal{C})$  coincides with the stable category of coherent complexes on X, Coh(X). Further by [Pre15, Remark 4.5.6] we have that

$$\operatorname{IndCoh}(X)^{\operatorname{tTate}} \simeq \operatorname{Ind}(\operatorname{Coh}(X)^{S^1}) \otimes_{k[[u]]} k((u)) \simeq \operatorname{Ind}(\operatorname{Coh}(X)^{\operatorname{Tate}}).$$

Let X be a derived scheme and  $X_{dR}$  the associated de Rham prestack. Recall that a *crystal* on X is by definition a quasi-coherent sheaf on  $X_{dR}$ , and that the functor

$$\Upsilon \colon \mathrm{QCoh}(X_{\mathrm{dR}}) \to \mathrm{IndCoh}(X_{\mathrm{dR}})$$

is an equivalence [GR14, Proposition 2.4.4]. The inclusion of the constant loops  $X \to \mathcal{L}X$  is a nilisomorphism, and hence it induces an equivalence of de Rham prestacks. We therefore have an  $S^1$ -equivariant map

$$\pi_X \colon \mathcal{L}X \to (\mathcal{L}X)_{\mathrm{dR}} \simeq X_{\mathrm{dR}},$$

where the  $S^1$ -action is given by loop rotation on  $\mathcal{L}X$  and is trivial on  $X_{\mathrm{dR}}$ . The morphism  $\pi_X$  is an inf-schematic nil-isomorphism and hence induces an adjunction

$$\pi_{X,*}: \operatorname{IndCoh}(\mathcal{L}X) \rightleftarrows \operatorname{IndCoh}(X_{dR}): \pi_X^!,$$

where the right adjoint is symmetric monoidal.

**Theorem 6.1** ([Pre15], Theorem 1.3.5). For every derived scheme X, the morphism  $\pi_X$  induces inverse equivalences of symmetric monoidal  $\infty$ -categories

$$(\pi_{X,*})^{\mathrm{tTate}}: \mathrm{IndCoh}(\mathcal{L}X)^{\mathrm{tTate}} \overset{\simeq}{\longleftrightarrow} \mathrm{IndCoh}(X_{\mathrm{dR}})^{\mathrm{tTate}}: (\pi_X^!)^{\mathrm{tTate}}.$$

**Definition 6.2.** If  $\mathcal{C}$  is a presentable stable k-linear  $\infty$ -category, we denote by  $\mathcal{C}_{\mathbb{Z}/2}$  its  $\mathbb{Z}/2$ -folding,

$$\mathcal{C}_{\mathbb{Z}/2} := \mathcal{C} \otimes_k k((u))$$

where u is in degree -2.

If  $S^1$  acts trivially on a stable  $\infty$ -category  $\mathcal{C}$  with coherent t-structure, we have

$$\mathcal{C}^{\mathrm{tTate}} \simeq \mathrm{Ind}(\mathrm{Coh}(\mathcal{C}))_{\mathbb{Z}/2}$$

[Pre15, Lemma 4.5.4]. In particular, Theorem 6.1 gives equivalences of symmetric monoidal  $\infty$ -categories (6.3) IndCoh( $\mathcal{L}X$ )<sup>tTate</sup>  $\simeq$  IndCoh( $X_{dR}$ )<sub> $\mathbb{Z}/2$ </sub>  $\simeq$  QCoh( $X_{dR}$ )<sub> $\mathbb{Z}/2$ </sub>.

We will not distinguish notationally between an object of  $\mathcal{C}$  and its image in the  $\mathbb{Z}/2$ -folding  $\mathcal{C}_{\mathbb{Z}/2}$ , as it will always be clear from the context which is meant.

We now discuss the functoriality of the construction  $\mathcal{C} \mapsto \mathcal{C}^{\text{tTate}}$ , following [Pre15, §4.6]. An exact functor  $F \colon \mathcal{C} \to \mathcal{D}$  between stable  $\infty$ -categories with coherent t-structures is called *coherent* if it is left t-exact up to a shift and  $F|\mathcal{C}_{\leq 0}$  preserves filtered colimits. For such a functor there is an induced commutative diagram

where  $\mathcal{C}_{<\infty} = \bigcup_n \mathcal{C}_{\leq n} \subset \mathcal{C}$  is the subcategory of homologically bounded above objects. Note that the functors  $f^!$ :  $\operatorname{IndCoh}(X) \to \operatorname{IndCoh}(Y)$  and  $f_*$ :  $\operatorname{IndCoh}(Y) \to \operatorname{IndCoh}(X)$  are coherent for any morphism of

<sup>&</sup>lt;sup>1</sup>In [Pre15] Preygel calls almost perfect objects almost compact. Note that in the terminology of [Lur18, Appendix C.5.5], Ind(Coh( $\mathcal{C}$ )) is the stabilization of the anticompletion of  $\mathcal{C}_{>0}$ .

derived schemes  $f: Y \to X$ . Similarly, if  $\mathcal{C}$  has a symmetric monoidal structure whose unit is bounded above and such that  $x \otimes (-)$  is coherent for every  $x \in \mathcal{C}_{<0}$ , there is an induced symmetric monoidal structure on  $\operatorname{Ind}(\operatorname{Coh}(\mathcal{C}))$  that restricts to the original one on  $\mathcal{C}_{<\infty}$ .

If  $\mathcal{C}$  has an  $S^1$ -action, the diagram of functors

$$\mathbb{C}^{S^1}_{<\infty}$$

$$\downarrow$$

$$\mathbb{C}^{S^1} \longleftarrow \operatorname{Ind}(\operatorname{Coh}(\mathbb{C})^{S^1}) \longrightarrow \mathbb{C}^{\operatorname{tTate}}$$

is thus natural in  $\mathcal{C}$  with respect to coherent functors. Replacing the functor  $\operatorname{Ind}(\operatorname{Coh}(\mathcal{C})^{S^1}) \to \mathcal{C}^{S^1}$  by its right adjoint, we obtain a canonical functor

$$\Theta \colon \mathbb{C}^{S^1} \to \mathbb{C}^{\operatorname{tTate}}$$

which is left-lax natural in  $\mathbb{C}$ , and whose restriction to  $\mathbb{C}^{S^1}_{<\infty}$  is strictly natural by Lemma 4.6.1 and Remark 4.6.3 of [Pre15]. If  $\mathbb{C}$  is symmetric monoidal as before, the above diagram is one of symmetric monoidal functors. It follows that  $\Theta$  is right-lax symmetric monoidal, and that its restriction to  $\mathbb{C}^{S^1}_{<\infty}$  is symmetric monoidal; in particular,  $\Theta$  is unital.

**Lemma 6.4.** Suppose that  $\mathcal{C} = \operatorname{Ind}(\operatorname{Coh}(\mathcal{C}))$  and that  $S^1$  acts trivially on  $\mathcal{C}$ . Then the functor

$$\Theta \colon \mathfrak{C}^{S^1} \to \mathfrak{C}^{\operatorname{tTate}} \simeq \mathfrak{C}_{\mathbb{Z}/2}$$

sends an  $S^1$ -equivariant object E to its Tate construction

$$E^{tS^1} = E^{S^1} \otimes_{k[[u]]} k((u)).$$

*Proof.* There is a commutative square of left adjoint functors

$$\begin{array}{ccc}
\mathbb{C} & \longrightarrow \mathbb{C}^{S^1} \\
\parallel & & \uparrow \\
\operatorname{Ind}(\operatorname{Coh}(\mathbb{C})) & \longrightarrow \operatorname{Ind}(\operatorname{Coh}(\mathbb{C})^{S^1})
\end{array}$$

where the horizontal functors equip an object with trivial  $S^1$ -action. Under the equivalence

$$\operatorname{Ind}(\operatorname{Coh}(\mathfrak{C})^{S^1}) \simeq \mathfrak{C} \otimes_k k[[u]]$$

of [Pre15, Lemma 4.5.4], the lower horizontal functor is given by extension of scalars. Passing to right adjoints, we deduce that the functor

$$\mathfrak{C}^{S^1} \to \operatorname{Ind}(\operatorname{Coh}(\mathfrak{C})^{S^1}) \simeq \mathfrak{C} \otimes_k k[[u]]$$

sends an object E to its  $S^1$ -fixed points  $E^{S^1}$  with their k[[u]]-module structure.

6.2. The categorified de Rham Chern character. For X smooth over k, the categorified de Rham Chern character will be a functor

$$\operatorname{Ch}^{\operatorname{dR}} \colon \operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \longrightarrow \mathcal{D}_X\operatorname{-mod}_{\mathbb{Z}/2}$$

associating to every dualizable sheaf of  $\infty$ -categories on X a 2-periodic  $\mathcal{D}_X$ -module. More generally, for X not necessarily smooth, we will define  $\operatorname{Ch}^{\mathrm{dR}}$  as a functor valued in the  $\infty$ -category  $\operatorname{QCoh}(X_{\mathrm{dR}})_{\mathbb{Z}/2}$  of 2-periodic crystals. The relationship between crystals and D-modules will be reviewed in §6.3.

**Definition 6.5.** Let X be a derived scheme. The categorified de Rham Chern character is the functor

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} \operatorname{QCoh}(\mathcal{L}X)^{S^1} \xrightarrow{\Upsilon} \operatorname{IndCoh}(\mathcal{L}X)^{S^1} \xrightarrow{\Theta} \operatorname{IndCoh}(\mathcal{L}X)^{\operatorname{tTate}} \simeq \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2},$$

where the last equivalence is (6.3). We denote the de Rham Chern character by Ch<sup>dR</sup>.

Note that  $\operatorname{Ch}^{\operatorname{dR}}$  is a unital right-lax symmetric monoidal functor, being a composition of such functors. Moreover, the restriction of  $\operatorname{Ch}^{\operatorname{dR}}$  to fully dualizable  $\operatorname{QCoh}(X)$ -modules is strictly symmetric monoidal, since  $\Upsilon \circ \operatorname{Ch}$  takes such modules to  $\operatorname{Coh}(\mathcal{L}X)^{S^1}$ .

When X is a smooth scheme,  $Ch^{dR}$  is an enhancement of periodic cyclic homology:

**Lemma 6.6.** Let X be a smooth scheme and let  $\pi: X \to X_{dR}$  be the canonical map. Then the composite functor

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2} \xrightarrow{\pi^*} \operatorname{QCoh}(X)_{\mathbb{Z}/2}$$

sends  $\mathcal{M}$  to its relative periodic cyclic homology  $HP(\mathcal{M}/X) = HH(\mathcal{M}/X)^{tS^1}$ .

*Proof.* Let  $e: X \to \mathcal{L}X$  be the inclusion of the constant loops. Since e is proper, the functor  $e^!$  admits a coherent left adjoint  $e_*$ , so that it commutes with  $\Theta$ . Since X is smooth, the functor  $\Upsilon: \mathrm{QCoh}(X) \to \mathrm{IndCoh}(X)$  is an equivalence. Using these facts, one can identify  $\pi^* \circ \mathrm{Ch}^{\mathrm{dR}}$  with the composition

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} \operatorname{QCoh}(\mathcal{L}X)^{S^1} \xrightarrow{e^*} \operatorname{QCoh}(X)^{S^1} \xrightarrow{\Theta} \operatorname{QCoh}(X)_{\mathbb{Z}/2}.$$

By definition,  $Ch(\mathfrak{M})$  is the trace of the monodromy automorphism of  $p^*\mathfrak{M}$ , where  $p: \mathcal{L}X \to X$ . Since  $p \circ e = \mathrm{id}_X$ ,  $e^*(Ch(\mathfrak{M}))$  is the trace of the identity on  $\mathfrak{M}$ , that is, the Hochschild homology  $HH(\mathfrak{M}/X)$  with its canonical  $S^1$ -action. By Lemma 6.4, the last functor sends an  $S^1$ -equivariant object E to its Tate fixed points  $E^{tS^1}$ , which completes the proof.

Remark 6.7. The functor  $\operatorname{Ch^{dR}}$  sends localization sequences of dualizable  $\operatorname{QCoh}(X)$ -modules to cofiber sequences, and its restriction to compactly generated  $\operatorname{QCoh}(X)$ -modules extends to a functor

$$\operatorname{Ch}^{\mathrm{dR}} \colon \operatorname{Mot}(X) = \operatorname{Mot}(\operatorname{Perf}(X)) \to \operatorname{QCoh}(X_{\mathrm{dR}})_{\mathbb{Z}/2}$$

(since Ch has both properties). However, Lemma 6.6 shows that Ch<sup>dR</sup> does not preserve filtered colimits, so that it is not a localizing invariant in the sense of [HSS17, Definition 5.16].

We now investigate the naturality properties of the categorified de Rham Chern character. If  $f: Y \to X$  is a morphism of derived schemes, we have a commutative diagram

$$(6.8) \qquad \operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} \operatorname{QCoh}(\mathcal{L}X)^{S^{1}} \xrightarrow{\Upsilon} \operatorname{IndCoh}(\mathcal{L}X)^{S^{1}} \xrightarrow{\Theta} \operatorname{IndCoh}(\mathcal{L}X)^{\operatorname{tTate}} \xrightarrow{\simeq} \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2}$$

$$f^{*} \downarrow \qquad \qquad \mathcal{L}f^{!} \downarrow \qquad \qquad \mathcal{L}f^{!} \downarrow \qquad \qquad \mathcal{L}f^{!} \downarrow \qquad \qquad f^{*}_{\operatorname{dR}} \downarrow \qquad \qquad f^{*}_{\operatorname{dR}} \downarrow \qquad \qquad \operatorname{Mod}_{\operatorname{QCoh}(Y)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}} \operatorname{QCoh}(\mathcal{L}Y)^{S^{1}} \xrightarrow{\Upsilon} \operatorname{IndCoh}(\mathcal{L}Y)^{S^{1}} \xrightarrow{\Theta} \operatorname{IndCoh}(\mathcal{L}Y)^{\operatorname{tTate}} \xrightarrow{\simeq} \operatorname{QCoh}(Y_{\operatorname{dR}})_{\mathbb{Z}/2}.$$

(For the last square, recall that the horizontal equivalences are inverse to  $\pi^! \circ \Upsilon$ .) The 2-cell is invertible if f is proper, since in this case  $\mathcal{L}f^!$  admits a coherent left adjoint. In fact, each of the component functors of  $\mathrm{Ch}^{\mathrm{dR}}$  is natural on  $\mathrm{Sch}^{\mathrm{op}}$  (for  $\Upsilon$ , see [GR16, II.3.3.2.5]), except  $\Theta$  which is left-lax natural. Hence,  $\mathrm{Ch}^{\mathrm{dR}}$  can be promoted to a left-lax natural transformation

$$\operatorname{Ch}^{\operatorname{dR}} \colon \operatorname{Mod}_{\operatorname{QCoh}(-)}^{\operatorname{dual}} \Rightarrow \operatorname{QCoh}((-)_{\operatorname{dR}})_{\mathbb{Z}/2} \colon \operatorname{Sch}^{\operatorname{op}} \to \operatorname{Cat}_{(\infty,1)},$$

which is strictly natural for proper morphisms, and whose restriction to fully dualizable modules is strictly natural. For any morphism  $f: Y \to X$ , we obtain by passing to right adjoints a canonical transformation

$$\operatorname{Ch}^{\operatorname{dR}} \circ f_* \Rightarrow f_{\operatorname{dR},*} \circ \operatorname{Ch}^{\operatorname{dR}}.$$

Following [Gai11, Definition 7.3.2] we say that a morphism  $f: Y \to X$  is **Gorenstein** if it is eventually coconnective, locally almost of finite type, and the image of  $\mathcal{O}_X$  under

$$f^!: \operatorname{QCoh}(X) \to \operatorname{QCoh}(Y)$$

is a graded line bundle.

**Lemma 6.9.** Let  $f: Y \to X$  be a smooth morphism of derived schemes. Then the morphism  $\mathcal{L}f: \mathcal{L}Y \to \mathcal{L}X$  is quasi-smooth and in particular Gorenstein.

*Proof.* The morphism  $\mathcal{L}f$  can be factored as

$$\mathcal{L}Y \to \mathcal{L}X \times_X Y \to \mathcal{L}X$$

where the first morphism is a base change of the diagonal f and the second is a base change of f. If f is smooth, both f and its diagonal are quasi-smooth, and the result follows.

**Theorem 6.10.** Suppose  $f: Y \to X$  is a smooth morphism of derived schemes. Then the diagram

$$\operatorname{Mod}_{\operatorname{QCoh}(Y)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} \operatorname{QCoh}(Y_{\operatorname{dR}})_{\mathbb{Z}/2}$$

$$\downarrow^{f_*} \qquad \qquad \downarrow^{f_{\operatorname{dR},*}}$$

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2}$$

commutes strictly.

*Proof.* We show that each square in (6.8) is right-adjointable. For the first square, this is Theorem 4.3. The assumption that f is smooth implies that  $\mathcal{L}f$  is Gorenstein (Lemma 6.9). By [Gai13, Proposition 7.3.8], it follows that the functor  $\mathcal{L}f$ ! admits a right adjoint given by

$$(6.11) \mathcal{F} \mapsto \mathcal{L} f_*(\mathcal{K}_{\mathcal{L}f}^{-1} \otimes \mathcal{F}),$$

where  $\mathcal{K}_{\mathcal{L}f} \in \mathrm{QCoh}(\mathcal{L}Y)$  is the relative dualizing sheaf. The right adjointability of the second square is thus the statement that the canonical map

$$\Upsilon(\mathcal{L}f_*(\mathcal{F})) \to \mathcal{L}f_*(\mathcal{K}_{\mathcal{L}f}^{-1} \otimes \Upsilon(\mathcal{F}))$$

is an equivalence for every  $\mathcal{F} \in \mathrm{QCoh}(\mathcal{L}Y)$ . Since  $\omega_{\mathcal{L}Y} \simeq \mathcal{K}_{\mathcal{L}f} \otimes \mathcal{L}f^*(\omega_{\mathcal{L}X})$  in  $\mathrm{IndCoh}(\mathcal{L}Y)$ , we can identify this map with the canonical map

$$\mathcal{L}f_*(\mathfrak{F}) \otimes \omega_{\mathcal{L}X} \to \mathcal{L}f_*(\mathfrak{F} \otimes \mathcal{L}f^*(\omega_{\mathcal{L}X})),$$

which is indeed an equivalence by [GR16, Proposition II.1.3.3.7].

For the third square in (6.8), first note that the functor (6.11) preserves colimits and is left t-exact up to a shift, so that it induces a functor between the tTate constructions which is right adjoint to  $(\mathcal{L}f^!)^{\text{tTate}}$ . Moreover, since the pullback functors commute strictly with the functors  $\operatorname{Ind}(\operatorname{Coh}(\mathcal{L}(-))^{S^1}) \to \operatorname{Ind}\operatorname{Coh}(\mathcal{L}(-))^{S^1}$ , their right adjoints commute strictly with  $\Theta$ . Finally, the last square is trivially right-adjointable, since its horizontal maps are equivalences.

Next, we show that the categorified de Rham Chern character is  $\mathbb{A}^1$ -homotopy invariant. We start with a lemma generalizing the homotopy invariance of periodic cyclic homology, first proved by Kassel [Kas87, §3].

**Lemma 6.12.** Let  $\mathcal{C}$  be a k-linear symmetric monoidal  $\infty$ -category. For any  $E \in \mathcal{C}^{S^1}$ , the map

$$E \to E \otimes_k \mathrm{HH}(k[t]/k)$$

induces an equivalence on Tate  $S^1$ -fixed points.

Proof. Since Tate fixed points vanish on the image of the left adjoint to the forgetful functor  $\mathbb{C}^{S^1} \to \mathbb{C}$  [Kle01, Corollary 10.2], it will suffice to show that the cofiber of  $k \to \mathrm{HH}(k[t]/k)$  is induced from the trivial subgroup of  $S^1$ . Since k has characteristic zero,  $\mathrm{HH}(k[t]/k) \in \mathrm{Mod}_k^{S^1}$  is the free simplicial commutative k-algebra on the  $S^1$ -equivariant k-module  $k[S^1]$ :

$$\operatorname{HH}(k[t]/k) \simeq \bigoplus_{n \geq 0} \operatorname{Sym}_k^n(k[S^1]) \simeq \bigoplus_{n \geq 0} k[\operatorname{Sym}^n S^1].$$

Here,  $\operatorname{Sym}_k^n$  is the symmetric power defined in [Lur18, §25.2.2], and  $\operatorname{Sym}^n$  is the "strict" symmetric power of spaces. The second equivalence holds because  $\operatorname{Sym}_k^n$  and  $\operatorname{Sym}^n$  are left Kan extended from their restrictions to finite free k-modules and finite sets, respectively (for the latter, see [Hoy18, §2]). Thus, it suffices to show that  $k[\operatorname{Sym}^n S^1]$  is induced for all  $n \geq 1$ . It is easy to check that the  $S^1$ -equivariant map  $\operatorname{Sym}^n S^1 \to S^1/C_n$ ,  $(z_1,\ldots,z_n) \mapsto \sqrt[n]{z_1\ldots z_n}$ , is an equivalence [Mor67]. Using again that k has characteristic zero, the map  $k[S^1] \to k[S^1/C_n]$  is an equivalence for all n. This concludes the proof.

**Proposition 6.13** (Homotopy invariance). Let X be a derived scheme. For every dualizable QCoh(X)module M, the map

$$\mathrm{Ch}^{\mathrm{dR}}(\mathcal{M}) \to \mathrm{Ch}^{\mathrm{dR}}(\mathcal{M} \otimes_{\mathrm{QCoh}(X)} \mathrm{QCoh}(\mathbb{A}^1_X))$$

induced by the projection  $\mathbb{A}^1_X \to X$  is an equivalence in  $\operatorname{QCoh}(X_{\mathrm{dR}})_{\mathbb{Z}/2}$ .

*Proof.* Since crystals satisfy h-descent [GR14, Proposition 3.2.2] and  $\operatorname{Ch}^{\operatorname{dR}}$  is strictly natural with respect to proper maps, we can assume that X is a smooth scheme. By Lemma 6.6 and the conservativity of the forgetful functor  $\operatorname{QCoh}(X_{\operatorname{dR}}) \to \operatorname{QCoh}(X)$  [GR14, Lemma 2.2.6], we are reduced to proving that  $\operatorname{HP}(-/X)$ :  $\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \to \operatorname{QCoh}(X)$  is homotopy invariant. This is a special case of Lemma 6.12.

6.3. de Rham Chern character and de Rham realization. In this section we explain how Theorem 6.10 implies a comparison between the de Rham Chern character and the classical de Rham realization. We first explain what we mean by the latter.

The functor  $QCoh((-)_{dR}) \colon Sch^{op} \to Cat_{(\infty,1)}$  classifies a coCartesian fibration

$$Q \to \operatorname{Sch}^{\operatorname{op}}$$

Since the pullbacks  $f_{dR}^*$  admit right adjoints, it is also a *Cartesian* fibration over Sch<sup>op</sup>. For  $X \in Sch$ , let  $\Omega_{/X}$  denote the restriction of  $\Omega$  to  $Sch_X^{op}$ . Since X is an initial object in  $Sch_X^{op}$ , the inclusion of the fiber over X

$$QCoh(X_{dR}) \hookrightarrow Q_{/X}$$

is fully faithful and admits a right adjoint sending any object to its pushforward to X.

**Definition 6.14.** Let X be a derived scheme. The *de Rham realization* 

$$dR_X : Sch_X^{op} \to QCoh(X_{dR})$$

is the composition of the unit section  $\operatorname{Sch}_X^{\operatorname{op}} \to \mathfrak{Q}_{/X}$  and the right adjoint to the inclusion.

By definition, the de Rham realization  $dR_X$  sends an X-scheme  $f: Y \to X$  to the crystal

$$f_{\mathrm{dR},*}(\mathcal{O}_{Y_{\mathrm{dR}}}) \in \mathrm{QCoh}(X_{\mathrm{dR}}).$$

Similarly, consider the coCartesian fibrations

$$\mathcal{M} \to \operatorname{Sch}^{\operatorname{op}}$$
 and  $\mathcal{Q}_{\mathbb{Z}/2} \to \operatorname{Sch}^{\operatorname{op}}$ 

classified by the functors  $\mathrm{Mod}^{\mathrm{dual}}_{\mathrm{QCoh}(-)}$  and  $\mathrm{QCoh}((-)_{\mathrm{dR}})_{\mathbb{Z}/2}$ . Both are also Cartesian fibrations, and hence the inclusions

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \hookrightarrow \mathfrak{M}_{/X} \quad \text{and} \quad \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2} \hookrightarrow (\mathfrak{Q}_{\mathbb{Z}/2})_{/X}$$

admit right adjoints. The left-lax natural transformation  $\mathrm{Ch}^{\mathrm{dR}}$  classifies a morphism  $\mathcal{M} \to \mathcal{Q}_{\mathbb{Z}/2}$  over  $\mathrm{Sch}^{\mathrm{op}}$ . The commutative square

$$\begin{array}{ccc} \mathcal{M}_{/X} & \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} & (\Omega_{\mathbb{Z}/2})_{/X} \\ & & & & & \\ & & & & & \\ & & & & & \\ \operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} & \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} & \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2} \end{array}$$

induces by adjunction a 2-cell

$$(6.15) \qquad \qquad \mathcal{M}_{/X} \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} (\Omega_{\mathbb{Z}/2})_{/X}$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2}.$$

Given  $f: Y \to X$  and  $\mathfrak{C} \in \mathrm{Mod}^{\mathrm{dual}}_{\mathrm{QCoh}(Y)}$ , the component of this 2-cell at  $\mathfrak{C}$  is the canonical map

$$\mathrm{Ch}^{\mathrm{dR}}(f_*\mathfrak{C}) \to f_{\mathrm{dR},*}\mathrm{Ch}^{\mathrm{dR}}(\mathfrak{C}).$$

In particular, by Theorem 6.10, it is an equivalence if f is smooth.

Precomposing the 2-cell (6.15) with the unit section

$$\operatorname{Sch}_X^{\operatorname{op}} \to \mathcal{M}_{/X}, \quad Y \mapsto \operatorname{QCoh}(Y) \in \operatorname{Mod}_{\operatorname{QCoh}(Y)}^{\operatorname{dual}},$$

we obtain a natural transformation

$$\operatorname{Ch}^{\mathrm{dR}} \circ \operatorname{QCoh}_X \Rightarrow \operatorname{dR}_X \colon \operatorname{Sch}_X^{\mathrm{op}} \to \operatorname{QCoh}(X_{\mathrm{dR}})_{\mathbb{Z}/2}$$

comparing the categorified de Rham Chern character with the  $\mathbb{Z}/2$ -folding of the classical de Rham realization. By Theorem 6.10, it restricts to an equivalence

$$\operatorname{Ch}^{\operatorname{dR}} \circ \operatorname{QCoh}_X \simeq \operatorname{dR}_X \colon \operatorname{Sm}_X^{\operatorname{op}} \to \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2}$$

on the category of smooth X-schemes. We state this as the next result:

**Theorem 6.16.** Let X be a derived scheme. Then there is a commutative diagram

$$\operatorname{Sm}_{X}^{\operatorname{op}} \xrightarrow{\operatorname{dR}_{X}} \operatorname{QCoh}(X_{\operatorname{dR}})_{\mathbb{Z}/2}.$$

$$\operatorname{QCoh}_{X} \downarrow \qquad \qquad \operatorname{Ch}^{\operatorname{dR}}$$

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}}$$

Suppose now that X is a smooth scheme. In this case, we can rephrase Theorem 6.16 in the more classical language of D-modules. Let  $\mathcal{D}_X$  denote the quasi-coherent sheaf of differential operators from  $\mathcal{O}_X$  to  $\mathcal{O}_X$ , viewed as an algebra object of  $\operatorname{QCoh}(X)^{\heartsuit}$  under composition  $\circ$ . Let  $\pi\colon X\to X_{\mathrm{dR}}$  be the canonical map. As shown in [GR14, §5.4], the forgetful functor

$$\pi^* : \operatorname{QCoh}(X_{\mathrm{dR}}) \to \operatorname{QCoh}(X)$$

is monadic and the corresponding monad on QCoh(X) can be identified with  $\mathcal{D}_X \otimes (-)$ . We therefore have an equivalence

$$QCoh(X_{dR}) \simeq \mathcal{D}_X$$
-mod,

where  $\mathcal{D}_X$ -mod is the  $\infty$ -category of left  $\mathcal{D}_X$ -modules in QCoh(X). By [GR16, Lemma III.4.4.1.6], for any morphism  $f: Y \to X$  of smooth schemes, there is a commutative square

$$\begin{array}{ccc}
\operatorname{QCoh}(X_{\mathrm{dR}}) & \xrightarrow{f_{\mathrm{dR}}^*} & \operatorname{QCoh}(Y_{\mathrm{dR}}) \\
& \cong & & \downarrow & & \downarrow \cong \\
\mathcal{D}_X\operatorname{-mod} & \xrightarrow{f^\circ} & \mathcal{D}_Y\operatorname{-mod},
\end{array}$$

where  $f^{\circ}$  is the naive pullback of left D-modules [Bor87, VI §4.1]. By adjunction, there is a commutative square

$$\begin{array}{ccc} \operatorname{QCoh}(Y_{\operatorname{dR}}) & \xrightarrow{f_{\operatorname{dR},*}} \operatorname{QCoh}(X_{\operatorname{dR}}) \\ & & & \downarrow \simeq \\ & & \downarrow \simeq \\ & \mathcal{D}_{Y}\operatorname{-mod} & \xrightarrow{f_{\circ}} & \mathcal{D}_{X}\operatorname{-mod}, \end{array}$$

where  $f_{\circ}$  is right adjoint to  $f^{\circ}$ . If f is smooth and  $\mathfrak{M} \in \mathfrak{D}_{Y}$ -mod,  $f_{\circ}(\mathfrak{M})$  is the quasi-coherent sheaf

$$f_*(\mathfrak{M} \otimes_{\mathfrak{O}_Y} \Omega_{Y/X}^{-\bullet})$$

equipped with the Gauss–Manin connection (here,  $\Omega_{Y/X}^{-\bullet}$  is the relative de Rham complex, viewed as an object in  $QCoh(Y)_{\leq 0}$ ).<sup>2</sup> In particular, the de Rham realization  $dR_X$  sends a smooth morphism  $f\colon Y\to X$  to its relative de Rham cohomology  $f_*(\Omega_{Y/X}^{-\bullet})$  equipped with the Gauss–Manin connection.

<sup>&</sup>lt;sup>2</sup>If f is smooth of relative dimension d, the usual pushforward of left D-modules, denoted by  $f_+$  in [Bor87, VI, §5], by  $\int_f$  in [HTT08], and by  $f_*$  in [Dre13, §3], sends  $\mathcal{M}$  to  $f_*(\mathcal{M} \otimes_{\mathcal{O}_Y} \Omega_{Y/X}^{-\bullet})[d]$ , but it is right adjoint to  $f^{\circ}[-d]$ .

Remark 6.17. Theorem 6.16 implies in particular that, up to  $\mathbb{Z}/2$ -folding, the Gauss-Manin connection on the cohomology of the fibers of a smooth morphism  $f: Y \to X$  is of non-commutative origin. That is, it only depends on QCoh(Y) and its QCoh(X)-linear structure.

Remark 6.18. Let X be a smooth scheme. Then the categorified de Rham Chern character

$$\operatorname{Ch}^{\operatorname{dR}} \colon \operatorname{Mot}(X) \to \mathcal{D}_X\operatorname{-mod}_{\mathbb{Z}/2}$$

(see Remark 6.7) is a categorification of the classical Chern character with values in de Rham cohomology. Indeed, on endomorphisms of the unit objects, it gives a morphism of  $\mathbb{E}_{\infty}$  ring spectra

$$\operatorname{ch}^{\operatorname{dR}} \colon \mathbb{K}(X) \to \prod_{n \in \mathbb{Z}} H_{\operatorname{dR}}^{*+2n}(X),$$

which is the composition of the Dennis trace map  $\mathbb{K}(X) \to \mathrm{HH}(X/k)^{S^1}$  (see [HSS17, Remark 6.12]) and the canonical map  $\mathrm{HH}(X/k)^{S^1} \to \mathrm{HH}(X/k)^{tS^1} \simeq \mathrm{HP}(X/k)$ .

Remark 6.19. We state explicitly an important special case of the categorified de Rham Chern character. Let  $X = \operatorname{Spec}(R)$  be a smooth affine scheme. Recall from Lemma 6.6 that the composite

$$\operatorname{Mod}_{\operatorname{QCoh}(X)}^{\operatorname{dual}} \xrightarrow{\operatorname{Ch}^{\operatorname{dR}}} \mathfrak{D}_X\operatorname{-mod}_{\mathbb{Z}/2} \xrightarrow{\operatorname{forget}} \operatorname{QCoh}(X)_{\mathbb{Z}/2}$$

maps  $\mathcal{M} \in \operatorname{Mod}^{\operatorname{dual}}_{\operatorname{QCoh}(X)}$  to its relative periodic cyclic homology  $\operatorname{HP}(\mathcal{M}/R)$  viewed as an R-module. The fact that  $\operatorname{HP}(-/R)$  factors through the  $\infty$ -category of D-modules implies that  $\operatorname{HP}(\mathcal{M}/R)$  carries a flat connection, which is a non-commutative analog of the Gauss–Manin connection.

If A is an R-algebra, a construction of the Gauss-Manin connection on HP(A/R) was proposed by Getzler in [Get93]. Our construction has the advantage that it applies to all dualizable sheaves of categories over X, and not just to modules over a sheaf of algebras A over X. We believe, but do not prove, that the categorified de Rham Chern character matches Getzler's construction in the cases where they overlap. We will return to this question in future work.

Remark 6.20. Suppose X smooth and quasi-projective over k. In [Dre13, Theorem 3.3.9], Drew constructs a de Rham realization functor

$$\rho_{\mathrm{dR}} \colon \mathrm{SH}(X) \to \mathcal{D}_X^{\mathrm{h}}\text{-}\mathrm{mod}$$

where  $\mathrm{SH}(X)$  is the stable motivic homotopy  $\infty$ -category over X and  $\mathcal{D}_X^h$ -mod  $\subset \mathcal{D}_X$ -mod is the full subcategory of holonomic left  $\mathcal{D}_X$ -modules. Consider the composite functor

$$\mathrm{dR}_X'\colon \mathrm{Sch}_X^{\mathrm{cl}} \xrightarrow{\mathrm{M}} \mathrm{SH}(X)^\omega \xrightarrow{\rho_{\mathrm{dR}}} \mathfrak{D}_X\text{-}\mathrm{mod}^\omega \overset{\mathbb{D}}{\simeq} (\mathfrak{D}_X\text{-}\mathrm{mod}^\omega)^{\mathrm{op}},$$

where  $\operatorname{Sch}_X^{\operatorname{cl}}$  is the category of classical X-schemes of finite type,  $\operatorname{M}(f\colon Y\to X)=f_!f^!(\mathbf{1}_X)$ , and  $\mathbb D$  is Verdier duality. Using the compatibility of  $\rho_{\operatorname{dR}}$  with the six operations proved by Drew, one can show that, if Y is smooth quasi-projective and  $f\colon Y\to X$  is arbitrary,

$$dR'_X(f: Y \to X) \simeq dR_X(f: Y \to X)[\dim(X)].$$

By inspecting the definition of  $\rho_{dR}$ , it is not difficult to show that in fact there is an equivalence of functors  $dR'_X \simeq dR_X[\dim(X)] \colon Sm_X^{op} \to \mathcal{D}_X$ -mod. In other words, our de Rham realization is, up to a shift, Verdier dual to Drew's de Rham realization.

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